ANNEX VII

REFERRED TO IN ARTICLE 8.21 SCHEDULES OF SPECIFIC COMMITMENTS

APPENDIX 1: CHINA

APPENDIX 2: SWITZERLAND

APPENDIX 1 TO ANNEX VII

CHINA – SCHEDULE OF SPECIFIC COMMITMENTS

Mo	des of supply: (1) Cross-border sup	ply (2) Consumption abroad	(3) Commercial presence	(4) Presence of natural persons
	Sector or sub-sector	Limitations on market access	Limitation on national treatment	Additional commitments
I.	HORIZONTAL COMMITMENTS			
	ALL SECTORS INCLUDED IN THIS SCHEDULE	(3) ¹ In China, foreign invested enterprises include foreign capital enterprises (also referred to as wholly foreign-owned enterprises) and joint venture enterprises and there are two types of joint venture enterprises: equity joint ventures and contractual joint ventures. ² The proportion of foreign investment in an equity joint venture shall be no less than 25 percent of the registered capital of the joint venture. The establishment of branches by enterprises of Switzerland is unbound, unless otherwise indicated in specific sub-sectors, as the laws and regulations on branches of foreign enterprises are under formulation.	(3) Unbound for all subsidies to domestic services suppliers except as far as committed by China in the WTO.	

¹ For the purpose of this Schedule, limitations or commitments that refer to a "foreign" participation by service suppliers of Switzerland in a company, enterprise, firm or other type of commercial presence in China, (whether "wholly foreign-owned", "foreign majority ownership", "foreign investment", "foreign ownership", "foreign minority ownership" or any other form of foreign participation stipulated in Chinese law) means the total participation of non-Chinese capital whatever its origin and its owner, including but not exclusively, the participation by service suppliers of Switzerland. The aforementioned types of foreign participation shall not, collectively or individually, exceed China's WTO commitments, except the more preferential commitments in the China-Swiss FTA as allowed by the current Chinese laws, regulations and rules.

² The terms of the contract, concluded in accordance with China's laws, regulations and other measures, establishing a "contractual joint venture" govern matters such as the manner of operation and management of the joint venture as well as the investment or other contributions of the joint venture parties. Equity participation by all parties to the contractual joint venture is not

cs of supply. (1) Cross-border sup	ply (2) Consumption abroad	(3) Commercial presence	(4) Presence of natural persons
Sector or sub-sector	Limitations on market access	Limitation on national treatment	Additional commitments
	Representative offices of enterprises of Switzerland are permitted to be established in China, but they shall not engage in any profit-making activities except for the representative offices under CPC 861, 862, 863, 865 in the sectoral specific commitments. The land in the People's Republic of China is State-owned. Use of land by enterprises and individuals is subject to the following maximum term limitations: (a) 70 years for residential purposes; (b) 50 years for industrial purposes; (c) 50 years for the purpose of education, science, culture, public health and physical education; (d) 40 years for commercial, tourist and recreational purposes; (e) 50 years for comprehensive utilisation or other purposes. (4) Unbound except for measures concerning the entry and temporary stay of natural persons who fall into one of the following categories ³ :	(4) Unbound except for the measures concerning the entry and temporary stay of natural persons who fall into the categories referred to in the market access column.	

required, but is determined pursuant to the joint venture contract. "Foreign invested enterprise" in this Schedule means a foreign invested enterprise duly constituted or otherwise organised under "Law on Chinese-Foreign Equity Joint Ventures", "Law on Chinese-Foreign Contractual Joint Ventures" and "Law on Foreign-Capital Enterprises".

³ The definitions of the categories of natural persons are contained in Article 5(a) of Annex VI.

	upply (2) Consumption abroad	(3) Commercial presence	(4) Presence of natural persons
Sector or sub-sector	Limitations on market access	Limitation on national treatment	Additional commitments
Sector of sub-sector	(a) Business visitor shall be permitted entry for maximum of six months; (b) Managers, executives and specialists defined as senior employees of a corporation of Switzerland temporarily moving as intra-corporate transferees, shall be permitted entry for an initial stay of three years; (c) Contractual service supplier (CSS) shall be granted a stay permit as stipulated in the terms of contracts concerned or an initial stay not exceed one year; The services provided by CSS are only limited to the specific sectors as follows: (1) Medical and dental services; (2) Architectural services; (3) Engineering services; (4) Urban planning services (except general urban planning); (5) Computer and related services; (6) Construction and Related Engineering Services; (7) Education Services: CSS shall acquire a bachelor's degree or above, receive appropriate professional titles or certificates, and have at least two-year professional work experience; the Chinese party involved in the contract shall be a juridical person which has the function of providing education service; (8) Tourism Services.	Lamitation on national treatment	Additional commitments

Modes of supply: (1) Cross-border supply (2) Consumption abroad		(3) Commercial presence	(4) Presence of natural persons
Sector or sub-sector	Limitations on market access	Limitation on national treatment	Additional commitments
	(d) Installers and maintainers: Duration of stay for installers and maintainers is subject to the duration of the contract, but shall not exceed six months.		

Mo	des of supply: (1) Cross-border sup	oply (2) Consumption abroad	(3) Commercial presence	(4) Presence of natural persons
	Sector or sub-sector	Limitations on market access	Limitation on national treatment	Additional commitments
II.	SPECIFIC COMMITMENTS			
1.	BUSINESS SERVICES			
A. (a)	Professional Services Legal Services (CPC 861, excluding Chinese law practice)	 None None Law firms of Switzerland can provide legal services only in the form of representative offices. Representative offices can engage in profit-making activities. Business scope of representative offices of Switzerland is only as follows: to provide clients with consultancy on the legislation of the country/region where the lawyers of the law firm are permitted to engage in lawyer's professional work, and on international conventions and practices; to handle, when entrusted by clients or Chinese law firms, legal affairs of the country/region where the lawyers of the law firm are permitted to engage in lawyer's professional work; to entrust, on behalf of foreign clients, Chinese law firms to deal with the Chinese legal affairs; to enter into contracts to maintain long-term entrustment relations with Chinese law firms for legal affairs; to provide information on the impact of the Chinese legal environment. 	 (1) None (2) None (3) All representatives shall be resident in China no less than six months each year. The representative office shall not employ Chinese national registered lawyers. 	

Modes of supply: (1) Cross-border sup	ply (2) Consumption abroad	(3) Commercial presence	(4) Presence of natural persons
Sector or sub-sector	Limitations on market access	Limitation on national treatment	Additional commitments
	Entrustment allows the representative office of Switzerland to directly instruct lawyers in the entrusted Chinese law firm, as agreed between both parties. The representatives of a law firm of Switzerland shall be practitioner lawyers who are members of the bar or law society in a WTO Member and have practiced for no less than two years outside of China. The Chief representative shall be a partner or equivalent (e.g., member of a law firm of a limited liability corporation) of a law firm of Switzerland and have practiced for no less than three years outside of China. (4) Unbound except as indicated in horizontal commitments.	(4) Unbound except as indicated in horizontal commitments.	

Modes of supply: (1) Cross-border	supply (2) Consumption abroad	(3) Commercial presence	(4) Presence of natural persons
Sector or sub-sector	Limitations on market access	Limitation on national treatment	Additional commitments
(b) Accounting, auditing and bookkeeping services (CPC 862)	 (1) None (2) None (3) Partnerships or incorporated accounting firms are limited to Certified Public Accountants (CPAs) licensed by the Chinese authorities. (4) Unbound except as indicated in horizontal commitments. 	 (1) None (2) None (3) None (4) Unbound except as indicated in horizontal commitments. 	 Accounting firms of Switzerland are permitted to affiliate with Chinese firms and enter into contractual agreements with their affiliated firms in other WTO Members. Issuance of licences to natural persons of Switzerland who have passed the Chinese national CPA examination shall be accorded national treatment. Applicants of Switzerland shall be informed of results in writing no later than 30 days after submission of their applications. Accounting firms providing services in CPC 862 can engage in taxation and management consulting services. They are not subject to requirements on form of establishment in CPC 865 and 8630.
(c) Taxation services (CPC 8630)	 None None Firms of Switzerland are permitted to establish wholly foreign-owned subsidiaries. Unbound except as indicated in horizontal commitments. 	 (1) None (2) None (3) None (4) Unbound except as indicated in horizontal commitments. 	

Modes of supply: (1) Cross-border sup	oply (2) Consumption abroad	(3) Commercial presence	(4) Presence of natural persons
Sector or sub-sector	Limitations on market access	Limitation on national treatment	Additional commitments
 (d) Architectural services (CPC 8671) (e) Engineering services (CPC 8672) (f) Integrated engineering services (CPC 8673) (g) Urban planning services (except general urban planning) (CPC 8674) 	 None for scheme design. Co-operation with Chinese professional organisations is required except scheme design. None Joint ventures, with foreign majority ownership are permitted.	 None None Service suppliers of Switzerland shall be registered architects/engineers, or enterprises engaged in architectural/engineering/urban planning services, in Switzerland. Unbound except as indicated in horizontal commitments. 	
(h) Medical and dental services (CPC 9312)	 None None Service suppliers of Switzerland are permitted to establish joint venture hospitals or clinics with Chinese partners with quantitative limitations in line with China's needs, with foreign majority ownership permitted. Unbound except as indicated in horizontal commitments and as follows: Doctors of Switzerland with professional certificates issued by Switzerland shall be permitted to provide short-term medical services in China after they obtain licences from National Health and Family Planning Commission. The term of service is six months and may extend to one year. 	 None None The majority of doctors and medical personnel of the joint venture hospital and clinics shall be of Chinese nationality. Unbound except as indicated in horizontal commitments. 	

Modes of supply: (1) Cross-border sup	ply (2) Consumption abroad	(3) Commercial presence	(4) Presence of natural persons
Sector or sub-sector	Limitations on market access	Limitation on national treatment	Additional commitments
B. Computer and Related Services (Computer and related services do not cover the economic activity consisting of the provision of content services which require computer and related services as means of supply)			
(a) Consultancy services related to the installation of computer hardware (CPC 841)	 (1) None (2) None (3) None (4) Unbound except as indicated in horizontal commitments. 	 None None None Qualifications are as follows: certified engineers, or personnel with Bachelor's degree (or above) and three years of experience in these fields. 	
 (b) Software implementation services (CPC 842) (c) Data processing services (CPC 843) Input preparation services (CPC 8431) 	 None None Wholly foreign-owned enterprises are allowed. Unbound except as indicated in horizontal commitments. 	 None None None Qualifications are as follows: certified engineers, or personnel with Bachelor's degree (or above) and three years of experience in these fields. 	
 Data processing and tabulation services (CPC 8432) Time-sharing services (CPC 8433) 	 (1) None (2) None (3) None (4) Unbound except as indicated in horizontal commitments. 	 None None None Qualifications are as follows: certified engineers, or personnel with Bachelor's degree (or above) and three years of experience in these fields. 	

Modes of supply: (1) Cross-border supply (2) Consumption abroad		(3) Commercial presence	(4) Presence of natural persons
Sector or sub-sector	Limitations on market access	Limitation on national treatment	Additional commitments
C. Research and Development Services - Research & experimental development services on natural sciences and engineering (CPC 8510) (excluding prohibited foreign investment industries defined in Catalogue for the Guidance of Foreign Investment Industries published by Chinese government)	 Unbound None Wholly foreign-owned enterprises are allowed. Unbound except as indicated in horizontal commitments. 	 (1) Unbound (2) None (3) None (4) Unbound except as indicated in horizontal commitments. 	
 D. Real Estate Services (a) Real estate services involving own or leased property (CPC 821) (b) Real estate services on a fee or contract basis (CPC 822) 	 None None Wholly foreign-owned enterprises are allowed. Unbound except as indicated in horizontal commitments. 	 (1) None (2) None (3) None (4) Unbound except as indicated in horizontal commitments. 	
F. Other Business Services (a) Advertising Services (CPC 871)	 Only through advertising agents registered in China who have the right to provide foreign advertising services. Only through advertising agents registered in China who have the 	(1) None (2) None	
	right to provide foreign advertising services. (3) Service suppliers of Switzerland are permitted to establish advertising enterprises in China. Wholly foreign-owned subsidiaries are permitted. (4) Unbound except as indicated in horizontal commitments.	(3) None (4) Unbound except as indicated in horizontal commitments.	

Modes of supply: (1) Cross-border s	upply (2) Consumption abroad	(3) Commercial presence	(4) Presence of natural persons
Sector or sub-sector	Limitations on market access	Limitation on national treatment	Additional commitments
(b) Market research services (CPC 86401, only limited to investigation services designed to secure information on the prospects and performance of an organisation's products in the market)	 Unbound Unbound Only in the form of joint ventures, with foreign majority ownership permitted. Economic needs tests are required. Unbound except as indicated in horizontal commitments. Requirement for a commercial presence. 	 (1) Unbound (2) Unbound (3) Unbound (4) Unbound except as indicated in horizontal commitments. 	
(c) Management Consulting services (CPC 865)	 (1) None (2) None (3) Wholly foreign-owned subsidiaries are allowed. (4) Unbound except as indicated in horizontal commitments. 	 (1) None (2) None (3) None (4) Unbound except as indicated in horizontal commitments. 	
(d) Services related to management consulting (only limited to the following sub-sector)			
- Project management services other than for construction (CPC 86601)	 None None Only in the form of joint ventures, with foreign majority ownership permitted. Economic needs tests are required. 	(1) Unbound (2) Unbound (3) Unbound	
	(4) Unbound except as indicated in horizontal commitments.	(4) Unbound except as indicated in horizontal commitments.	

Modes of supply: (1) Cross-border supply (2) Consumption abroad		(3) Commercial presence	(4) Presence of natural persons
Sector or sub-sector	Limitations on market access	Limitation on national treatment	Additional commitments
(e) Technical testing and analysis services (CPC 8676) and freight inspection covered by CPC 749, excluding statutory inspection services for freight inspection services	 None None Services suppliers of Switzerland which have been engaged in inspection services in Switzerland for more than three years are permitted to establish joint venture technical testing, analysis and freight inspection companies with no less than US\$ 350,000 in registered capital. Wholly foreign-owned subsidiaries are permitted. Unbound except as indicated in horizontal commitments. 	 (1) None (2) None (3) None (4) Unbound except as indicated in horizontal commitments. 	
(f) Services incidental to agriculture, forestry, hunting and fishing (CPC 881, 882)	 None None Only in the form of joint ventures, with foreign majority ownership permitted. Unbound except as indicated in horizontal commitments. 	 (1) None (2) None (3) None (4) Unbound except as indicated in horizontal commitments. 	
(h) Services incidental to mining (CPC 883, only including oil and natural gas)	 Unbound None Only in the form of oil and gas exploitation in cooperation with Chinese partners. Unbound except as indicated in horizontal commitments. 	 (1) Unbound (2) None (3) None (4) Unbound except as indicated in horizontal commitments. 	

Modes of supply: (1) Cross-border supply (2) Consumption abroad		(3) Commercial presence	(4) Presence of natural persons
Sector or sub-sector	Limitations on market access	Limitation on national treatment	Additional commitments
Related scientific technical consulting services			
- Field services for iron, copper and manganese	(1) None(2) None(3) Only in the form of prospecting and	(1) None (2) None (3) None	
Geological, geophysical and other scientific prospecting services (part of CPC 86751) Sub-surface surveying services (part of CPC 86752)	surveying services for iron, copper and manganese in cooperation with Chinese partners. (4) Unbound except as indicated in horizontal commitments.	(4) Unbound except as indicated in horizontal commitments.	
(m) Related scientific technical consulting services (CPC 8675)			
- Offshore oil-field services geological, geophysical and other scientific prospecting services (CPC 86751) Sub-surface surveying services (CPC 86752)	 None None Only in the form of petroleum exploitation in cooperation with Chinese partners. Unbound except as indicated in horizontal commitments. 	 (1) None (2) None (3) None (4) Unbound except as indicated in horizontal commitments. 	

Modes of supply: (1) Cross-border su	Modes of supply: (1) Cross-border supply (2) Consumption abroad		(4) Presence of natural persons
Sector or sub-sector	Limitations on market access	Limitation on national treatment	Additional commitments
- Onshore oil-field services	 None None Only in the form of petroleum exploitation in cooperation with China National Petroleum Corp. (CNPC) or China Petroleum & Chemical Corporation (SINOPEC) in the designated areas approved by the Chinese government. In order to carry out the petroleum contract, the service supplier of Switzerland shall establish a branch, subsidiary or representative office within the territory of the People's Republic of China and go through registration formalities in accordance with the laws. The domiciles of the said offices shall be determined through consultation with CNPC or SINOPEC.	 None None The service supplier of Switzerland shall furnish CNPC or SINOPEC accurately and promptly with the reports on the petroleum operations, and shall submit to CNPC or SINOPEC the data and samples as well as various technological, economic, accounting and administrative reports related to petroleum operations.	
(o) Building-cleaning services (CPC 874)	 (1) Unbound* (2) None (3) Wholly foreign-owned enterprises are allowed. (4) Unbound except as indicated in horizontal commitments. 	 (1) Unbound* (2) None (3) None (4) Unbound except as indicated in horizontal commitments. 	

^{*} Unbound due to lack of technical feasibility.

Modes of supply: (1) Cross-border supply (2) Consumption abroad		(3) Commercial presence	(4) Presence of natural persons
Sector or sub-sector	Limitations on market access	Limitation on national treatment	Additional commitments
(p) Photographic services (CPC 875)	 None None Only in the form of joint ventures, with foreign majority ownership permitted. Unbound except as indicated in horizontal commitments. 	 (1) None (2) None (3) None (4) Unbound except as indicated in horizontal commitments. 	
(q) Packaging services (CPC 876)	 None None Service suppliers of Switzerland are permitted to establish wholly foreign-owned subsidiaries. Unbound except as indicated in horizontal commitments. 	 (1) None (2) None (3) None (4) Unbound except as indicated in horizontal commitments. 	
(r) Printing of packaging materials, on a fee or contract basis (CPC 88442, only limited to the printing of packaging materials)	 Unbound Unbound Wholly foreign-owned enterprises are permitted. Economic needs tests are required. Unbound except as indicated in Horizontal Commitments. 	 (1) Unbound (2) Unbound (3) Unbound (4) Unbound except as indicated in Horizontal Commitments. 	
(s) Convention services (CPC 87909)	 None None Only in the form of joint ventures, with foreign majority ownership permitted. Unbound except as indicated in horizontal commitments. 	 (1) None (2) None (3) None (4) Unbound except as indicated in horizontal commitments. 	

Modes of supply: (1) Cross-border supply (2) Consumption abroad		(3) Commercial presence	(4) Presence of natural persons
Sector or sub-sector	Limitations on market access	Limitation on national treatment	Additional commitments
(t) Translation and interpretation services (CPC 87905)	 None None Wholly foreign-owned enterprises are allowed. Unbound except as indicated in horizontal commitments. 	 (1) None (2) None (3) None (4) Qualifications are as follows: three years of experience in translation or interpretation and a good command of the working language(s). 	
 Maintenance and repair services (CPC 63, 6112 and 6122) Maintenance and repair services of office machinery and equipment including computers (CPC 845 and 886) Rental and leasing services (CPC 831, 832, excluding CPC 83202) 	 None None Wholly foreign-owned subsidiaries are permitted. For Rental and Leasing services, service suppliers are required to have global assets of US\$ 5 million. Unbound except as indicated in horizontal commitments. 	(1) None(2) None(3) None(4) Unbound except as indicated in horizontal commitments.	

Modes of supply: (1) Cross-border supply (2) Consumption abroad		(3) Commercial presence	(4) Presence of natural persons	
	Sector or sub-sector	Limitations on market access	Limitation on national treatment	Additional commitments
2.	COMMUNICATION SERVICES			
B.	Courier Services (CPC 75121, except for those specifically reserved to Chinese postal authorities by law at the time of China's accession to WTO on 11 th December 2001)	 None None Service suppliers of Switzerland are permitted to establish wholly foreign-owned subsidiaries Unbound except as indicated in horizontal commitments. 	 (1) None (2) None (3) None (4) Unbound except as indicated in horizontal commitments. 	
(h) (i) (j) (k) (l) (m) (n)	Telecommunication Services ⁴ Value-added Services Including the following: Electronic mail Voice mail On-line information and database retrieval Electronic data interchange Enhanced/Value-added facsimile services (including store and forward, store and retrieve) Code and protocol conversion On-line information and/or data processing (including transaction processing)	 See mode 3 None Service suppliers of Switzerland are permitted to establish joint venture value-added telecommunication enterprises and foreign investment in the joint ventures shall be no more than 50 percent. Unbound except as indicated in horizontal commitments. 	 (1) None (2) None (3) None (4) Unbound except as indicated in horizontal commitments. 	

⁴ China's commitments are scheduled in accordance with the following: Notes for Scheduling Basic Telecom Services Commitments (S/GBT/W/2/REV/1) and Market Access Limitations on Spectrum Availability (S/GBT/W/3) attached hereto.

All international telecommunications services shall go through gateways established with the approval of China's telecommunications authorities, which will act as an independent regulatory authority in accordance with the principles of paragraph 5 of the Reference Paper.

Modes of supply: (1) Cross-border supply (2) Consumption abroad		(3) Commercial presence	(4) Presence of natural persons
Sector or sub-sector	Limitations on market access	Limitation on national treatment	Additional commitments
Basic Telecommunication Services			China undertakes the obligations contained in the Reference Paper in Attachment 1 attached hereto.
- Paging Services	 See mode 3 None Service suppliers of Switzerland are permitted to establish joint venture enterprises and foreign investment in the joint ventures shall be no more than 50 percent. Unbound except as indicated in horizontal commitments. 	 (1) None (2) None (3) None (4) Unbound except as indicated in horizontal commitments. 	
Mobile Voice and Data Services:			
 Analogue / Digital / Cellular Services Personal Communication Services 	 See mode 3 None Service suppliers of Switzerland are permitted to establish joint ventures only, and foreign investment in the joint ventures shall be no more than 49 percent. Unbound except as indicated in horizontal commitments. 	 (1) None (2) None (3) None (4) Unbound except as indicated in horizontal commitments. 	

Mo	Modes of supply: (1) Cross-border supply (2) Consumption abroad		(3) (Commercial presence	(4) Presence of natural persons	
	Sector or sub-sector		Limitations on market access		imitation on national treatment	Additional commitments
-	Domestic Services	(1)	See mode 3	(1)	None	
(a)	Voice services	(2)	None	(2)	None	
(b)	Packet-switched data transmission services	(3)	Service suppliers of Switzerland are permitted to establish joint ventures	(3)	None	
(c)	Circuit-switched data transmission services		only, and foreign investment in the joint ventures shall be no more than			
(f)	Facsimile services		49 percent.			
(g)	Domestic private leased circuit services	(4)	Unbound except as indicated in horizontal commitments.	(4)	Unbound except as indicated in horizontal commitments.	
_	International Services					
(a)	Voice services					
(b)	Packet-switched data transmission services					
(c)	Circuit-switched data transmission services					
(f)	Facsimile services					
(g)	International closed user group voice and data services (use of private leased circuit service is permitted)					

Modes of supply: (1) Cross-border sup	oply (2) Consumption abroad	(3) Commercial presence	(4) Presence of natural persons
Sector or sub-sector	Limitations on market access	Limitation on national treatment	Additional commitments
 D. Audiovisual Services Videos, including entertainment software and (CPC 83202), distribution services Sound recording distribution 	 (1) None (2) None (3) Services suppliers of Switzerland are permitted to establish 	(1) None (2) None (3) None	Without prejudice to compliance with China's regulations on the administration of films, China allow the importation of motion pictures for theatrical release from
services	contractual joint ventures with Chinese partners to engage in the distribution of audiovisual products, excluding motion pictures, without prejudice to China's right to examine the content of audio and video products (see footnote 2). (4) Unbound except as indicated in horizontal commitments.	(4) Unbound except as indicated in horizontal commitments.	foreign countries on a revenue-sharing basis and the number of such imports shall be 20 on an annual basis.
- Cinema Theatre Services	 None None Services suppliers of Switzerland are permitted to construct and/or renovate cinema theatres, with foreign investment no more than 49 percent. Unbound except as indicated in horizontal commitments. 	 (1) None (2) None (3) None (4) Unbound except as indicated in horizontal commitments. 	

		(3) Commercial presence	(4) Presence of natural persons
Sector or sub-sector	Limitations on market access	Limitation on national treatment	Additional commitments
Sector or sub-sector 3. CONSTRUCTION AND RELATED ENGINEERING SERVICES (CPC 511, 512, 513 ⁵ , 514, 515, 516, 517, 518 ⁶)	(1) Unbound* (2) None (3) Joint ventures, with foreign majority ownership are permitted. Wholly foreign-owned enterprises are permitted. Wholly foreign-owned enterprises can only undertake the following four types of construction projects. 1. Construction projects wholly financed by foreign investment and/or grants. 2. Construction projects financed by loans of international financial institutions and awarded through international tendering according to the terms of loans. 3. Chinese-foreign jointly constructed projects with foreign investment equal to or more than 50 percent; and Chinese-foreign jointly constructed projects with foreign investment less than 50 percent but technically difficult to be implemented by Chinese construction enterprises alone. 4. Chinese invested construction projects which are difficult to be implemented by Chinese construction enterprises alone can be jointly undertaken by Chinese and foreign construction enterprises with the approval of provincial government. (4) Unbound except as indicated in horizontal commitments.	(4) Unbound except as indicated in horizontal commitments.	Additional commitments

⁵ Including dredging services relating to infrastructure construction.
⁶ Coverage of CPC 518 is limited only to the rental and leasing services of construction and/or demolition machines with operator which are owned and used by foreign construction enterprises in their supply of services.

Modes of supply: (1) Cross-border supply (2) Consumption abroad		pply (2) Consumption abroad	(3) Commercial presence	(4) Presence of natural persons
	Sector or sub-sector	Limitations on market access	Limitation on national treatment	Additional commitments
4.	DISTRIBUTION SERVICES (as defined in Attachment 2)			
A.	(excluding salt, tobacco)	(1) Unbound(2) None(3) Wholly foreign-owned enterprises	(1) Unbound(2) None(3) None	Foreign-invested enterprises are permitted to distribute their products manufactured in China, including the
В.	Wholesale Trade Services (excluding salt, tobacco)	are allowed. (4) Unbound except as indicated in horizontal commitments.	(4) Unbound except as indicated in horizontal commitments.	products listed in the market access or sector or sub-sector column, and provide subordinate services as defined in the Attachment 2.
				Service suppliers of Switzerland are permitted to provide the full range of related subordinate services, including after sales services, as defined in Attachment 2, for the products they distribute.
C.	Retailing Services (excluding tobacco).	 Unbound except for mail order. None Wholly foreign-owned enterprises are allowed, except for: chain stores which sell products of different types and brands from multiple suppliers with more than 30 outlets. For such chains stores with more than 30 outlets, foreign majority ownership are not permitted if those chain stores distribute any of the following products: 	(1) Unbound except for mail order.(2) None(3) None	Foreign-invested enterprises may distribute their products manufactured in China, including those excepted products as listed in the market access or sector or sub-sector column, and provide subordinate services as defined in Attachment 2. Service suppliers of Switzerland are permitted to provide full range of related subordinate services, including after sales services, as defined in Attachment 2, for the products they distribute.

^{*} Unbound due to lack of technical feasibility.

Modes of supply: (1) Cross-border sup	Modes of supply: (1) Cross-border supply (2) Consumption abroad		(4) Presence of natural persons
Sector or sub-sector	Limitations on market access	Limitation on national treatment	Additional commitments
	books, newspapers, magazines, pharmaceutical products, pesticides, mulching films, processed oil, chemical fertilizers and products listed in Annex 2a of the Protocol of China's WTO Accession. The chain store operators of Switzerland will have the freedom of choice of any partner, legally established in China according to China's laws and regulations. (4) Unbound except as indicated in horizontal commitments.	(4) Unbound except as indicated in horizontal commitments.	
D. Franchising	 None None None Unbound except as indicated in horizontal commitments. 	 (1) None (2) None (3) None (4) Unbound except as indicated in horizontal commitments. 	
E. Wholesale or retail trade services away from a fixed location.	 (1) None (2) None (3) None⁷ (4) Unbound except as indicated in horizontal commitments. 	 (1) None (2) None (3) None (4) Unbound except as indicated in horizontal commitments. 	

⁷ See paragraph 310 of the Report of the Working Party on the Accession of China to the WTO.

Mo	Modes of supply: (1) Cross-border supply (2) Consumption abroad		(3) Commercial presence	(4) Presence of natural persons
	Sector or sub-sector	Limitations on market access	Limitation on national treatment	Additional commitments
5.	EDUCATIONAL SERVICES (Excluding special education services e.g. military, police, political and party school education)			
A.	Primary education services (CPC 921, excluding national compulsory education in CPC 92190)	 (1) Unbound (2) None (3) Joint schools may be established, with foreign majority ownership 	(1) Unbound (2) None (3) Unbound	
В.	Secondary education services (CPC 922, excluding national compulsory education in CPC 92210)	permitted. (4) Unbound except as indicated in horizontal commitments and the following:	(4) Qualifications are as follows: possession of Bachelor's degree or above;	
C.	Higher education services (CPC 923)	Individual education service suppliers of Switzerland may enter	and an appropriate professional title or certificate, with two years'	
D.	Adult education services (CPC 924)	into China to provide education services when invited or employed	professional experiences.	
Е.	Other education services (CPC 929, including German, French and Italian languages training)	by Chinese schools and other education institutions.		

Mo	odes of supply: (1) Cross-border sup	ply (2) Consumption abroad	(3) Commercial presence (4) Presence of natural persons
	Sector or sub-sector	Limitations on market access	Limitation on national treatment Additional commitments
6.	ENVIRONMENTAL SERVICES (excluding environmental quality monitoring and pollution source inspection)		
A.	Sewage Services (CPC 9401)	 Unbound except for environmental consultation services. None Wholly foreign-owned enterprises are allowed. Unbound except as indicated in horizontal commitments. 	 (1) None (2) None (3) None (4) Unbound except as indicated in horizontal commitments.
B.	Solid Waste Disposal Services (CPC 9402)	 Unbound except for environmental consultation services. None Wholly foreign-owned enterprises are allowed. Unbound except as indicated in horizontal commitments. 	 (1) None (2) None (3) None (4) Unbound except as indicated in horizontal commitments.
C.	Cleaning Services of Exhaust Gases (CPC 9404)	 Unbound except for environmental consultation services. None Wholly foreign-owned enterprises are allowed. Unbound except as indicated in horizontal commitments. 	 (1) None (2) None (3) None (4) Unbound except as indicated in horizontal commitments.
D.	Noise Abatement Services (CPC 9405)	 Unbound except for environmental consultation services. None Wholly foreign-owned enterprises are allowed. Unbound except as indicated in horizontal commitments. 	 (1) None (2) None (3) None (4) Unbound except as indicated in horizontal commitments.

Mo	des of supply: (1) Cross-border sup	oply (2) Consumption abroad	(3) Commercial presence	(4) Presence of natural persons
	Sector or sub-sector	Limitations on market access	Limitation on national treatment	Additional commitments
E.	Nature and Landscape Protection Services (CPC 9406)	 Unbound except for environmental consultation services. None Foreign services suppliers engaged in environmental services are permitted to provide services only in the form of joint ventures, with foreign majority ownership permitted. Unbound except as indicated in horizontal commitments. 	 (1) None (2) None (3) None (4) Unbound except as indicated in horizontal commitments. 	
F.	Other Environmental Protection Services (CPC 9409)	 Unbound except for environmental consultation services. None Foreign services suppliers engaged in environmental services are permitted to provide services only in the form of joint ventures, with foreign majority ownership permitted. Unbound except as indicated in horizontal commitments. 	 (1) None (2) None (3) None (4) Unbound except as indicated in horizontal commitments. 	
G.	Sanitation Services (CPC 9403)	 Unbound except for environmental consultation services. None Wholly foreign-owned enterprises are allowed. Unbound except as indicated in horizontal commitments. 	 (1) None (2) None (3) None (4) Unbound except as indicated in horizontal commitments. 	

Modes of supply: (1) Cross-border supply (2) Consumption abroad		(3) Commercial presence	(4) Presence of natural persons	
Sector or sub-sector		Limitations on market access	ess Limitation on national treatment	Additional commitments
7.	FINANCIAL SERVICES			
A.	All Insurance and Insurance-Related Services (a) Life, health and pension/annuities insurance (b) Non-life insurance (c) Reinsurance (d) Services auxiliary to insurance	nsurance-Related ind ities insurance (a) reinsurance; (b) international marine, aviation, and transport insurance; and	(1) None	
		 (2) Unbound for brokerage. Other, none. (3) A. Form of establishment Non-life insurers of Switzerland are permitted to establish as a branch or as a wholly-owned subsidiary; i.e., with no form of establishment restrictions. Life insurers of Switzerland are permitted 50 percent foreign ownership in a joint venture with the partner of their choice. 	 (2) None (3) None, except for: Insurance institutions of Switzerland shall not engage in the statutory insurance business. 	

Modes of supply: (1) Cross-border sup	ply (2) Consumption abroad	(3) Commercial presence	(4) Presence of natural persons
Sector or sub-sector	Limitations on market access	Limitation on national treatment	Additional commitments
	Ī	T	T
	Internal branching is permitted for brokerage for insurance of large scale commercial risks and brokerage for reinsurance and brokerage for international marine, aviation, and transport insurance and reinsurance which have established wholly foreign-owned subsidiaries in China.		

	pply (2) Consumption abroad	(3) Commercial presence	(4) Presence of natural persons
Sector or sub-sector		Limitation on national treatment	Additional commitments
Sector or sub-sector	Limitations on market access B. Business Scope Non-life insurers of Switzerland are permitted to provide "Master policy" (see Attachment 3) insurance/insurance of large scale commercial risks, which has no geographic restrictions. In accordance with national treatment, insurance brokers of Switzerland are permitted to provide "Master policy" no later than Chinese brokers, under conditions no less favourable. Non-life insurers of Switzerland are permitted to provide the full range of non-life insurance services to both foreign and domestic clients. Insurers of Switzerland are permitted to provide health insurance, individual/group insurance and pension/annuities insurance to foreigners and Chinese. Insurers of Switzerland are permitted to provide reinsurance services for life and non-life insurance as a branch, joint venture, or wholly foreign-owned subsidiary, without geographic or quantitative restrictions on the number of licences issued. C. Licences Licences Licences will be issued with no	Limitation on national treatment	Additional commitments Additional commitments

Modes of supply: (1) Cross-border supp	ply (2) Consumption abroad	(3) Commercial presence	(4) Presence of natural persons
Sector or sub-sector	Limitations on market access	Limitation on national treatment	Additional commitments
	 the investor shall be an insurance company of Switzerland with more than 30 years of establishment experience in a WTO Member; it shall have a representative office for two consecutive years in China; it shall have total assets of more than US\$ 5 billion at the end of the year prior to application, except for insurance brokers. Insurance brokers shall have total assets of more than US\$ 200 million. 		
	(4) Unbound except as indicated in horizontal commitments.	(4) Unbound except as indicated in horizontal commitments.	

Mo	Modes of supply: (1) Cross-border supply (2) Consumption abroad		(3) Commercial presence	(4) Presence of natural persons
	Sector or sub-sector	Limitations on market access	Limitation on national treatment	Additional commitments
В.	Banking and Other Financial Services (excluding insurance and securities) Banking services as listed below:			
(a) (b) (c) (d)	Acceptance of deposits and other repayable funds from the public; Lending of all types, including consumer credit, mortgage credit, factoring and financing of commercial transaction; Financial leasing; All payment and money transmission services, including credit, charge and debit cards, travellers cheques and bankers drafts (including import and export settlement); Guarantees and commitments;	 (1) Unbound except for the following: Provision and transfer of financial information, and financial data processing and related software by suppliers of other financial services; Advisory, intermediation and other auxiliary financial services on all activities listed in subparagraphs (a) through (k), including credit reference and analysis, investment and portfolio research and advice, advice on acquisitions and on corporate restructuring and strategy. 	(1) None	For financial leasing services, financial leasing corporations of Switzerland will be permitted to provide financial leasing service at the same time as domestic corporations.
(f)	Trading for own account or for account of customers: foreign exchange.	(2) None (3) A. Geographic coverage For foreign currency and local currency business, there are no geographic restriction.	 (2) None (3) None, except for prudential measures. 	

⁸ Such prudential measures are in line with paragraph 1 of Article 11 of Annex VI.

of supply: (1) Cross-border	supply (2) Consumption abroad	(3) Commercial presence	(4) Presence of natural person
Sector or sub-sector	Limitations on market access	Limitation on national treatment	Additional commitments
	B. Clients For foreign currency business, financial institutions of Switzerland are permitted to provide services in China without restriction as to clients. For local currency business, financial institutions of Switzerland are permitted to provide services to Chinese enterprises. Financial institutions of Switzerland are permitted to provide services to all Chinese clients. Financial institutions of Switzerland licensed for local currency business in one region of China may service clients in any other region.		
	C. <u>Licensing</u> Criteria for authorisation to deal in China's financial services sector are solely prudential (i.e., contain no economic needs test or quantitative limits on licences). Financial institutions of Switzerland who meet the following condition are permitted to establish a subsidiary of a bank of Switzerland in China:		

Modes of supply: (1) Cross-border sup	pply (2) Consumption abroad	(3) Commercial presence	(4) Presence of natural persons
Sector or sub-sector	Limitations on market access	Limitation on national treatment	Additional commitments
	- total assets of more than US\$ 10 billion at the end of the year prior to filing the application.		
	Financial institutions of Switzerland who meet the following condition are permitted to establish a branch of a bank of Switzerland in China:		
	- total assets of more than US\$ 20 billion at the end of the year prior to filing the application.		
	Financial institutions of Switzerland who meet the following condition are permitted to establish a Chinese-foreign joint bank in China:		
	- total assets of more than US\$ 10 billion at the end of the year prior to filing the application.		
	Qualifications for financial institutions of Switzerland to engage in local currency business are as follows:		
	- three years business operation in China and being profitable for two consecutive years prior to the application, otherwise, none.		
	(4) Unbound except as indicated in horizontal commitments.	(4) Unbound except as indicated in horizontal commitments.	

Modes of supply: (1) Cross-border supply (2) Consumption abroad		(4) Presence of natural persons
Limitations on market access	Limitation on national treatment	Additional commitments
 (1) Unbound except for the following: Provision and transfer of financial information, and financial data processing and related software by suppliers of other financial services; Advisory, intermediation and other auxiliary financial services on all activities listed in subparagraphs (a) through (k), including credit reference and analysis, investment and portfolio research and advice, advice on acquisitions and on corporate restructuring and strategy. 	(1) Unbound	
 (2) None (3) None (4) Unbound except as indicated in horizontal commitments. 	 (2) None (3) None (4) Unbound except as indicated in horizontal commitments. 	
 None None None. Criteria for authorisation to deal in China's financial services sector are solely prudential (i.e., contain no economic needs test or quantitative limits on licences). Branches of institutions of Switzerland are permitted. Unbound except as indicated in horizontal commitments. 	 (1) None (2) None (3) None (4) Unbound except as indicated in horizontal commitments. 	
	Limitations on market access Unbound except for the following: Provision and transfer of financial information, and financial data processing and related software by suppliers of other financial services; Advisory, intermediation and other auxiliary financial services on all activities listed in subparagraphs (a) through (k), including credit reference and analysis, investment and portfolio research and advice, advice on acquisitions and on corporate restructuring and strategy. None None None None None None None None None Indicated in horizontal commitments.	Limitations on market access Limitation on national treatment

	supply (2) Consumption abroad	(3) Commercial presence	(4) Presence of natural persons
Sector or sub-sector	Limitations on market access	Limitation on national treatment	Additional commitments
Securities			
	(2) None (3) (a) Unbound except for the following: Representative offices in China of securities institutions of Switzerland may become Special Members of all Chinese stock exchanges. Service suppliers of Switzerland are permitted to establish joint ventures with foreign investment up to 49 percent to conduct domestic securities investment fund management business. Securities institutions of Switzerland are permitted to establish joint ventures, with foreign minority ownership not exceeding 49 percent, to engage (without Chinese intermediary) in underwriting A shares and in underwriting and trading of B and H shares as well as government and corporate debts, launching of funds.	(2) None (3) None	
	to possible stone, realisming of fulful.		

Modes of supply: (1) Cross-border sup	ply (2) Consumption abroad	(3) Commercial presence	(4) Presence of natural persons
Sector or sub-sector	Limitations on market access	Limitation on national treatment	Additional commitments
	The joint venture securities companies which meet the regulatory requirements and conditions, upon approval, are permitted to gradually engage in securities brokerage, proprietary trading and asset management. (b) Criteria for authorisation to deal in China's financial industry are solely prudential (i.e., contain no economic needs test or quantitative limits on licences).	(4) Unbound except as indicated in	
	horizontal commitments.	horizontal commitments.	

Mo	odes of supply: (1) Cross-border sup	ply (2) Consumption abroad	(3) Commercial presence	(4) Presence of natural persons
	Sector or sub-sector	Limitations on market access	Limitation on national treatment	Additional commitments
9.	TOURISM AND TRAVEL RELATED SERVICES			
A.	Hotels (including apartment buildings) and Restaurants (CPC 641-643)	 None None Services suppliers of Switzerland may construct, renovate and operate hotel and restaurant establishments in China. Wholly foreign-owned subsidiaries are permitted. Unbound except as indicated in horizontal commitments. 	 (1) None (2) None (3) None (4) Unbound except as indicated in horizontal commitments. 	
В.	Travel Agency and Tour Operator (CPC 7471)	 (1) None (2) None (3) None (4) Unbound except as indicated in horizontal commitments. 	 None None None except that joint ventures or wholly foreign-owned travel agencies and tour operators are not permitted to engage in the activities of Chinese travelling abroad and to Hong Kong China, Macao China and Chinese Taipei. Unbound except as indicated in horizontal commitments. 	

Modes of supply: (1) Cross-border supply (2) Consumption abroad		(3) Commercial presence	(4) Presence of natural persons
Sector or sub-sector	Limitations on market access	Limitation on national treatment	Additional commitments
10. RECREATIONAL, CULTURAL AND SPORTING SERVICES: (other than audiovisual services)			
D. Sporting and other recreational services (Only limited to CPC 96411, 96412, 96413, 96419 excluding golf)	 None None None Unbound except as indicated in horizontal commitments. 	 None None None Unbound except as indicated in horizontal commitments. 	

Modes of supply: (1) Cross-border supply (2) Consumption abroad Sector or sub-sector Limitations on market access		(3) Commercial presence	(4) Presence of natural persons	
Sector or sub-sector	Limitations on market access	Limitation on national treatment	Additional commitments	
1. TRANSPORT SERVICES				
1. TRANSPORT SERVICES A. Maritime Transport Services International transport (freight and passengers) (CPC 7211 and 7212 less cabotage transport services)	(1) (a) Liner shipping (including passenger transportation): None (b) Bulk, tramp and other international shipping (including passenger transportation): None (2) None (3) (a) Establishment of registered companies for the purpose of operating a fleet under the national flag of the People's Republic of China: - Service suppliers of Switzerland are permitted to establish joint venture shipping companies. - Foreign investment shall not exceed 49 percent of the total registered capital of the joint venture. - The chairman of board of directors and the general manager of the joint venture shall be appointed by the Chinese side. (b) Other forms of commercial presence for the supply of international maritime transport services: Unbound (4) (a) Ship's crew: Unbound except as indicated in horizontal commitments. (b) Key personnel employed by	(1) (a) None (b) None (2) None (3) (a) None (b) Unbound (4) (a) Unbound except as indicated in horizontal commitments. (b) Unbound except as indicated in	The following services at the port are made available to international maritime transport suppliers on reasonable and non-discriminatory terms and conditions: 1. Pilotage 2. Towing and tug assistance 3. Provisioning, fuelling and watering 4. Garbage collecting and ballast waster disposal 5. Port Captain's services 6. Navigation aids 7. Shore-based operational services essential to ship operations, including communications, water and electrical supplies 8. Emergency repair facilities 9. Anchorage, berth and berthing services.	

Modes of supply: (1) Cross-border sup	ply (2) Consumption abroad	(3) Commercial presence	(4) Presence of natural persons
Sector or sub-sector	Limitations on market access	Limitation on national treatment	Additional commitments
H. Auxiliary Services (a) Maritime cargo-handling services (CPC 741)	 (1) Unbound* (2) None (3) Only in the form of joint ventures, with foreign majority ownership permitted. (4) Unbound except as indicated in horizontal commitments. 	 (1) Unbound* (2) None (3) None (4) Unbound except as indicated in horizontal commitments. 	
(c) Customs clearance services	 (1) Unbound (2) None (3) None (4) Unbound except as indicated in horizontal commitments. 	 (1) Unbound (2) None (3) None (4) Unbound except as indicated in horizontal commitments. 	
(d) Container station and depot services	 Unbound* None Only in forms of joint ventures, with foreign majority ownership permitted. Unbound except as indicated in horizontal commitments. 	 (1) Unbound* (2) None (3) None (4) Unbound except as indicated in horizontal commitments. 	
(e) Maritime agency services	 None None Only in forms of joint ventures, with foreign equity share no more than 49 percent. Unbound except as indicated in horizontal commitments. 	 (1) None (2) None (3) None (4) Unbound except as indicated in horizontal commitments. 	

^{*} Unbound due to lack of technical feasibility.

Mod	des of supply: (1) Cross-border sup	ply (2) Consumption abroad	(3) Commercial presence	(4) Presence of natural persons
	Sector or sub-sector	Limitations on market access	Limitation on national treatment	Additional commitments
B. (b)	Internal Waterways Transport Freight transport (CPC 7222)	 Only international shipping in ports open to foreign vessels are permitted. None Unbound Unbound except as indicated in horizontal commitments. 	 Limitations as indicated under market access column. None Unbound Unbound except as indicated in horizontal commitments 	
C. (d)	Air Transport Services Aircraft repair and maintenance services (CPC 8868)	 Unbound* None Service suppliers of Switzerland are permitted to establish joint venture aircraft repair and maintenance enterprises in China. The Chinese side shall hold controlling shares or be in a dominant position in the joint ventures. Unbound except as indicated in horizontal commitments. 	 (1) Unbound* (2) None (3) None (4) Unbound except as indicated in horizontal commitments. 	

^{*} Unbound due to lack of technical feasibility.

les of supply: (1) Cross-border s	upply (2) Consumption abroad	(3) Commercial presence	(4) Presence of natural persons
Sector or sub-sector	Limitations on market access	Limitation on national treatment	Additional commitments
Computer Reservation System (CRS) services	(1) A. Foreign Computer Reservation System, when having agreements with Chinese aviation enterprises and Chinese Computer Reservation System, may provide services to Chinese aviation agents by connecting with Chinese Computer Reservation System. B. Foreign Computer Reservation System may provide services to representative offices and sales offices established in the destination cities in China by aviation enterprises of Switzerland which have the right to engage in business according to the bilateral aviation agreements. C. Direct access to and use of foreign Computer Reservation System by Chinese aviation enterprises and agents of aviation enterprises of Switzerland are subject to approval of the General Administration of Civil Aviation of China (CAAC).	(1) None	
	 (2) None (3) Unbound (4) Unbound except as indicated in horizontal commitments. 	 (2) None (3) Unbound (4) Unbound except as indicated in horizontal commitments. 	

Modes of supply: (1) Cross-border su	pply (2) Consumption abroad	(3) Commercial presence	(4) Presence of natural persons
Sector or sub-sector	Limitations on market access	Limitation on national treatment	Additional commitments
- Ground Handling Services ⁹	 Unbound* None Service suppliers of Switzerland are permitted to establish joint venture enterprises to supply ground handling services. Unbound except as indicated in horizontal commitments. 	 (1) Unbound* (2) None (3) None (4) Unbound except as indicated in horizontal commitments. 	
 E. Rail Transport Services F. Road Transport Services - Freight transportation by rail (CPC 7112) - Freight transportation by road in trucks or cars (CPC 7123) 	 None None For rail transport, wholly foreignowned subsidiaries are permitted. For road transport, wholly foreignowned subsidiaries are permitted. Unbound except as indicated in horizontal commitments. 	 (1) None (2) None (3) None (4) Unbound except as indicated in horizontal commitments. 	
 H. Services Auxiliary to all Modes of Transport Storage and warehousing services (CPC 742) 	 Unbound None Wholly foreign-owned subsidiaries are permitted. Unbound except as indicated in horizontal commitments. 	 (1) Unbound (2) None (3) None (4) Unbound except as indicated in horizontal commitments 	

⁹ This applies to services of ULD (Unit Load Devise) Control, Passengers and Baggage and Cargo and Mail, Ramp, Aircraft Servicing (part of Annex A of Standard Ground Handling Agreement (SGHA) (IATA 1998 version)), excluding self-handling by designated air carriers by bilateral air services agreements (ASAs).
* Unbound due to lack of technical feasibility.

Modes of supply: (1) Cross-border sup	ply (2) Consumption abroad	(3) Commercial presence	(4) Presence of natural persons
Sector or sub-sector	Limitations on market access	Limitation on national treatment	Additional commitments
- Freight forwarding agency services (CPC 748)	(1) None(2) None(3) Freight forwarding agencies of	(1) None (2) None (3) None	
- Other (CPC 749) excluding freight inspection	Switzerland which have at least three consecutive years experience are permitted to set up freight forwarding agency joint ventures in China.		
	Wholly foreign-owned subsidiaries are permitted.		
	Operation term of the joint ventures shall not exceed 20 years.		
	After one year operating in China, the joint venture can set up branches.		
	A freight forwarding agency of Switzerland may set up a second joint venture after its first joint venture has been in operation for two years.		
	(4) Unbound except as indicated in horizontal commitments.	(4) Unbound except as indicated in horizontal commitments.	

ATTACHMENT 1

Reference Paper

Scope

The following are definitions and principles on the regulatory framework for the basic telecommunications services.

Definitions

<u>Users</u> mean service consumers and service suppliers.

<u>Essential facilities</u> mean facilities of a public telecommunications transport network or service that:

- (a) are exclusively or predominantly provided by a single or limited number of suppliers; and
- (b) cannot feasibly be economically or technically substituted in order to provide a service.

A major supplier is a supplier which has the ability to materially affect the terms of participation (having regard to price and supply) in the relevant market for basic telecommunications services as a result of:

- (a) control over essential facilities; or
- (b) use of its position in the market.

1. Competitive safeguards

1.1 Prevention of anti-competitive practices in telecommunications

Appropriate measures shall be maintained for the purpose of preventing suppliers who, alone or together, are a major supplier from engaging in or continuing anti-competitive practices.

1.2 Safeguards

The anti-competitive practices referred to above shall include in particular:

- (a) engaging in anti-competitive cross-subsidisation;
- (b) using information obtained from competitors with anti-competitive results; and
- not making available to other services suppliers on a timely basis technical information about essential facilities and commercially relevant information which are necessary for them to provide services.

2. <u>Interconnection</u>

2.1 This section applies to linking with suppliers providing public telecommunications transport networks or services in order to allow the users of one supplier to communicate with users of another supplier and to access services provided by another supplier, where specific commitments are undertaken.

2.2 <u>Interconnection to be ensured</u>

Interconnection with a major supplier will be ensured at any technically feasible point in the network. Such interconnection is provided.

- (a) under non-discriminatory terms, conditions (including technical standards and specifications) and rates and of a quality no less favourable than that provided for its own like services or for like services of non-affiliated service suppliers or for its subsidiaries or other affiliates;
- (b) in a timely fashion, on terms, conditions (including technical standards and specifications) and cost-oriented rates that are transparent, reasonable, having regard to economic feasibility, and sufficiently unbundled so that the supplier need not pay for network components or facilities that it does not require for the service to be provided; and
- (c) upon request, at points in addition to the network termination points offered to the majority of users, subject to charges that reflect the cost of construction of necessary additional facilities.

2.3 Public availability of the procedures for interconnection negotiations

The procedures applicable for interconnection to a major supplier will be made publicly available.

2.4 Transparency of interconnection arrangements

It is ensured that a major supplier will make publicly available either its interconnection agreements or a reference interconnection offer.

2.5 <u>Interconnection: dispute settlement</u>

A service supplier requesting interconnection with a major supplier will have recourse, either:

- (a) at any time; or
- (b) after a reasonable period of time which has been made publicly known

to an independent domestic body, which may be a regulatory body as referred to in paragraph 5 below, to resolve disputes regarding appropriate terms, conditions and rates for interconnection within a reasonable period of time, to the extent that these have not been established previously.

3. Universal service

China has the right to define the kind of universal service obligation it wishes to maintain. Such obligations will not be regarded as anti-competitive *per se*, provided they are administered in a transparent, non-discriminatory and competitively neutral manner and are not more burdensome than necessary for the kind of universal service defined by China.

4. Public availability of licensing criteria

Where a licence is required, the following will be made publicly available:

- (a) all the licensing criteria and the period of time normally required to reach a decision concerning an application for a licence; and
- (b) the terms and conditions of individual licences.

The reasons for the denial of a licence will be made known to the applicant upon request.

5. <u>Independent regulators</u>

The regulatory body is separate from, and not accountable to, any supplier of basic telecommunications services. The decisions of and the procedures used by regulators shall be impartial with respect to all market participants.

6. Allocation and use of scarce resources

Any procedures for the allocation and use of scarce resources, including frequencies, numbers and rights of way, will be carried out in an objective, timely, transparent and non-discriminatory manner. The current state of allocated frequency bands will be made publicly available, but detailed identification of frequencies allocated for specific government uses is not required.

WORLD TRADE

S/GBT/W/2/Rev.1

ORGANIZATION

(97-0173)

Group on Basic Telecommunications

Note by the Chairman

Revision

It has been suggested by a number of delegations that it might be helpful to produce a brief and simple note on assumptions applicable to the scheduling of commitments in basic telecoms. The purpose of the attached note is to assist delegations in ensuring the transparency of their commitments and to promote a better understanding of the meaning of commitments. This note is not intended to have or acquire any binding legal status.

NOTES FOR SCHEDULING BASIC TELECOM SERVICES COMMITMENTS

- 1. Unless otherwise noted in the sector column, any basic telecom service listed in the sector column:
 - (a) encompasses local, long distance and international services for public and non-public use;
 - (b) may be provided on a facilities-basis or by resale; and
 - (c) may be provided through any means of technology (e.g., cable¹⁰, wireless, satellites).
- 2. Subsector (g) --private leased circuit services -- involves the ability of service suppliers to sell or lease any type of network capacity for the supply of services listed in any other basic telecom service subsector unless otherwise noted in the sector column. This would include capacity via cable, satellite and wireless network.
- 3. In view of points 1 and 2 above, it should not be necessary to list cellular or mobile services as a separate subsector. However, a number of Members have done so, and a number of offers have commitments only in these subsectors. Therefore, in order to avoid extensive changes in schedules, it would seem appropriate for Members to maintain separate entries for these subsectors.

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¹⁰ Including all types of cable.

WORLD TRADE

S/GBT/W/3

ORGANIZATION

(97-0415)

Original: English

Group on Basic Telecommunications

CHAIRMAN'S NOTE

Market Access Limitations on Spectrum Availability

Many Members have entries in the market access column of their schedules indicating that commitments are "subject to availability of spectrum/frequency" or similar wording. In light of the physical nature of spectrum and the constraints inherent in its use, it is understandable that Members may have sought to rely on these words to adequately protect legitimate spectrum management policies. There is, however, doubt that words such as "subject to availability of spectrum/frequency" as listed in the market access column of many Members' schedules achieve that objective.

Spectrum/frequency management is not, *per se*, a measure which needs to be listed under Article XVI. Furthermore under the GATS each Member has the right to exercise spectrum/frequency management, which may affect the number of service suppliers, provided that this is done in accordance with Article VI and other relevant provisions of the GATS. This includes the ability to allocate frequency bands taking into account existing and future needs. Also, Members which have made additional commitment in line with the Reference Paper on regulatory principles are bound by its paragraph 6.

Therefore, words such as "subject to availability of spectrum/frequency" are unnecessary and should be deleted from Members' schedules.

ATTACHMENT 2

Distribution Services

Distribution trade services are comprised of four main sub-sectors:

- commission agents services;
- wholesaling;
- retailing; and
- franchising.

The principal services rendered in each subsector can be characterised as reselling merchandise, accompanied by a variety of related subordinated services, including inventory management; assembly, sorting and grading of bulk lots; breaking bulk lots and redistributing into smaller lots; delivery services; refrigeration, storage, warehousing and garage services; sales promotion, marketing and advertising, installation and after sales services including maintenance and repair and training services. Distribution services are generally covered by CPC 61, 62, 63 and 8929.

Commission Agents' Services consist of sales on a fee or contract basis by an agent, broker or auctioneer or other wholesalers of goods/merchandise and related subordinated services.

Wholesaling consist of the sale of goods/merchandise to retailers to industrial, commercial, institutional, or other professional business users, or to other wholesalers and related subordinated services.

Retailing services consist of the sale of goods/merchandise for personal or household consumption either from a fixed location (e.g., store, kiosk, etc.) or away from a fixed location and related subordinated services.

Franchising services consist of the sale of the use of a product, trade name or particular business format system in exchange for fees or royalties. Product and trade name franchising involves the use of a trade name in exchange for fees or royalties and may include an obligation for exclusive sale of trade name products. Business format franchising involves the use of an entire business concept in exchange for fees and royalties, and may include the use of a trade name, business plan, and training materials and related subordinated services.

ATTACHMENT 3

Insurance: Definition of "Master Policy"

Master policy is the policy that provides blanket coverage for the same legal person's property and liabilities located in different places. Master policy may only be issued by the business department of an insurer's head office or that of its authorised province-level branch offices. Other branches are not allowed to issue Master policy.

Master policy business with the state key construction projects as its subject-matter insured. If investors on the state key construction projects (i.e., projects that are so listed and annually announced by the National Development and Reform Commission) meet either of the following requirements, they may purchase Master policy from insurers that are located in the same place as the investors' legal persons do.

The investment on the subject-matter insured is all from China (including the reinvestment from the foreign-invested enterprises in China), and the sum of investment of the investor accounts for over 15 percent of the total investment.

The investment is partially from abroad, and partially from China (including the reinvestment from the Foreign-invested enterprises in China), and the sum of investment of the Chinese investor accounts for over 15 percent for the total domestic investment.

For those projects that draw investment all from abroad, every insurer may provide coverage in the form of Master policies.

Master policy covering different subjects-matter insured of the same legal person. For those subjects-matter insured located in different places and owned by the same legal person (excluding financial, railway, and post and telecommunications industries and enterprises), Master policy may be issued on the basis of either of the following conditions.

For the sake of payment of premium tax, insurance companies incorporated where the legal person or accounting unit of the insurance applicant is located are allowed to issue Master policy.

If over 50 percent of insurance amount of the subject-matter insured is from a larger or medium sized city, then insurers in that city are allowed to issue Master policy, no matter whether the insurance applicant's legal person or accounting unit is located in the city.

Motor insurance, credit insurance, employer liabilities insurance, statutory insurance, and other insurance business excluded by the CIRC cannot be underwritten or co-insured by insurers located other than where the subject-insured are located, or covered under a Master policy.

APPENDIX 2 TO ANNEX VII

SWITZERLAND – SCHEDULE OF SPECIFIC COMMITMENTS

Modes of supply: (1) Cross-border supply (3) Commercial presence (4) Presence of natural persons (2) Consumption abroad **Sector or Subsector Limitations on Market Access Limitations on National Treatment Additional Commitments** The level of commitments in a particular services sector shall not supersede the level of commitments undertaken with respect to any other services sector to which such service is an input for the particular service or to which it is otherwise related. CPC numbers indicated in parenthesis are references to the UN Provisional Central Product Classification (Statistical Papers, Series M, n° 77, Provisional Central Product Classification, Department of International Economics and Social Affairs, Statistical Office of the United Nations, New York, 1991). Unless otherwise provided for, requirements of residency, domicile, commercial presence, etc. in a commitment relate to the territory of Switzerland. Attachments I, II and III to this schedule form an integral part thereof. PART I. HORIZONTAL COMMITMENTS This Part sets out those commitments that apply to trade in services in all scheduled services sectors unless otherwise specified. Those commitments that apply to trade in specific services sectors are listed in Part II. None except unbound for subsidies, tax ALL SECTORS 1) None incentives and tax credits INCLUDED IN THIS 2) None except unbound for subsidies, tax **SCHEDULE** 2) None incentives and tax credits

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

Sector or Subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	3) None	J. Composition of governing boards for a "joint-stock company" (société anonyme/ Aktiengesellschaft) or a "stock company with unlimited partners" (société en commandite par actions/ Kommanditaktiengesellschaft): the majority of the board of directors must be domiciled in Switzerland. However, this domicile requirement may be set below this limit; for a "limited liability company" (société à responsabilité limitée/ Gesellschaft mit beschränkter Haftung): at least one manager must be domiciled in Switzerland; for a "co-operative society" (société coopérative/ Genossenschaft): the majority of the administrators must be domiciled in Switzerland. II. Shareholders' circles "Joint-stock companies" are not prohibited to foresee in their articles of incorporation that the company may reject persons as acquirers of registered shares, as far and as long as their recognition by the company might prevent the company from providing proof of the composition of the shareholders' circle required by federal law. III. Branching For a branch a representative (natural person) who is duly authorised by the company to fully represent it must be domiciled in Switzerland.	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons **Sector or Subsector Limitations on Market Access Limitations on National Treatment Additional Commitments** IV. Commercial presence without juridical personality The establishment of a commercial presence by natural persons or in the form of an enterprise without juridical personality according to Swiss law (i.e. in a form other than "joint-stock company", "limited liability company" or "co-operative society") is subject to the requirement of a permanent residency permit of the associate(s) by cantonal law. V. Eligibility for subsidies Eligibility for subsidies, tax incentives and tax credits may be limited to persons established in a particular geographical subdivision of Switzerland VI. Acquisition of real estate The acquisition of real estate by foreigners who are not permanently established in Switzerland and by enterprises with headquarters abroad or under foreign control is subject to authorisation. However, no authorisation is required for: (a) the acquisition of premises for professional use and business activities; (b) the acquisition of main residences for the purpose of personal housing needs by foreigners who are domiciled in Switzerland. For the acquisition of holiday residences and secondary residences for the purpose of personal housing needs, authorisation is granted upon verification of the purpose.

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

Sector or Subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
		Prohibited are purely financial investments and trade in apartments, with the following exceptions:	
		 (a) foreigners can invest without authorisation in financial participations (<i>i.e.</i> shares) in juridical persons that own and trade housing properties, provided such participations are quoted in a stock exchange in Switzerland; (b) foreign and foreign-controlled banks and insurance companies are granted authorisation to acquire property that serves as a security for mortgage loans in case of bankruptcy or liquidation; (c) foreign and foreign-controlled insurance companies are granted authorisation to invest in real estate, provided the total value of the buyer's property does not exceed the technical reserves required for the company's activities in Switzerland. 	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons **Sector or Subsector Additional Commitments Limitations on Market Access Limitations on National Treatment** Unbound except for measures concerning the Unbound except for measures concerning the entry and temporary stay of natural persons of categories of natural persons referred to in the China (hereafter "persons") falling within the market access column, subject to the following categories defined in paragraphs A, B, C and limitations and conditions: D below, and subject to the limitations and conditions set out under the national treatment (a) working conditions prevailing in the branch column and to the condition that entry and and the place of activity provided by law stay of service suppliers of China in and/or collective agreement (with respect to Switzerland are subject to authorisation remuneration, working hours, etc.); (requirement of residency permit and work (b) measures limiting professional and permit). Any limitations scheduled in Part II geographical mobility within Switzerland; (c) regulations related to statutory systems of shall apply. social security and public retirement plans (with respect to qualifying period, residency For essential personnel as defined in paragraph A below, the period of stay is requirement, etc.); and limited to a period of three years, which may (d) all other provisions of the legislation relating be extended to a maximum of five years. For to immigration, entry, stay and work. other essential personnel as defined in paragraphs B, C and D below, the period of The enterprise employing such persons shall cooperate, upon request, with the authorities in stay is limited to 90 days within one year; if an authorisation for such a period of stay is charge of the enforcement of these measures. renewed the following year, the applicant must stay abroad at least two months between Eligibility for subsidies, tax incentives and tax the two consecutive periods of stay in credits may be limited to persons domiciled in Switzerland. a particular geographical subdivision of Switzerland.

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

Sector or Subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	Persons staying in or entering Switzerland with an open-ended or extendable residence permit based on an employment contract not limited in time for Switzerland are not considered as persons residing in or entering Switzerland for the purpose of temporary stay or temporary employment in Switzerland A. INTRA-CORPORATE TRANSFEREES (ICT)		
	Essential persons transferred to Switzerland within a specific business or company of China and defined under (a) and (b) below who are employees of that business or company (hereafter "enterprise") supplying services in Switzerland through a branch, subsidiary or affiliate established in Switzerland and who have been beforehand employees of their enterprise located in China for a period of not less than one year immediately preceding their application for admission.		
	(a) Executives and senior managers: Persons who primarily direct the enterprise or one of its departments and who receive only general supervision or direction from highlevel executives, the board of directors or the stockholders of the enterprise. Executives and senior managers would not directly perform tasks related to the actual supply of services of the enterprise.		

Modes of supply: (1) Cross-border supply (3) Commercial presence (4) Presence of natural persons (2) Consumption abroad **Sector or Subsector Limitations on Market Access Limitations on National Treatment Additional Commitments** (b) Specialists: Highly qualified persons who, within an enterprise, are essential for the supply of a specific service by reason of their knowledge at an advanced level of expertise in the field of services, research equipment, techniques or management of the enterprise. B. BUSINESS VISITORS (BV) AND SERVICES SALESPERSONS (SS) (a) Business visitors responsible for establishing a commercial presence (BV): Persons who are employees of an enterprise not having commercial presence in Switzerland and who have been beforehand employees of that enterprise located in China for a period of not less than one year immediately preceding their application for admission, and who fulfil the conditions of paragraph A.(a) above, and who are entering Switzerland for the purpose of establishing a commercial presence of that enterprise in Switzerland. Persons responsible for establishing a commercial presence may not sell services directly to the general public or supply services themselves.

Modes of supply: (1) Cross-border supply (3) Commercial presence (4) Presence of natural persons (2) Consumption abroad **Sector or Subsector Limitations on Market Access Limitations on National Treatment Additional Commitments** (b) Services salespersons (SS): Persons employed or mandated by an enterprise and who stay temporarily in Switzerland in order to conclude the contract for the sale of a service on behalf of the enterprise which employs them or has mandated them. Services salespersons may not sell services directly to the general public or supply services themselves. C. CONTRACTUAL SERVICE SUPPLIERS (CSS) Persons who are employees of an enterprise (juridical person) located in China not having commercial presence in Switzerland (and other than enterprises supplying services as defined by CPC 872), which has concluded a services contract with an enterprise engaged in substantive business in Switzerland, and who have been beforehand employees of the enterprise located in China for a time period of not less than one year immediately preceding their application for admission, who have three years of related experience, and who fulfil the conditions of paragraph A.(b) above and who supply a service in Switzerland as a professional in a service sector as set out below on behalf of the enterprise located in China.

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

Sector or Subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	Per contract, temporary entry for a limited number of service suppliers will be granted for a single period of three months, the number of service suppliers depending on the size of the task to be performed under the contract. Individual service suppliers not employed by such enterprise located in China are considered as persons seeking access to the Swiss employment market.		
	Services sectors: - Architectural services (CPC 8671) - Engineering services (CPC 8672) - Integrated engineering services (CPC 8673) - Urban planning services (CPC 86741) - Consultancy services related to the installation of computer hardware (CPC 841) - Software implementation services (CPC 842) - Management consulting services (CPC 865) - Technical testing and analysis services (CPC 8676) - Translation and interpretation services from or into a national language of China (part of CPC 87905)		

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons **Limitations on Market Access Sector or Subsector Limitations on National Treatment Additional Commitments** D. OTHER Installers and maintainers (IM): Qualified specialists who are employees of an enterprise located in China not having commercial presence in Switzerland, supplying installation or maintenance services for machinery or industrial equipment. The supply of that service has to occur on a fee or contractual basis (installation/maintenance contract) between the builder of the machinery or equipment and the owner of that machinery or equipment, both of them being enterprises (excluding any supply of services in connection with enterprises mentioned by CPC 872).

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

Sector or Subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments				
PART II. SECTOR-SPECIFIC COMMITMENTS							
BUSINESS SERVICES							
A. <u>Professional Services</u>							
(a) Legal services							
 International commercial arbitration services (part of CPC 861) Legal advisory services (part of CPC 861) 	 None None Unbound except as indicated in Part I None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 1), 2), 3) All cantons except Ticino: None; Ticino: None except that legal advisory services on Swiss fiscal law is restricted to "fiduciario commercialista" (commercial fiduciary) All cantons except Ticino: Unbound except as indicated in Part I; Ticino: Unbound except as indicated in Part I; legal advisory services on Swiss fiscal law is restricted to "fiduciario commercialista" (commercial fiduciary) 					
- Mediation and extra- judicial conciliation services (part of CPC 861)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 					

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

	Sector or Subsector		Limitations on Market Access		Limitations on National Treatment	Additional Commitments
in	atent attorney services, ncluding trademarks part of CPC 861)	1) 2) 3)	None None None Unbound except as indicated in Part I	1),	2), 3) None except that to act as a patent attorney ("conseil en brevets", "Patentanwalt", "consulente in brevetti") a permanent postal address in Switzerland is required, as well as one year of professional experience made in Switzerland under the supervision of a patent attorney admitted by the Swiss Federal Institute of Intellectual Property (IGE/IPI) Unbound except as indicated in Part I and with the limitations under 1), 2), 3) above	
	accounting, auditing and ookkeeping services					
bo	accounting and ookkeeping services CPC 862 except 86211)	1) 2) 3) 4)	None None None Unbound except as indicated in Part I	1) 2) 3) 4)	None None None Unbound except as indicated in Part I	
ex	auditing services, xcluding auditing of banks part of CPC 86211)	2) 3) 4)	None None None Unbound except as indicated in Part I	2) 3) 4)	None except that at least one auditor of a "joint-stock company" (société anonyme/ Aktiengesellschaft) or a "stock company with unlimited partners" (société en commandite par actions/ Kommanditaktiengesellschaft) must have his domicile, his principal office, or a registered branch in Switzerland None None Unbound except as indicated in Part I; at least one auditor of a "joint-stock company" or a "stock company with unlimited partners" must	
					have his domicile, his principal office, or a registered branch in Switzerland	

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

	Sector or Subsector	Limitations on Market Access	Limitations on National Treatment Additional Commitments
(c)	Taxation services (CPC 863)	 None None None Unbound except as indicated in Part I 	1) None 2) None 3) None 4) Unbound except as indicated in Part I
(d)	Architectural services (CPC 8671)	 None None None Unbound except as indicated in Part I 	1) None 2) None 3) None 4) Unbound except as indicated in Part I
(e)	Engineering services (CPC 8672)	1) None	None except that for survey activities for official public purposes, a Swiss licence is necessary, which is delivered to qualified surveyors after passing an exam
		2) None 3) None	None None except that for survey activities for official public purposes, a Swiss licence is necessary, which is delivered to qualified surveyors after passing an exam
		4) Unbound except as indicated in Part I	4) Unbound except as indicated in Part I; for survey activities for official public purposes, a Swiss licence is necessary, which is delivered to qualified surveyors after passing an exam
(f)	Integrated engineering services (CPC 8673)	 None None None Unbound except as indicated in Part I 	1) None 2) None 3) None 4) Unbound except as indicated in Part I
(g)	Urban planning and landscape architectural services (CPC 8674)	 None None None Unbound except as indicated in Part I 	1) None 2) None 3) None 4) Unbound except as indicated in Part I

[&]quot;Survey activities for official public purposes" means cadastral activities and related activities.

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

	Sector or Subsector	I	Limitations on Market Access		Limitations on National Treatment	Additional Commitments
(h)	Medical and dental services (CPC 9312)	1) None 2) None 3) Unbox 4) Unbox	e ound	1) 2) 3) 4)	None None None except that Swiss nationality is necessary to practise independently Unbound except as indicated in Part I; Swiss nationality necessary to practise independently	
(i)	Veterinary services (CPC 932)	1) None 2) None 3) Unbor 4) Unbor	e ound	1) 2) 3) 4)	None None None except that Swiss nationality is necessary to practise independently Unbound except as indicated in Part I; Swiss nationality necessary to practise independently	
В.	Computer and Related Services					
(a)	Consultancy services related to the installation of computer hardware (CPC 841)	 None None None Unbox 	•	1) 2) 3) 4)	None None None Unbound except as indicated in Part I	
(b)	Software implementation services (CPC 842)	 None None None Unbox 		1) 2) 3) 4)	None None None Unbound except as indicated in Part I	
(c)	Data processing services (CPC 843)	 None None None Unbox 	:	1) 2) 3) 4)	None None None Unbound except as indicated in Part I	
(d)	Data base services (CPC 844)	1) None 2) None 3) None 4) Unbox	,	1) 2) 3) 4)	None None None Unbound except as indicated in Part I	

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

Sector or Subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(e) Other			
- Maintenance and repair services of office machinery and equipment including computers (CPC 845)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	
- Data preparation services (CPC 8491)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	
- Training services for staff of clients; Web-site design services; Conception and operation services for cyber-protection systems (excluding national defense systems) (part of CPC 8499)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

	Sector or Subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
C.	Research and Development Services			
	Excluding projects financed in whole or in part by public funds			
(a)	R&D services on natural sciences (part of CPC 851)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	
(b)	R&D services on social sciences (part of CPC 852)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	
(c)	Interdisciplinary R&D services (part of CPC 853)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

	Sector or Subsector	Limitations on Market Access	Limitations on National Treatment Additional Commitments
D.	Real Estate Services		
(a)	Involving own or leased property		
-	Involving own or leased property located on the territory of China (part of CPC 821)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I
(b)	On a fee or contract basis (CPC 822)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I
E.	Rental/Leasing Services without Operators		
(a)	Relating to ships		
-	For maritime transport (part of CPC 83103)	 Unbound None None except that in order to sail under Swiss flag, vessels must be 100 per cent owned and controlled by Swiss nationals, 3/4 of which having residency in Switzerland Unbound except as indicated in Part I 	 Unbound None None except that board of directors and management of companies owning ships sailing under Swiss flag must be composed of Swiss nationals, the majority of which having residency in Switzerland Unbound except as indicated in Part I

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

Sector	or Subsector	Limitations on Market Access		Limitations on National Treatment	Additional Commitments
transport	on the Rhine	 Unbound None None except that in order to sail under Swiss flag, vessels must be owned by a company which is substantially influenced (at least 66 per cent of capital and vote) by persons domiciled in Switzerland or in a country according to the Mannheim Convention and the protocols related to it 	1) 2) 3)	Unbound None None except that traffic rights including cabotage are limited on the basis of the Mannheim Convention and the protocols related to it; owner of vessels must have an appropriate managing agency in Switzerland	
	4	4) Unbound except as indicated in Part I	4)	Unbound except as indicated in Part I; traffic rights including cabotage are limited on the basis of the Mannheim Convention and the protocols related to it	
(b) Relating	to aircraft				
excluding companie schedule	g rental/leasing to a se flying on regular	 None None None Unbound except as indicated in Part I 	1) 2) 3) 4)	None None None Unbound except as indicated in Part I	
equipmen	nt 101 + 83102 +	 None None None Unbound except as indicated in Part I 	1) 2) 3) 4)	None None None Unbound except as indicated in Part I	
and equip	pment 2 106-83109)	 None None None Unbound except as indicated in Part I 	1) 2) 3) 4)	None None None Unbound except as indicated in Part I	

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

Sector or Subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(e) Other			
- Leasing or rental services concerning personal and household goods, excluding goods embodying any type of sexual or violent content (part of CPC 832)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

Sector or Subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
F. Other Business Services (a) Advertising services			
- Advertising services, excluding advertising for goods subject to import authorisation and excluding pharmaceutical products, alcoholic beverages, tobacco products, toxics, explosives, weapons and ammunition (part of CPC 8711 + part of 8712)	 None None Unbound except as indicated in Part I 	 None None Unbound except as indicated in Part I 	
- Outdoor advertising services, excluding advertising for goods subject to import authorisation and excluding pharmaceutical products,	 Unbound due to lack of technical feasibility None None except that outdoor advertising services on the public ground are permitted only when contracted out by cantons and municipalities through long term exclusive supplier's 	 Unbound due to lack of technical feasibility None None 	
alcoholic beverages, tobacco products, toxics, explosives, weapons and ammunition (part of CPC 8719)	contracts 4) Unbound except as indicated in Part I	4) Unbound except as indicated in Part I	
(b) Market research and public opinion polling services (CPC 864)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

\$	Sector or Subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
se	Management consulting ervices CPC 865)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	
m	Services related to nanagement consulting CPC 866)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	
ar	Technical testing and nalysis services CPC 8676)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	
aş	Services incidental to griculture, hunting and orestry			
aş fo	Consulting services on griculture, hunting and corestry part of CPC 881)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	
fi	Services incidental to ishing CPC 882)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

	Sector or Subsector	Limitations on Market Access	Limitations on National Treatment Additional Commitment
(h)	Services incidental to mining		
-	Services incidental to mining, excluding prospection, surveying, exploration and exploitation (part of CPC 883 + part of 5115)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I
(i)	Services incidental to manufacturing (CPC 884 + 885 except 88442)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I
(m)	Related scientific and technical consulting services (CPC 8675)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I
(n)	Maintenance and repair of equipment (not including maritime vessels, aircraft or other transport equipment) (CPC 633 + 8861-8866)	 None None None Unbound except as indicated in Part I; commercial presence in Switzerland required for group CPC 633 	 None None None Unbound except as indicated in Part I; commercial presence in Switzerland required for group CPC 633

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

	Sector or Subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(o)	Building cleaning services			
-	Building cleaning services (CPC 874 except 87409)	 Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I; commercial presence in Switzerland required 	 Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I; commercial presence in Switzerland required 	
(p)	Photographic services (CPC 875)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	
(q)	Packaging services (CPC 876)	 Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I; commercial presence in Switzerland required 	 Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I; commercial presence in Switzerland required 	
(r)	Printing, publishing (CPC 88442)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	
(s)	Convention services (part of CPC 87909)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

	Sector or Subsector	Limitations on Market Access	Limitations on National Treatment Additional Commitme
(t)	Other		
-	Telephone answering services (CPC 87903)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I
-	Duplication services (CPC 87904)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I
-	Translation and interpretation services (CPC 87905)	1) None 2) None 3) None	1), 2), 3) All cantons except Zürich and Genève: None; Zürich: None except requirement of residency in the canton for translation for official purposes; Genève: None except unbound for translation for official purposes.
		4) Unbound except as indicated in Part I	4) All cantons except Zürich and Genève: Unbound except as indicated in Part I; Zürich: Unbound except as indicated in Part I and requirement of residency in the canton for translation for official purposes; Genève: Unbound except as indicated in Part I and unbound for translation for official purposes.
-	Mailing list compilation and mailing services (CPC 87906)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

Sector or Subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
- Specialty design services (CPC 87907)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	
- Other business services n.e.c.:			
- Agency services on behalf of individual performers ² (part of CPC 87909)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	
- Design services for ergonomy of working place (part of CPC 87909)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	
- Other business consulting services n.e.c. (part of CPC 87909)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	

These are services of the type mentioned under "Exclusions" under CPC 96114 and relate to agents for individual performers as well as sportsmen, but they shall not cover any activities of the types described under group CPC 872.

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

(4) Presence of natural persons

	Sector or Subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
2.	COMMUNICATION SERVICES			
A./	B. <u>Postal/Courier Services</u>			
-	Express delivery services ³ (part of CPC 7511 + part of 7512)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	
C.	<u>Telecommunications</u> <u>Services</u>			
	For the purposes of the commexcluding broadcasting. ⁴	nitments below, telecommunications services are the tr	ansport of electro-magnetic signals – sound, data, image	e and combinations thereof –
	Basic Telecommunications Services			
(a)	Voice telephone services (CPC 7521)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	Switzerland undertakes additional commitments on basic telecommunications services as contained in Attachment I of this Schedule.

Express delivery services are taken as "letter deliveries" which are delivered at five times the price applied by Swiss Post (La Poste Suisse/ Die Schweizerische Post) to the delivery of a priority letter of first level of weight and format and as "parcel deliveries" which are delivered at double the basic tariff applied by Swiss Post to the delivery of a parcel of first level of weight.

Broadcasting is defined as the uninterrupted chain of transmission required for the distribution of TV and radio programme signals to the general public, but does not cover contribution links between operators.

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

	Sector or Subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(b)		1) None	1) None	
	transmission	2) None	2) None	
	(part of CPC 7523)	3) None	3) None	
		4) Unbound except as indicated in Part I	4) Unbound except as indicated in Part I	
(c)	Circuit-switched data	1) None	1) None	
	transmission	2) None	2) None	
	(part of CPC 7523)	3) None	3) None	
		4) Unbound except as indicated in Part I	4) Unbound except as indicated in Part I	
(d)		1) None	1) None	
	(part of CPC 7523)	2) None	2) None	
		3) None	3) None	
		4) Unbound except as indicated in Part I	4) Unbound except as indicated in Part I	
(e)	Telegraph services	1) None	1) None	
	(CPC 7522)	2) None	2) None	
		3) None	3) None	
		4) Unbound except as indicated in Part I	4) Unbound except as indicated in Part I	
(f)	Facsimile services	1) None	1) None	
	(part of CPC 7521 + 7529)	2) None	2) None	
		3) None	3) None	
		4) Unbound except as indicated in Part I	4) Unbound except as indicated in Part I	
(g)	Private leased circuit	1) None	1) None	
	services	2) None	2) None	
	(part of CPC 7522 + 7523)	3) None	3) None	
	-	4) Unbound except as indicated in Part I	4) Unbound except as indicated in Part I	

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

Sector or Subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
Enhanced/Value-added Telecommunications Services			
(h) Electronic mail (part of CPC 7523)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	
(i) Voice mail (part of CPC 7523)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	
(j) On-line information and data base retrieval (part of CPC 7523)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	
(k) Electronic data interchange (EDI) (part of CPC 7523)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	
(1) Enhanced/value-added facsimile service, incl. store and forward, store and retrieve (part of CPC 7523)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	
(m) Code and protocol conversion	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

	Sector or Subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(n)	On-line information and/or data processing (incl. transaction processing) (part of CPC 843)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	
(o)	Other			
-	Videotext	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	
-	Enhanced/value added services, based on licensed wireless networks including enhanced/value added paging services	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

	Sector or Subsector	Limitations on Market Access	Limitations on National Treatment Additional Con	nmitments
3.	CONSTRUCTION AND RELATED ENGINEERING SERVICES			
A.	General Construction Work for Buildings (CPC 512)	 Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I 	 Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I 	
B.	General Construction Work for Civil Engineering			
-	Construction work for civil engineering (CPC 5131-5137)	 Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I 	 Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I 	
C.	Installation and Assembly Work (CPC 514 + 516)	 Unbound due to lack of technical feasibility None None 4) Unbound except as indicated in Part I	 Unbound due to lack of technical feasibility None None except unbound for gas, water and electricity meters, gas pipelines, electricity and main water lines, which are reserved exclusively to cantons or municipalities or to specific operators Unbound except as indicated in Part I 	
D.	Building Completion and Finishing Work (CPC 517)	Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

	Sector or Subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
E.	Other			
-	Pre-erection work at construction sites (CPC 511)	 Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I 	 Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I 	
-	Special trade construction work (CPC 515)	 Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I 	 Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I 	
-	Renting services related to equipment for construction or demolition of buildings or civil engineering works, with operator (CPC 518)	 Unbound None None Unbound except as indicated in Part I 	 Unbound None None Unbound except as indicated in Part I 	

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

	Sector or Subsector	Limitations on Market Access	Limitations on National Treatment Additional Commitments
4.	DISTRIBUTION SERVICES		
A.	Commission Agents' Services		
-	Commission agents' services, excluding services related to goods subject to import authorisation, to pharmaceutical products, toxics, explosives, weapons and ammunition, and precious metals (part of CPC 621)	 None None None Unbound except as indicated in Part I 	 None None Unbound except as indicated in Part I
В.	Wholesale Trade Services Wholesale trade services, excluding services related to goods subject to import authorisation, to pharmaceutical products, toxics, explosives, weapons and ammunition, and precious metals (part of CPC 622)	 None None except for large-scale distribution facilities, which are subject to authorisation procedures at cantonal and/or municipal level that may lead to the rejection of the authorisation; no economic needs tests or other market access restrictions applicable Unbound except as indicated in Part I 	 None None None 4) Unbound except as indicated in Part I

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

(4) Presence of natural persons

	Sector or Subsector	Limitations on Market Access	Limitations on National Treatment Additional Commitments
C.	Retailing Services Retailing services, excluding services related to goods subject to import authorisation, to pharmaceutical products, toxics, explosives, weapons and ammunition, and precious metals; and excluding retailing through mobile sales unit (part of CPC 631 + part of 632 + CPC 6111 + 6113 +	None None None except for large-scale distribution facilities, which are subject to authorisation procedures at cantonal and/or municipal level that may lead to the rejection of the authorisation; no economic needs tests or other market access restrictions applicable Unbound except as indicated in Part I; commercial presence in Switzerland required	None None None 4) Unbound except as indicated in Part I; commercial presence in Switzerland required
D.	6121) ⁵ <u>Franchising</u> (CPC 8929)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I

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This subsector includes wholesale distribution services related to motor vehicles and parts thereof contained in CPC 6111 + 6113 + 6121.

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

	Sector or Subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
5.	EDUCATIONAL SERVICES			
	Private education services			
A.	Compulsory Education Services (primary & secondary I) (part of CPC 921 + part of 922)	1) Unbound 2) Unbound 3) None 4) Unbound	 Unbound Unbound None Unbound 	
B.	Non-Compulsory Secondary Education Services (secondary II) (part of CPC 922)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	
C.	Higher Education Services (part of CPC 923)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	
D.	Adult Education Services (part of CPC 924)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	
E.	Other Education Services			
-	in the fields of: National languages of China Cooking (part of CPC 929)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

	Sector or Subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
6.	ENVIRONMENTAL SERVICES			
	Excluding public utilities whether owned and operated by municipalities, cantons or federal government or contracted out by them			
A.	Sewage Services (part of CPC 9401)	 Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I 	 Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I 	
B.	Refuse Disposal Services (part of CPC 9402)	 Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I; commercial presence in Switzerland required 	 Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I; commercial presence in Switzerland required 	
C.	Sanitation and Similar Services (part of CPC 9403)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

Sector or Subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
 D. Other Cleaning services of exhaust gases (part of CPC 9404) Noise abatement services (part of CPC 9405) Nature and landscape protection services (part of CPC 9406) Other environmental and ancillary services, excluding mandatory environmental impact assessment and services associated with toxins or radioactivity (part of CPC 9409) 	None None Unbound except as indicated in Part I	None None None Unbound except as indicated in Part I	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons **Sector or Subsector Limitations on Market Access Limitations on National Treatment Additional Commitments** FINANCIAL SERVICES Commitments on financial services (insurance, banking and other financial services) are in accordance with the "Understanding on Commitments in Financial Services" prepared under the GATS (hereafter "Understanding") and subject to limitations and conditions as contained in Part I (horizontal commitments) and as listed below. The commitments in this sector shall not impose any obligation to allow non-resident financial service suppliers to solicit business. Insurance and Insurance-Monopoly rights as indicated in paragraph B.1 related Services of the "Understanding": a public monopoly on fire and natural damage insurance on buildings exists in the following cantons: Zürich, Bern, Luzern, Nidwalden, Glarus, Zug, Fribourg, Solothurn, Basel-Stadt, Basel-Landschaft, Schaffhausen, Aargau, St. Gallen, Graubünden, Appenzell Ausserrhoden, Thurgau, Vaud, Neuchâtel, Jura. In the cantons of Nidwalden and Vaud, the public monopoly on fire and natural damage insurance covers also movable property located in the buildings.

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

Sector or Subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	3) Representative offices cannot conduct business or act as an agent; for insurance companies incorporated in Switzerland, the legal form of a "joint-stock company" (société anonyme/ Aktiengesellschaft) or a "co-operative society" (société cooperative/ Genossenschaft) is required; for branches of foreign insurance companies, the legal form of the insurance company in the head office country must be comparable to a Swiss "joint-stock company" or to a Swiss "co-operative society"; participation in the basic health insurance scheme requires health insurance suppliers to be organised in one of the following forms of legal entities: "association" (association/ Verein), "co-operative society", "foundation" (fondation/ Stiftung) or "joint-stock company"; participation in the statutory pension funds scheme (prévoyance professionnelle/ Berufsvorsorge) requires pension funds to be organised in the form of a "co-operative society" or a "foundation" 4) Unbound except as indicated in Part I	 None A minimum of three years of experience in the direct insurance business in the head office country is required Unbound except as indicated in Part I 	

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

(4) Presence of natural persons

Sector or Subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
Banking and Other Financial Services (excluding insurance)	Monopoly rights as indicated in paragraph B.1 of the "Understanding": two mortgage bonds issuance institutes have been granted an exclusive right for the issuance of specific mortgage bonds (lettre de gage/ Pfandbrief); with regard to the first institute only Swiss cantonal banks are accepted as members; in the case of the second institute banks with head office in Switzerland whose national mortgage loan business amounts to at least 60 per cent of the balance sheet can be members; the issue of other mortgage-backed bonds is not affected by this regulation. 1)6 Foreign investment funds can only be marketed or distributed through a licensed representative agent resident in Switzerland 3) Commercial presence may be denied to financial service suppliers whose ultimate shareholders and/or beneficial owners are persons of a non-party; representative offices can neither conclude or deal business nor act as an agent 4) Unbound except as indicated in Part I	 The issue of foreign collective investment funds is subject to stamp duty None Commercial presence of foreign financial service suppliers is subject to specific requirements relating to the name of the firm and the regulations on financial institutions in the country of origin Unbound except as indicated in Part I 	

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Not only transactions indicated in paragraph B.3 of the "Understanding" but the whole range of banking and other financial services transactions are covered (excluding insurance).

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

	Sector or Subsector	Limitations on Market Access	Limitations on National Treatment Additional Commitments
9.	TOURISM AND TRAVEL RELATED SERVICES		
A.	Hotels and Restaurants (including catering) (CPC 641-643)	 Unbound due to lack of technical feasibility, except none for catering (CPC 6423) None None Unbound except as indicated in Part I; commercial presence in Switzerland required 	 Unbound due to lack of technical feasibility, except none for catering (CPC 6423) None None Unbound except as indicated in Part I; commercial presence in Switzerland required
B.	Travel Agencies and Tour Operators Services (CPC 7471)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I
C.	Tourist Guides Services (CPC 7472)	 Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I 	 Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

	Sector or Subsector	Limitations on Market Access	Limitations on National Treatment Additional Commitments
10.	RECREATIONAL, CULTURAL AND SPORTING SERVICES (other than audiovisual)		
B.	News Agency Services (CPC 962)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I
D.	Sporting and Other Recreational Services		
-	Sporting services (CPC 9641)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

Sector or Subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
A. Maritime Transport Services (a/b) Passenger and freight transportation (CPC 7211 + 7212)	 Unbound due to lack of technical feasibility None None except that the financing of a vessel under Swiss flag by foreign funds shall in no way endanger the influence of Swiss interests on the shipping company and on the operation of the vessel. 	1) Unbound due to lack of technical feasibility 2) None 3) None except that - the following forms of legal entities as listed hereafter can own/or operate a vessel under Swiss flag under the following conditions (vessel owner and/or shipping company): (a) the head office and real centre of activities must be located in Switzerland; (b) at least two thirds of the shares' voting power representing at least the majority of the share-capital of a "joint-stock company" (société anonyme/ Aktiengesellschaft) or a "stock company with unlimited partners" (société en commandite par actions/ Kommanditaktiengesellschaft) must be owned by Swiss nationals domiciled in Switzerland and/or by substantially Swiss-owned and effectively Swiss-controlled enterprises with registered office in Switzerland; (c) the whole share-capital of the "joint-stock company" or of the "stock company with unlimited partners" must be issued in the form of registered shares;	Services at sea ports: unbound due to lack of technical feasibility

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

Sector or Subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
		(d) at least three quarters of the associates or other partners who account for at least three quarters of the capital of a "general partnership" (société en nom collectif/Kollektivgesellschaft), a "limited partnership" (société en commandite/Kommanditgesellschaft) or a "limited liability company" (société à responsabilité limitée/Gesellschaft mit beschränkter Haftung) must be Swiss nationals domiciled in Switzerland and/or substantially Swiss-owned and effectively Swiss-controlled enterprises with registered office in Switzerland; (e) at least two thirds of the members who account for at least two thirds of the capital of a "co-operative society" (société coopérative/Genossenschaft) must be Swiss nationals domiciled in Switzerland and/or substantially Swiss-owned and effectively Swiss-controlled enterprises with registered office in Switzerland; - to own and/or operate a vessel under Swiss flag: (a) for all forms of legal entities mentioned above: (i) the majority of the members of the board of directors and of the management must be domiciled in Switzerland; (ii) if the board of directors or the management is constituted by one single person, this person must be a Swiss national domiciled in Switzerland;	

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

Sector or Subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
		(iii) the Swiss Maritime Navigation Office may require other executives and/or senior managers of the enterprise to be Swiss nationals domiciled in Switzerland if necessary to ensure the substantially Swiss-owned and effectively Swiss-controlled character of the enterprise; (b) for sole proprietorship (Entreprise individuelle/ Einzelfirma) the proprietor must be a Swiss national domiciled in Switzerland; (c) at least two thirds of the members of the board of directors and of the management of a "joint-stock company", of a "stock company with unlimited partners", of a "limited liability company" or of a "co-operative society" must be Swiss nationals; (d) statutory auditing reports must be drawn up by auditing companies with registered office in Switzerland or having a branch which is registered in the commercial register in Switzerland. - eligibility for public guarantee of loans for the financing of seagoing vessels reserved to vessels sailing under Swiss flag.	
	Unbound except as indicated in Part I; unbound for vessels' crews	Unbound except as indicated in Part I; unbound for vessels' crews; unbound for eligibility for subsidies	
(d) Maintenance and repair services of seagoing vessels (part of CPC 8868)	 Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I 	 Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I 	

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

Sector or Subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
MARITIME AUXILIARY SERVICES			
- Maritime cargo-handling services (as defined in Attachment II - definition n° 1) (part of CPC 741)	 Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I 	 Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I 	
- Storage and warehouse services (part of CPC 742)	 Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I 	 Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I 	
- Customs clearance services (as defined in Attachment II - definition n° 2) (part of CPC 748)	 Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I 	 Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I 	
- Container station and depot services (as defined in Attachment II - definition n° 3) (part of CPC 742)	 Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I 	 Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I 	
- Maritime agency services (as defined in Attachment II - definition n° 4) (part of CPC 748 + 749)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	
- Maritime freight forwarding services (as defined in Attachment II - definition n° 5) (part of CPC 748 + 749)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

Sector or Subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
B. Internal Waterways Transports Internal waterway transpoon the Rhine	rt .		
(a) Passenger transportation (part of CPC 7221)	 Unbound None None except that in order to sail under Swiss flag, vessels must be owned by a company which is substantially influenced (at least 66 per cent of capital and vote) by persons domiciled in Switzerland or in a country according to the Mannheim Convention and the protocols related to it Unbound except as indicated in Part I 	 Unbound None None except that traffic rights including cabotage are limited on the basis of the Mannheim Convention and the protocols related to it; owner of vessels must have an appropriate managing agency in Switzerland Unbound except as indicated in Part I; traffic rights including cabotage are limited on the basis of the Mannheim Convention and the protocols related to it 	
(b) Freight transportation (part of CPC 7222)	 Unbound None None except that in order to sail under Swiss flag, vessels must be owned by a company which is substantially influenced (at least 66 per cent of capital and vote) by persons domiciled in Switzerland or in a country according to the Mannheim Convention and the protocols related to it Unbound except as indicated in Part I 	 Unbound None None except that traffic rights including cabotage are limited on the basis of the Mannheim Convention and the protocols related to it; owner of vessels must have an appropriate managing agency in Switzerland Unbound except as indicated in Part I; traffic rights including cabotage are limited on the basis of the Mannheim Convention and the protocols related to it 	

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

Sector or Subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(c) Rental of vessels with crew (part of CPC 7223)	Unbound None None except that in order to sail under Swiss flag, vessels must be owned by a company which is substantially influenced (at least 66 per cent of capital and vote) by persons domiciled in Switzerland or in a country according to the Mannheim Convention and the protocols related to it	Unbound None None except that traffic rights including cabotage are limited on the basis of the Mannheim Convention and the protocols related to it; owner of vessels must have an appropriate managing agency in Switzerland	
	4) Unbound except as indicated in Part I	4) Unbound except as indicated in Part I; traffic rights including cabotage are limited on the basis of the Mannheim Convention and the protocols related to it	
(d) Maintenance and repair of vessels (part of CPC 8868)	 Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I 	 Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I 	
(e) Pushing and towing services (part of CPC 7224)	 Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I 	 Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I 	
(f) Supporting services for internal waterway transport (part of CPC 745)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

(4) Presence of natural persons

	Sector or Subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
C.	Air Transport Services			
-	Aircraft maintenance and repair services (part of CPC 8868)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	
-	Selling and marketing of air transport services	1) None	None except unbound for distribution through CRS of air transport services provided by CRS parent carrier	
		2) None	2) None	
		3) None	3) None except unbound for distribution through CRS of air transport services provided by CRS parent carrier	
		4) Unbound except as indicated in Part I	4) Unbound except as indicated in Part I	
-	Computer reservation system (CRS) services	1) None	None except unbound for distribution through CRS of air transport services provided by CRS parent carrier	
		2) None	2) None	
		3) None	3) None except unbound for distribution through CRS of air transport services provided by CRS parent carrier	
		4) Unbound except as indicated in Part I	4) Unbound except as indicated in Part I	
-	Ground-handling services ⁷ (part of CPC 7461)	 Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I 	 Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I 	

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⁷ This subsector includes ground administration, passenger handling, ramp handling, aircraft services, fuel and oil handling, flight operations and crew administration, surface transport.

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

(4) Presence of natural persons

Sector or Subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
- Airport management services ⁸ (part of CPC 7461)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	
D. Space Transport (CPC 733)	 Unbound due to lack of technical feasibility None Unbound Unbound 	 Unbound due to lack of technical feasibility None Unbound Unbound 	
E. Rail Transport Services			
(a) Passenger transportation (CPC 7111)	 Unbound None None except that concession is required, for granting of concession there has to be a need for such a railways undertaking and there is no other means of transport which could handle that service in an ecologically and economically more reasonable way Unbound except as indicated in Part I 	 Unbound None None 4) Unbound except as indicated in Part I	
(b) Freight transportation (CPC 7112)	 Unbound None None except that concession is required, for granting of concession there has to be a need for such a railways undertaking and there is no other means of transport which could handle that service in an ecologically and economically more reasonable way Unbound except as indicated in Part I 	 Unbound None None 4) Unbound except as indicated in Part I	

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This subsector includes airport infrastructure and operation services.

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

	Sector or Subsector	Limitations on Market Access Limitations on National Treatmen	t Additional Commitments
(c)	Pushing and towing services (CPC 7113)	1) Unbound 2) None 3) None except that concession is required, for granting of concession there has to be a need for such a railways undertaking and there is no other means of transport which could handle that service in an ecologically and economically more reasonable way 4) Unbound except as indicated in Part I 1) Unbound 2) None 3) None 4) Unbound 4) Unbound 4) Unbound 4) Unbound 4) Unbound 5) Vision (2) Vision (3) Vision (4) Vision	
(d)	Maintenance and repair of rail transport equipment (part of CPC 8868)	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I 1) Unbound due to lack of technical feasib 2) None 3) None 4) Unbound except as indicated in Part I	ility
F.	Road Transport Services		
(a)	Passenger transportation		
-	regular, "closed-door" tours only (part of CPC 7121)	1) None 2) None 3) None 4) Unbound except as indicated in Part I 1) None 2) None 3) None 4) Unbound except as indicated in Part I	
-	occasional, excluding cabotage and taxi services (part of CPC 7122)	1) None 2) None 3) None 4) Unbound except as indicated in Part I 1) None 2) None 3) None 4) Unbound except as indicated in Part I	
(b)	Freight transportation, excluding cabotage (part of CPC 7123)	1) Unbound 2) None 3) None 4) Unbound except as indicated in Part I 1) Unbound 2) None 3) None 4) Unbound except as indicated in Part I Unbound except as indicated in Part I	

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

Sector or Subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(c) Rental of commercial vehicles with operator (CPC 7124)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	
(d) Maintenance and repair of road transport equipment (CPC 6112 + 8867)	 Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I 	 Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I 	
(e) Other supporting services for road transport (CPC 7449)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	
H. Services Auxiliary to All Modes of Transport			
(a) Cargo-handling services (CPC 741)	 Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I 	 Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I 	
(b) Storage and warehouse services (CPC 742)	 Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I 	 Unbound due to lack of technical feasibility None None Unbound except as indicated in Part I 	
(c) Freight transport agency services (CPC 748)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	

(1) Cross-border supply

(2) Consumption abroad

(3) Commercial presence

Sector or Subsector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(d) Other auxiliary transport services (part of CPC 749)	 None None None Unbound except as indicated in Part I 	 None None None Unbound except as indicated in Part I 	

ATTACHMENT I

ADDITIONAL COMMITMENTS ON BASIC TELECOMMUNICATIONS SERVICES

Scope

The following are definitions and principles on the regulatory framework for the basic telecommunications services.

Definitions

Users mean service consumers and service suppliers.

Essential facilities mean facilities of a public telecommunications transport network or service that

- (a) are exclusively or predominantly provided by a single or limited number of suppliers; and
- (b) cannot feasibly be economically or technically substituted in order to provide a service.

<u>A major supplier</u> is a supplier which has the ability to materially affect the terms of participation (having regard to price and supply) in the relevant market for basic telecommunications services as a result of:

- (a) control over essential facilities; or
- (b) use of its position in the market.

1. Competitive safeguards

1.1 <u>Prevention of anti-competitive practices in telecommunications</u>

Appropriate measures shall be maintained for the purpose of preventing suppliers who, alone or together, are a major supplier from engaging in or continuing anti-competitive practices.

1.2. Safeguards

The anti-competitive practices referred to above shall include in particular:

- (a) engaging in anti-competitive cross-subsidisation;
- (b) using information obtained from competitors with anti-competitive results; and
- (c) not making available to other services suppliers on a timely basis technical information about essential facilities and commercially relevant information which are necessary for them to provide services.

2. Interconnection

2.1. This section applies to linking with suppliers providing public telecommunications transport networks or services in order to allow the users of one supplier to communicate with users of another supplier and to access services provided by another supplier, where specific commitments are undertaken.

2.2. Interconnection to be ensured

Interconnection with a major supplier will be ensured at any technically feasible point in the network. Such interconnection is provided

- (a) under non-discriminatory terms, conditions (including technical standards and specifications) and rates and of a quality no less favourable than that provided for its own like services or for like services of non-affiliated service suppliers or for its subsidiaries or other affiliates;
- (b) in a timely fashion, on terms, conditions (including technical standards and specifications) and cost-oriented rates that are transparent, reasonable, having regard to economic feasibility, and sufficiently unbundled so that the supplier need not pay for network components or facilities that it does not require for the service to be provided; and
- (c) upon request, at points in addition to the network termination points offered to the majority of users, subject to charges that reflect the cost of construction of necessary additional facilities.

2.3. Public availability of the procedures for interconnection negotiations

The procedures applicable for interconnection to a major supplier will be made publicly available.

2.4. Transparency of interconnection arrangements

It is ensured that any supplier with a dominant position will make publicly available either its interconnection agreements or a reference interconnection offer.

2.5. Interconnection: dispute settlement

A service supplier requesting interconnection with a major supplier will have recourse, either:

- (a) at any time or
- (b) after a reasonable period of time which has been made publicly known

to an independent domestic body, which may be a regulatory body as referred to in paragraph 5 below, to resolve disputes regarding appropriate terms, conditions and rates for interconnection within a reasonable period of time, to the extent that these have not been established previously.

3. Universal service

Switzerland has the right to define the kind of universal service obligation it wishes to maintain. Such obligations will not be regarded as anti-competitive per se, provided they are administered in a transparent, non-discriminatory and competitively neutral manner and are not more burdensome than necessary for the kind of universal service defined by Switzerland.

4. Public availability of licensing criteria

Where a licence is required, the following will be made publicly available:

- (a) all the licensing criteria and the period of time normally required to reach a decision concerning an application for a licence and
- (b) the terms and conditions of individual licences.

The reasons for the denial of a licence will be made known to the applicant upon request.

5. Independent regulators

The regulatory body is separate from, and not accountable to, any supplier of basic telecommunications services. The decisions of and the procedures used by regulators shall be impartial with respect to all market participants.

6. Allocation and use of scarce resources

Any procedures for the allocation and use of scarce resources, including frequencies, numbers and rights of way, will be carried out in an objective, timely, transparent and non-discriminatory manner. The current state of allocated frequency bands will be made publicly available, but detailed identification of frequencies allocated for specific government uses is not required.

ATTACHMENT II

DEFINITIONS TO THIS SCHEDULE (MARITIME AUXILIARY SERVICES)

- 1. "Maritime cargo-handling services" means activities exercised by stevedore companies, including terminal operators, but not including the direct activities of dockers when this workforce is organised independently of the stevedoring or terminal operator companies. The activities covered include the organisation and supervision of the loading/discharging of cargo to/from a vessel, the lashing/unlashing of cargo, the reception/delivery and safekeeping of cargoes before shipment or after discharge.
- 2. "Customs clearance services" means activities consisting in carrying out on behalf of another party customs formalities concerning import, export or through-transport of cargoes, whether such services are the main activity of the service supplier or a usual complement of its main activity.
- 3. "Container station and depot services" means activities consisting in storing containers, whether in port areas or inland, with a view to their stuffing/stripping, repairing and making them available for shipments.
- 4. "Maritime agency services" means activities consisting in representing as an agent, within a given geographic area, the business interests of one or more shipping lines or shipping companies for the following purposes:
 - (a) marketing and sales of maritime transport and related services, from quotation to invoicing, and issuance of bills of lading on behalf of the companies, acquisition and resale of the necessary related services, preparation of documentation, and provision of business information;
 - (b) acting on behalf of the companies organising the call of the vessel or taking over cargoes when required.
- 5. "Maritime freight forwarding services" means activities consisting in organising and monitoring shipment operations on behalf of shippers, through the acquisition of transport and related services, preparation of documentation and provision of business information.

ATTACHMENT III

ENGLISH AND CHINESE TRANSLATIONS OF FORMS OF LEGAL ENTITIES IN SWITZERLAND

The list below compiles the translations into English and Chinese of forms of legal entities as, and to the extent that, they are used in this schedule: 9

"Association" / 《协会》: association, Verein, associazione "Co-operative society" / 《合作社》: société coopérative, Genossenschaft, società cooperativa "General partnership" / 《合伙企业》: société en nom collectif, Kollektivgesellschaft, società in nome collettivo "Foundation" / 《基金会》: fondation, Stiftung, fondazione "Joint-stock company" / 《股份有限公司》: société anonyme (SA), Aktiengesellschaft (AG), società anonima (SA) "Limited liability company" / 《有限责任公司》: société à responsabilité limitée (sàrl), Gesellschaft mit beschränkter Haftung (GmbH), società a garanzia limitata (Sagl) "Limited partnership" / 《有限合伙企业》: société en commandite, Kommanditgesellschaft, società in accomandita "Sole proprietorship" / 《个体工商户》: enterprise individuelle, Einzelunternehmen, ditta individuale "Stock company with unlimited partners" / 《有限合伙股份企业》: société en commandite par actions, Kommanditaktiengesellschaft, società in accomandita per azioni

⁹ These translations are unofficial.

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