

ANNEX XII

REFERRED TO IN ARTICLE 3.21

SCHEDULES OF SPECIFIC COMMITMENTS

APPENDIX 1: Indonesia

APPENDIX 2: Iceland

APPENDIX 3: Liechtenstein

APPENDIX 4: Norway

APPENDIX 5: Switzerland

APPENDIX 1 TO ANNEX XII

INDONESIA - SCHEDULE OF SPECIFIC COMMITMENTS

1. This Annex sets out commitments in accordance with Article 3.18 (Schedule of Specific Commitments) of the Agreement.
2. Notwithstanding Article 12.5 (Entry into Force) of the Agreement, Indonesia's commitments in the installer category shall only become applicable for Switzerland upon entry into force of the Agreement on the Exchange of Young Professionals.
3. For the purposes of this Annex, the classification of service sectors and sub-sectors are based on:
 - CPC numbers referring to the UN Provisional Central Product Classification (Statistical Papers, Series M, n° 77, Provisional Central Product Classification, Department of International Economics and Social Affairs, Statistical Office of the United Nations, New York, 1991)
 - International Standard Industrial Classification as set out in Statistical Office of the United Nations, Statistical Papers, Series M, N° 4, 'rev 4, 2008'.
4. This Schedule of Commitments follows the Guidelines for the Scheduling of Specific Commitments (WTO Document S/L 92, dated 28 March 2001). The Guidelines shall however not be construed as being legally binding.
5. The entry "unbound*" means unbound due to lack of technical feasibility.
6. The entry with double asterisk (**) after a CPC number means that the service specified constitutes only a part of the total range of activities covered by the CPC concordance.

Sector Or Sub-Sector	Market Access	National Treatment	Additional Commitments
HORIZONTAL COMMITMENT			
ALL SECTORS INCLUDED IN THIS SCHEDULE	3) Commercial presence of foreign service provider(s) may be in the form of joint venture and/or representative office, unless mentioned otherwise. Joint ventures shall meet the following requirements: (i) In the form of Limited Liability Company (Perseroan Terbatas/PT); and (ii) not more than 49% of the capital share of the Limited Liability Company (Perseroan Terbatas/PT), may be owned by foreign partner(s). A joint venture supplying services through commercial presence in Indonesia shall have a minimum investment in accordance with domestic laws and regulations.	3) Non-resident tax payers will be subject to Indonesian tax laws and regulations. 3) Land Acquisition In accordance to Indonesian laws and regulations, foreigners (juridical and natural persons) are not allowed to own land. However, a joint venture company can hold the right for land use (Hak Guna Usaha) and building rights (Hak Guna Bangunan), and it may rent/lease land and property. 1), 2), 3), 4) Indonesia reserves the right to adopt or maintain any measure for the protection of Indonesian essential security interests.	
	4) Subject to Indonesian Labour and Immigration Laws and Regulations, only directors, managers and specialist, unless mentioned otherwise, are allowed to stay for two years with the possibility of one year extension. Manager and specialist (intra corporate transfer) are allowed based on economic needs test/based on Indonesian Labour and Immigration Laws and Regulations. The entry and temporary stay of business visitor(s) is permitted for a period of 60 days and could be extended every 30 days with maximum accumulated stay for 180 days.	4) Obligation to pay compensation Employers are obliged to pay compensation for each foreign worker they employ. Every employer that employs foreign worker is under an obligation to obtain written permission from related Ministers. A foreign worker is not allowed to occupy positions that deal with personnel and/or occupy certain positions pursuant to Indonesian Laws and Regulations. Any natural person shall meet professional qualification requirements.	

Sector Or Sub-Sector	Market Access	National Treatment	Additional Commitments
	Installers: Qualified specialists who are employees of a company located in an EFTA State not having commercial presence in Indonesia, supplying installation services for machinery or industrial equipment. The supply of that service has to occur on a fee or contractual basis (installation contract) for a period of not more than three months between the builder of the machinery or equipment and the owner of that machinery or equipment, both of them being companies (excluding any supply of services in connection with companies supplying services as defined by CPC 872). Only for services supplied to manufacturing sectors and sub-sectors that require high technology and high capital and where installation services cannot be provided by domestic installers.		
Subsidies (the issues of the definition of subsidies remains to be determined in the context of negotiations under article XV of the GATS)	1), 2), 3), 4) Unbound	1), 2), 3), 4) Unbound	
Government procurement (the issues of the definition of government procurement remains to be determined in the context of negotiations under article XIII of the GATS)	1), 2), 3), 4) Unbound	1), 2), 3), 4) Unbound	

Definitions:

"Director": a natural person within the organisation who primarily directs the management of the organisation and exercises wide latitude in decision making and receives only general supervision or direction from higher level executives, the board of directors, or stockholders of the business; an executive would not directly perform tasks related to the actual provision of the service or services of the organisation.

"Manager": a natural person within the organisation who primarily directs the organisation/department/subdivision and exercises supervisory and control functions over other supervisory, managerial or professional staff; does not include first line supervisors unless employees supervised are professionals; does not include employees who primarily perform tasks necessary for the provision of the service.

"Specialist": a natural person within the organisation who possesses knowledge at an advanced level of expertise essential to the establishment/provision of the service and/or possesses proprietary knowledge of the organisation's service, research equipment, techniques or management; may include, but is not limited to, members of a licensed profession.

"Joint Operation": a joint operation is an undertaking between one or several foreign and Indonesian companies of temporary nature, to handle one or several project/businesses without establishing a new statutory body according to Indonesian laws.

"Joint Venture Company": a joint venture company is a legal entity organised under Indonesian laws and having its domicile in Indonesia, in the form of cooperation between foreign capital and Indonesian (national) capital.

"Representative office": a representative office is an office headed by one or more foreign or Indonesian citizens appointed by a foreign company or joint venture company located outside of Indonesia as its representative, with aims to:

- a. Be in charge of the company's business or its affiliated companies, and/or;
- b. Prepare establishment and expansion of foreign capital investment companies, either located in Indonesia and/or in other countries.

"Contract Management": a contract organised under Indonesian law in the form of cooperation on management of temporary nature between Indonesian (national) capital and foreign capital.

"Business Visitor": a natural person of a Party seeking to enter or stay in the territory of another Party temporarily, whose remuneration and financial support for the duration of the visit is derived from outside of that other Party:

- (i) as a representative of a goods seller/service supplier, for the purpose of negotiating the sale of goods or supply of services or entering into agreements to sell goods or supply services for that goods seller/service supplier, where such negotiations do not involve direct sale of goods or supply of services to the general public;
- (ii) as an employee of a juridical person as defined in subparagraphs (a) of Article 3.2 of the Agreement only for the purpose of establishing an investment or setting up a commercial presence, for the juridical person in the territory of another Party;
- (iii) for the purpose of participating in business negotiations or meetings; or
- (iv) for the purpose of establishing an investment or setting up a commercial presence in the territory of another Party.

1. BUSINESS SERVICES			
A. Professional Services			
Legal Services (CPC 861) - Only in advisory services foreign law (home country law, and/or International Law on Business and Arbitration). - Advisory services shall not include: • Legal representation in Indonesian court of justice and/or undertake legal proceedings under any circumstances. • Notarial activities.	1) None 2) None 3) Unbound 4) Unbound except for foreign lawyers (advocates). Foreign lawyers (advocates) are: - only allowed to work or take part in Indonesian law firm as employees or as experts in International Law, but shall not appear in courts and/or undertake legal proceedings under any circumstances. - subject to recommendation from Advocate Association. The share of the number of foreign lawyers (advocates) in Indonesian law firm must not exceed 20 per cent, and shall be limited to five foreign lawyers (advocates) per firm.	1) None 2) None 3) Unbound 4) Unbound except for foreign lawyers (advocates). Foreign lawyers (advocates) are: - only allowed as employees or experts in Indonesian law firm; - obliged to transfer legal knowledge and professional capabilities to Indonesian Lawyers; and - obliged to transfer knowledge to education, legal research, or government institutions at least 10 hours each month free of charge.	
Definition : “lawyer” (advocate) means a person who has the profession of providing legal services in and outside the court. International law means law established by international treaties and conventions as well as customary law.			
Architectural Services (CPC 8671)	1) None 2) None 3) None except: a) Joint operation by establishing a representative office in Indonesia with qualification big¹. License for representative office shall be valid for 3 years and can be extended.	1) None 2) None 3) None except: a) 1. License fee requirement; 2. Registered foreign company shall form a joint operation with local partner(s) which is (are) registered by Construction Services	

¹ For the purposes of this Appendix “qualification big” means high risk, high technology, or high capital.

	b) Joint venture company with foreign equity participation up to 55% 4) Unbound except as indicated in the horizontal section	Development Board and having big qualification. b) Local partner(s) in joint venture shall be registered by Construction Services Development Board and having big qualification; and (c) Foreign service suppliers shall only provide services with high risk, high technology, and/or high capital. The qualifier of high risk, high technology and high capital will be in accordance with prevailing laws and regulations at the time of supplying the services; and (d) Highest management in a representative office shall be Indonesian. 4) Unbound except as indicated in the horizontal section	
Engineering Services (CPC 8672 except CPC 86721, 86725 and 86726)	1) None 2) None 3) None except: a) Joint operation by establishing a representative office in Indonesia with qualification Big. License for representative office shall be valid for 3 years and can be extended. b) Joint venture company with foreign equity participation up to 51%	1) None 2) None 3) None except: a) 1. License fee requirement; 2. Registered foreign company shall form a joint operation with local partner(s) which is (are) registered by Construction Services Development Board and having big qualification. b) Local partner(s) in joint venture shall be registered by Construction Services Development Board and having big qualification. c) Foreign service suppliers shall only provide services with high risk, high technology, and/or high capital. The qualifier of high risk, high technology and high capital will be in accordance with prevailing laws and regulations at the time of supplying the services; and	

	4) Unbound except as indicated in the horizontal section	d) Highest management in a representative office shall be Indonesian. 4) Unbound except as indicated in the horizontal section	
Advisory and Consultative Services Related to Engineering (CPC 86721)	1) None 2) None 3) None except joint operation through a representative office in Indonesia 4) Unbound except for director and specialist	1) None 2) None 3) None except the Indonesian participant in joint operation must be member of Indonesian Consultant Association 4) Unbound except as indicated in the horizontal section	
Engineering Design Services for Industrial Processes and Production (CPC 86725)	1) None 2) None 3) None except joint operation through a representative office in Indonesia 4) Unbound except for director and specialist	1) None 2) None 3) None except the Indonesian participant in joint operation must be member of Indonesian Consultant Association 4) Unbound except as indicated in the horizontal section	
Integrated Engineering Services (CPC 8673)	1) None 2) None 3) None except: a) Joint operation by establishing a representative office in Indonesia. License for representative office shall be valid for 3 years and can be extended. b) Joint venture company	1) None 2) None 3) None except: a) 1. License fee requirement; 2. Registered foreign company shall form a joint operation with local partner(s) which is (are) registered by Construction Services Development Board and having big qualification.	

	4) Unbound except as indicated in the horizontal section	b) Local partner(s) in joint venture shall be registered by Construction Services Development Board and having big qualification. 4) Unbound except as indicated in the horizontal section	
Urban Planning Services (CPC 86741)	1) None 2) None 3) None except: a) Joint operation by establishing a representative office in Indonesia. Licenses for representative offices shall be valid for 3 years and can be extended. b) Joint venture company 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None except: a) 1. License fee requirement; 2. Registered foreign company shall form a joint operation with local partner(s) which is (are) registered by Construction Services Development Board and having big qualification. b) Local partner(s) in joint venture shall be registered by Construction Services Development Board and having big qualification. 4) Unbound except as indicated in the horizontal section	
C. Research and Development Services			
Interdisciplinary R&D (CPC 853) (Limited to Industrial Activities)	1) None 2) None 3) None except joint operation through a representative office in Indonesia 4) Unbound except for director and specialist	1) None 2) None 3) None except the Indonesian participant in joint operation must be member of Indonesian Consultant Association 4) Unbound except as indicated in the horizontal section	

E. Other Business Services			
Project Management Services other than for Construction (CPC 86601)	1) None 2) None 3) None 4) Unbound except for director and specialist	1) None 2) None 3) None except the Indonesian participant in joint operation must be member of Indonesian Consultant Association 4) Unbound except as indicated in the horizontal section	
Technical Testing and Analysis Services (CPC 8676)	1) None 2) None 3) None except joint operation through a representative office in Indonesia 4) Unbound except for director and specialist	1) None 2) None 3) None except the Indonesian participant in joint operation must be member of Indonesian Consultant Association 4) Unbound except as indicated in the horizontal section	
Maintenance and Repair of Equipment (not including maritime vessel, aircraft, or other transport equipment) (CPC 633+ 8861+8866)	1) None 2) None 3) None except joint operation through a representative office in Indonesia 4) Unbound except for director and specialist	1) None 2) None 3) None except the Indonesian participant in joint operation must be member of Indonesian Consultant Association 4) Unbound except as indicated in the horizontal section	
Services Incidental to Manufacturing (CPC 884 & 885)	1) None 2) None	1) None 2) None	

	3) None except joint operation through a representative office in Indonesia 4) Unbound except for director and specialist	3) None except the Indonesian participant in joint operation must be member of Indonesian Consultant Association 4) Unbound except as indicated in the horizontal section	
Services Incidental to Energy Distribution (CPC 887) (Covers only Consultancy Services Related to Operation of Power Plant and Network)	1) Unbound 2) None 3) None except joint venture company subject to economic needs test, with foreign equity participation up to 51% 4) Unbound except as indicated in the horizontal section	1) Unbound 2) None 3) None except: a) Foreign service suppliers shall only provide services with high risk, high technology, and/or high capital. The qualifier of high risk, high technology and high capital will be in accordance with prevailing laws and regulations at the time of supplying the services; and b) Highest management in a representative office shall be Indonesian 4) Unbound except as indicated in the horizontal section	
2. COMMUNICATION SERVICES			
C. <u>Telecommunication Services</u> All Sub-sectors			(i) The foreign service supplier must be a world class operator with extensive international experience. (ii) Type approval mechanism is in place. (iii) Basic telecommunication service tariff is decided by the government.

<u>Local service:</u> - Public switched telephone service (CPC 7521) - Circuit Switched Public Data Network Services (CPC 7523**) - Teleconferencing Services (CPC 75292)	1) None 2) None 3) None except commercial presence only permitted in the form of: a) Joint operation by establishing a representative office; b) Joint venture company with foreign equity participation up to 35%; or c) Contract management. 4) Unbound except for managers and specialists for a joint venture company is limited to 20 persons	1) Unbound 2) Unbound 3) None 4) Unbound except as indicated in the horizontal section	
<u>Long Distance:</u> - Public switched telephone service (CPC 7521) - Circuit Switched Public Data Network Services (CPC 7523**) - Teleconferencing Services (CPC 75292)	1) None 2) None 3) None except commercial presence only permitted in the form of: a) Joint operation by establishing a representative office; b) Joint venture company with foreign equity participation up to 35%; or c) Contract management. 4) Unbound except for managers and specialists for a joint venture company limited to 20 persons	1) Unbound 2) Unbound 3) None 4) Unbound except as indicated in the horizontal section	
<u>International:</u> - Public switched telephone service (CPC 7521) - Circuit Switched Public Data Network Services (CPC 7523**) - Teleconferencing Services	1) Only through available networks 2) None 3) None except commercial presence only permitted in the form of: a) Joint operation by establishing a representative	1) Unbound 2) Unbound 3) None	

(CPC 75292)	office; b) Joint venture company with foreign equity participation up to 35%; or c) Contract management. 4) Unbound except for managers and specialists for a joint venture company limited to 20 persons	4) Unbound except as indicated in the horizontal section	
Packet-switched public data network services (CPC 7523**) - X. 25 - Frame relay - Local - Local distance - International	1) Only through available networks 2) None 3) None except commercial presence only permitted in the form of: a) Joint operation by establishing a representative office; or b) Joint venture company with foreign equity participation up to 35%. 4) Unbound except for managers and specialists for a joint venture company limited to 20 persons	1) Unbound 2) Unbound 3) None 4) Unbound except as indicated in the horizontal section	
Telex Services	1) Only through available networks 2) None 3) None except commercial presence only permitted in the form of: a) Joint operation by establishing a representative office; or b) Joint venture company with foreign equity participation up to 35%. 4) Unbound except for manager and specialist for a joint venture company limited to 20 persons	1) Unbound 2) Unbound 3) None except foreign service supplier should be a world class operator 4) Unbound except as indicated in the horizontal section	

Telegraph Services	1) Only through available networks 2) None 3) None except commercial presence only permitted in the form of: a) Joint operation by establishing a representative office; or b) Joint venture company with foreign equity participation up to 35%. 4) Unbound except for manager and specialist for a joint venture company limited to 20 persons	1) Unbound 2) Unbound 3) None except foreign service supplier should be a world class operator 4) Unbound except as indicated in the horizontal section	
<u>Domestic:</u> Mobile Cellular Telephone Services (CPC 75213)	1) None 2) None 3) None except joint venture company with foreign equity participation up to 67% 4) Unbound except for manager and specialist for a joint venture company limited to 20 persons	1) Unbound 2) Unbound 3) None 4) Unbound except as indicated in the horizontal section	
<u>Domestic:</u> Personal Mobile Cellular Communication Services	1) None 2) None 3) None except joint venture company with foreign equity participation up to 67% 4) Unbound except for manager and specialist for a joint venture company limited to 20 persons	1) Unbound 2) Unbound 3) None 4) Unbound except as indicated in the horizontal section	
Internet Access Services	1) None 2) None 3) None except commercial presence only permitted	1) Unbound 2) Unbound 3) None	

	in the form of: a) Joint operation by establishing a representative office; or b) Joint venture company with foreign equity participation up to 35%. 4) Unbound except for manager and specialist for a joint venture company limited to 20 persons	4) Unbound except as indicated in the horizontal section	
<u>Domestic:</u> Regional and National Paging Service (CPC 75291)	1) None 2) None 3) None except commercial presence only permitted in the form of: a) Joint operation by establishing a representative office; or b) Joint venture company with foreign equity participation up to 35%. 4) Unbound except for manager and specialist for a joint venture company limited to 20 persons	1) Unbound 2) Unbound 3) None 4) Unbound except as indicated in the horizontal section	
<u>Domestic:</u> Public Payphone Services	1) None 2) None 3) None except commercial presence only permitted in the form of: a) Joint operation by establishing a representative office; b) Joint venture company with foreign equity participation up to 35%; or c) Contract management. 4) Unbound except for manager and specialist for a joint venture company limited to 20 persons	1) Unbound 2) Unbound 3) None 4) Unbound except as indicated in the horizontal section	Division of revenue between the payphone operator and PT Telkom is determined by the government.

Voice mail services (CPC 7523)	1) None 2) None 3) None except access granted for five foreign service provider companies, in addition to existing companies 4) Unbound except for directors and specialists	1) None 2) None 3) None except higher paid-up capital is required of foreign service suppliers than of domestic service suppliers 4) Unbound except as indicated in the horizontal section	
Electronic mail services (CPC 75232)	1) None 2) None 3) None except access granted for five foreign service provider companies, in addition to existing companies 4) Unbound except for directors and specialists	1) None 2) None 3) None except higher paid-up capital is required of foreign service suppliers than of domestic service suppliers 4) Unbound except as indicated in the horizontal section	
Electronic mail box (CPC 75232)	1) None 2) None 3) None except access granted for five foreign service provider companies, in addition to existing companies 4) Unbound except for directors and specialists	1) None 2) None 3) None except higher paid-up capital is required of foreign service suppliers than of domestic service suppliers 4) Unbound except as indicated in the horizontal section	
Computer time sharing services (CPC 84330)	1) None 2) None 3) None except access granted for five foreign service provider companies, in addition to existing	1) None 2) None 3) None except higher paid-up capital is required of foreign service suppliers than of domestic service	

	companies 4) Unbound except for director and specialist	suppliers 4) Unbound except as indicated in the horizontal section	
Video text services (CPC 75299)	1) None 2) None 3) None except access granted for five foreign service provider companies, in addition to existing companies 4) Unbound except for director and specialist	1) None 2) None 3) None except higher paid-up capital is required of foreign service suppliers than of domestic service suppliers 4) Unbound except as indicated in the horizontal section	
File transfer services (CPC 75299)	1) None 2) None 3) None except access granted for five foreign service provider companies, in addition to existing companies 4) Unbound except for director and specialist	1) None 2) None 3) None except higher paid-up capital is required of foreign service suppliers than of domestic service suppliers 4) Unbound except as indicated in the horizontal section	
Home telemetering alarm (CPC 75299)	1) None 2) None 3) None except access granted for five foreign service provider companies, in addition to existing companies 4) Unbound except for director and specialist	1) None 2) None 3) None except higher paid-up capital is required of foreign service suppliers than of domestic service suppliers 4) Unbound except as indicated in the horizontal section	

Entertainment services (CPC 75299)	1) None 2) None 3) None except access granted for five foreign service provider companies, in addition to existing companies 4) Unbound except for director and specialist	1) None 2) None 3) None except higher paid-up capital is required of foreign service suppliers than of domestic service suppliers 4) Unbound except as indicated in the horizontal section	
Management information services (CPC 75299)	1) None 2) None 3) None except access granted for five foreign service provider companies, in addition to existing companies 4) Unbound except for director and specialist	1) None 2) None 3) None except higher paid-up capital is required of foreign service suppliers than of domestic service suppliers 4) Unbound except as indicated in the horizontal section	
3. CONSTRUCTION AND RELATED ENGINEERING SERVICES			
A. Construction Work for Buildings (CPC 512 except 51210)	1) Unbound* 2) None 3) None except: a) Joint operation by establishing a representative office in Indonesia. License for representative office shall be valid for three years and can be extended. b) Joint venture company with foreign equity participation up to 51%.	1) Unbound* 2) Unbound 3) None except: a) 1. License fee is requirement; 2. Registered foreign company shall form a joint operation with local partner(s) which is (are) registered by Construction Services Development Board and having big qualification. b) Local partner(s) in joint venture shall be registered by Construction Services Development Board and having big qualification.	

	4) Unbound except as indicated in the horizontal section	4) Unbound except as indicated in the horizontal section	
B. Construction Work for Civil Engineering (CPC 513)	1) Unbound* 2) None 3) None except: a) Joint operation by establishing a representative office in Indonesia. License for representative office shall be valid for three years and can be extended. b) Joint venture company with foreign equity participation up to 51%. 4) Unbound except as indicated in the horizontal section	1) Unbound* 2) Unbound 3) None except: a) 1. License fee is requirement; 2. Registered foreign company shall form a joint operation with local partner(s) which is (are) registered by Construction Services Development Board and having big qualification. b) Local partner(s) in joint venture shall be registered by Construction Services Development Board and having big qualification. 4) Unbound except as indicated in the horizontal section	
C. Assembly and Erection of Prefabricated Constructions (CPC 514)	1) Unbound* 2) None 3) None except: a) Joint operation by establishing a representative office in Indonesia. License for representative office shall be valid for three years and can be extended. b) Joint venture company with foreign equity participation up to 51%.	1) Unbound* 2) Unbound 3) None except: a) 1. License fee is requirement; 2. Registered foreign company shall form a joint operation with local partner(s) which is (are) registered by Construction Services Development Board and having big qualification. b) Local partner(s) in joint venture shall be registered by Construction Services Development Board and having big qualification.	

	4) Unbound except as indicated in the horizontal section	4) Unbound except as indicated in the horizontal section	
Pre-erection Work at Construction Site (CPC 511 except CPC 51110 and CPC 51113)	1) Unbound* 2) None 3) None except: a) Joint operation by establishing a representative office in Indonesia. License for representative office shall be valid for three years and can be extended. b) joint venture company with foreign equity participation up to 51%. 4) Unbound except as indicated in the horizontal section	1) Unbound* 2) Unbound 3) None except: a) 1. License fee is requirement; 2. Registered foreign company shall form a joint operation with local partner(s) which is (are) registered by Construction Services Development Board and having big qualification. b) Local partner(s) in joint venture shall be registered by Construction Services Development Board and having big qualification. 4) Unbound except as indicated in the horizontal section	
Special Trade Construction (CPC 515-5155)	1) Unbound* 2) None 3) None except: a) Joint operation by establishing a representative office in Indonesia. License for representative office shall be valid for three years and can be extended. b) Joint venture company with foreign equity participation up to 51%.	1) Unbound* 2) Unbound 3) None except: a) 1. License fee is requirement; 2. Registered foreign company shall form a joint operation with local partner(s) which is (are) registered by Construction Services Development Board and having big qualification. (b) Local partner(s) in joint venture shall be registered by Construction Services Development Board and having big qualification.	

	4) Unbound except as indicated in the horizontal section	4) Unbound except as indicated in the horizontal section	
Renting Services Related Equipment for Construction or Demolition of Building or Civil Engineering Works with Operator (CPC 518)	1) Unbound* 2) None 3) None except: a) Joint operation by establishing a representative office in Indonesia. License for representative office shall be valid for three years and can be extended. b) Joint venture company with foreign equity participation up to 51%. 4) Unbound except as indicated in the horizontal section	1) Unbound* 2) Unbound 3) None except: a) 1. License fee is requirement; 2. Registered foreign company shall form a joint operation with local partner(s) which is (are) registered by Construction Services Development Board and having big qualification. b) Local partner(s) in joint venture shall be registered by Construction Services Development Board and having big qualification. 4) Unbound except as indicated in the horizontal section	
4. DISTRIBUTION SERVICES			
Direct Selling (Multi Level Marketing)	1) Unbound 2) None 3) None except joint venture company with foreign equity participation up to 51% 4) Unbound except as indicated in the horizontal section	1) Unbound 2) None 3) Unbound 4) Unbound except as indicated in the horizontal section	
Wholesale Trade Services of food, beverages, and tobacco with minimum space above 5,000 meter square (CPC 6222)	1) Unbound 2) Unbound 3) None except joint venture company subject to	1) Unbound 2) Unbound 3) None except:	

	economic needs test, with foreign equity participation up to 51% 4) Unbound	a) Subject to qualification and licensing requirement and procedure including registration; b) Required to appoint Indonesian trade companies as agent, single agent, distributor or single distributor; c) Have to obligation to cooperate with at least 100 Indonesian Small and Medium Enterprises yearly as a supplier and retailer, along with training and development with due regard to the principle of mutual need, mutually reinforcing and mutually beneficial; d) Only permitted to be located in the suburbs of the provincial capitals in the islands of Kalimantan, Sulawesi, Nusa Tenggara, Maluku and Papua. 4) Unbound except as indicated in the horizontal section	
5. EDUCATIONAL SERVICES			
General Conditions on Education Services Supply of services by foreign service providers is permitted only through an education institution which is registered in Indonesia and must meet the following conditions: 1. Mutual recognition arrangement between relevant institutions on credits, programs, and certifications is required. 2. Foreign education institution providing services must establish partnership with local partner. Foreign language instructors must be native and Indonesian speakers. 3. Foreign education institution must be listed in the Ministry of Education and Culture List of Accredited Foreign Education and its local partner must be accredited. 4. Foreign education institution in cooperation with local partner may open education institution in the cities of Jakarta, Surabaya, Bandung, Yogyakarta, and Medan. 5. Temporary entry for natural persons engaged in education activities in Indonesia is subject to approval by the Ministry of Education and Culture. Approval is granted on case-by-case basis.			
B. Secondary Education Services Technical and vocational secondary education services (electronic, automotive) (CPC 92230)	1) None 2) None 3) None except as indicated in the-horizontal section and general conditions	1) None 2) None 3) Unbound	

	4) Unbound except as indicated in the horizontal section and general conditions	4) Unbound except as indicated in the horizontal section and general conditions	
C. Higher Education Services Post secondary technical and vocational education services (Polytechnique Machine and Electrical) (CPC 92310)	1) None 2) None 3) Unbound 4) Unbound	1) None 2) None 3) Unbound 4) Unbound	
D. Adult Education Services (CPC 924) Language courses and training (Tourism, Electrical, Culinary Art, and Automotive)	1) None 2) None 3) None except as indicated in the-horizontal section and general conditions 4) Unbound except as indicated in the horizontal section and general conditions	1) None 2) None 3) Unbound 4) Unbound	
Job training (to provide, have access to, increase, develop job competency, productivity, discipline, manner and work ethics, inter alia, technics and engineering vocation, commerce, language, tourism, management, information technology, arts and agriculture directed to provide workforce with knowledge to enter the world of work) (ISIC 85499, 85492, 85493, 85494)	1) Unbound 2) Unbound 3) None except joint venture company subject to economic needs test, with foreign equity participation up to 67% 4) Unbound except as indicated in the horizontal section	1) Unbound 2) Unbound 3) None except: a) License requirements for foreign service suppliers may vary from that applicable to Indonesian service suppliers; b) The ratio of trainers and administrative staff from an EFTA State and from Indonesia will be agreed between the parties to the joint venture. 4) Unbound except as indicated in the horizontal section	

E. Other Education Services (CPC 92900) Football and chess only	1) None 2) None 3) None except as indicated in the-horizontal section and general conditions 4) Unbound except as indicated in the horizontal section and general conditions	1) None 2) None 3) Unbound 4) Unbound	
6. ENVIRONMENTAL SERVICES			
General condition: Commercial Presence of the foreign services provider(s) is (are) permitted only through environment institution which is registered in Indonesia and must establish partnership with local partner.			
A. Sewage Services (CPC 9401) (Only for wastewater management)	1) None 2) None for training, technical consultation, sample testing 3) None except joint venture company with foreign equity participation up to 51% 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None except subject to qualification and registration requirement and procedure 4) Subject to qualification and registration requirement and procedure	
Cleaning Services of Exhaust Gases (CPC 9404) (Only for air pollution Control)	1) None 2) None 3) None except joint venture company with foreign equity participation up to 51% 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None except subject to qualification and registration requirement and procedure 4) Subject to qualification and registration requirement and procedure	

Other Environmental Protection Services (CPC 9409) (Limited to Laboratory Services for Environment)	1) None 2) None 3) None except joint venture company with foreign equity participation up to 51% 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None except subject to qualification and registration requirement and procedure 4) Subject to qualification and registration requirement and procedure	
7. FINANCIAL SERVICES			
Non Banking Financial Services Sub-sector General conditions on Non Banking Financial Services Sub-sector : 1) Commercial presence of foreign service providers in a non-banking financial services sub-sector must be in the form of limited liability company (Perseroan Terbatas/PT). The share ownership of foreign service suppliers is bound at the prevailing laws and regulations at the date of entry into force of this Agreement. The conditions of ownership and the percentage share of ownership as stipulated in the respective shareholder agreement establishing the existing individual joint venture in non banking financial services shall be respected. No transfer of ownership shall take place without the consent of all parties in the joint venture concerned. 2) In addition to the Horizontal Section, temporary entry will be granted to specialist(s) for no longer than 3 (three) months per person for any given year. 3) Share of non-bank financial companies listed in the stock exchange may be 100% owned by foreign investors. 4) In relation to the presence of natural person, only directors, managers, and specialist can be assumed by expatriates with maximum stay of 3 (three) years and can be extended. 5) All joint venture companies shall provide training for their employees.			
Non-Life Insurance Services (CPC 8129)	1) Unbound 2) Unbound except if: (a) There is no insurance company in Indonesia, either individually or as a group, which could handle the insurance risks of the object in question. (b) There is no insurance company in Indonesia which wants to carry out an insurance coverage of the object in question. (c) The owners of insurance objects in question are not Indonesian citizens or Indonesian legal entities. 3) As specified in the general conditions 4) Unbound except for director and specialist	1) Unbound 2) Unbound 3) As specified in the general conditions 4) As specified in the horizontal section	

Reinsurance Services	1) Reinsurance service suppliers from EFTA States must be rated minimum as BBB by Standard and Poor or equivalent 2) Reinsurance service suppliers from EFTA States must be rated minimum as BBB by Standard and Poor or equivalent 3) As specified in the horizontal section and general conditions 4) Unbound except for director and specialist	1) None 2) Unbound 3) As specified in the general conditions 4) As specified in the horizontal section	
Life Insurance Services (CPC 8121)	1) Unbound 2) Unbound except if: (a) There is no insurance company in Indonesia, either individually or group, which could handle the insurance risks of the object in question. (b) There is no insurance company in Indonesia which wants to carry out an insurance coverage of the object in question. (c) The owners of insurance objects in question are not Indonesian citizens or Indonesian legal entities. 3) As specified in the horizontal section and general conditions 4) Unbound except for director and specialist	1) Unbound 2) Unbound 3) As specified in the general conditions 4) As specified in the horizontal section	

Insurance Brokerage Services (CPC 8140 & CPC 81401)	1) Unbound 2) None 3) As specified in the horizontal section and general conditions 4) Unbound except for director and specialist	1) None 2) None 3) As specified in the general conditions 4) As specified in the horizontal section	
Reinsurance Brokerage Services (CPC 8140)	1) Unbound 2) None 3) As specified in the horizontal section and general conditions 4) Unbound except for director and specialist	1) None 2) None 3) As specified in the general conditions 4) As specified in the horizontal section	
Financial Lease Services (CPC 81120)	1) None 2) None 3) As specified in the horizontal section and general conditions 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) As specified in the general conditions 4) As specified in the horizontal section	
Factoring Services (CPC 8113)	1) Unbound except for international factoring transaction 2) None 3) As specified in the horizontal section and general conditions 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) As specified in the general conditions 4) As specified in the horizontal section	

Credit Card Business (Issuer and Agent of Credit Card) (CPC 8113)	1) None 2) None 3) As specified in the horizontal section and general conditions 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) As specified in the general conditions 4) As specified in the horizontal section	
Consumers Finance Services (CPC 8113)	1) None 2) None 3) As specified in the horizontal section and general conditions 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) As specified in the general conditions 4) As specified in the horizontal section	
Securities Business - Trading for own account or for account of customer on an exchange or over-the-counter market - Listed shares - Bonds	1) Unbound 2) None 3) Through establishment of a securities broker/dealer 4) Unbound except as indicated in the horizontal section	1) Unbound 2) None 3) As specified in the general conditions 4) As specified in the horizontal section	
Participation in issues of securities, including underwriting and placement as agent (whether publicly or privately), and provision of services related to such issues	1) Unbound 2) None 3) Through establishment of a securities underwriter company 4) Unbound except as indicated in the horizontal section	1) Unbound 2) None 3) As specified in the general conditions 4) As specified in the horizontal section	

Portfolio Management, all forms of collective investment management	1) Unbound 2) None 3) Through establishment of a securities company as an investment manager 4) Unbound except for directors	1) Unbound 2) None 3) As specified in the general conditions 4) As specified in the horizontal section	
Asset Management limited only to fund management	1) Unbound 2) None 3) Through establishment of a securities company as an investment manager 4) Unbound except for directors	1) Unbound 2) None 3) As specified in the general conditions 4) As specified in the horizontal section	

Banking Financial Services Sub-sector

General Conditions on Banking Financial Services Sub-sector:

- 1) With the exception of the existing branches of foreign banks, foreign service providers shall be in the form of a joint venture bank locally incorporated in Indonesia with the following requirements:
 - (a) Unbound for new license
 - (b) Only financial institutions are permitted to establish joint venture banks.
- 2) The conditions of ownership and the percentage share of ownership as stipulated in the respective shareholder agreement establishing the existing individual joint venture bank shall be respected as the basis of ownership of the foreign service provider(s) and their respective Indonesian partner(s). No transfer of ownership shall take place without the consent of all parties in the joint venture bank.
- 3) Acquisition of local existing banks is allowed through the purchase of up to 51% of the shares of locally incorporated banks listed in the stock exchange.
- 4) Foreign banks and joint venture banks may open their offices in the cities of Jakarta, Surabaya, Semarang, Bandung, Medan, Makasar, Denpasar, Batam Island, Padang, Manado, and Ambon.
- 5) With respect to the presence of natural persons no economic needs test will apply. A non Indonesian employed as manager or as specialist shall have at least two Indonesian under studies during his/her term.
- 6) In addition to the horizontal measures, temporary entry will be granted to specialists of branch offices of foreign banks and joint venture banks for no longer than 3 (three) months per person for any given year.
- 7) A person shall be a “controlling shareholder” in only one bank.

Definition:

„controlling shareholder“ means a person who beneficially owns 10% or more of the equity of interest of a bank or who beneficially owns less than 10% of the equity interest of a bank but has the power to control the bank. A person shall be deemed to have control of a bank if such person has the power to name the director and/or commissioner of such bank and/or has the power to direct its action.

Commercial Banking Business (i) Acceptance of deposits and other repayable funds from the public	1) None 2) None 3) a) Bound only 2 (two) sub-branches and 2 (two) auxiliary offices for foreign bank's branch office. b) Bound only 2 (two) branches and 2 (two) sub-branches for joint venture bank. 4) Unbound except as indicated in the horizontal section and general conditions on Banking Sub-sector	1) None 2) None 3) a) For foreign bank branches, only executive positions can be assumed by expatriates with the limitation that at least one of them shall be an Indonesian national. b) For joint venture banks, only director positions can be assumed by expatriates in proportion to the ownership sharing. 4) Unbound except as indicated in the horizontal section and general conditions on Banking Sub-sector	
(ii) Lending of all types, including consumer credit, mortgage, credit, factoring, and financing of commercial transaction	1) None 2) None 3) a) Bound only 2 (two) sub-branches and 2 (two) auxiliary offices for foreign bank's branch office. b) Bound only 2 (two) branches and 2 (two) sub-branches for joint venture bank. 4) Unbound except as indicated in the horizontal section and general conditions on Banking Sub-sector	1) None 2) None 3) a) For foreign bank branches, only executive positions can be assumed by expatriates with the limitation that at least one of them shall be Indonesian national. b) For joint venture banks, only director positions can be assumed by expatriates in proportion to the ownership sharing. 4) Unbound except as indicated in the horizontal section and general conditions on Banking Sub-sector	

(iii) All payment and money transmission services including credit, charge and debit cards, travellers cheques and bankers drafts	1) None 2) None 3) a) Bound only 2 (two) sub-branches and 2 (two) auxiliary offices for foreign bank's branch office. b) Bound only 2 (two) branches and 2 (two) sub-branches for joint venture bank. 4) Unbound except as indicated in the horizontal section and general conditions on Banking Sub-sector	1) None 2) None 3) a) For foreign bank branches, only executive positions can be assumed by expatriates with the limitation that at least one of them shall be Indonesian national. b) For joint venture banks, only director positions can be assumed by expatriates in proportion to the ownership sharing. 4) Unbound except as indicated in the horizontal section and general conditions on Banking Sub-sector	
(iv) Guarantees and Commitments	1) None 2) None 3) a) Bound only 2 (two) sub-branches and 2 (two) auxiliary offices for foreign bank's branch office. b) Bound only 2 (two) branches and 2 (two) sub-branches for joint venture bank. 4) Unbound except as indicated in the horizontal section and general conditions on Banking Sub-sector	1) None 2) None 3) a) For foreign bank branches, only executive positions can be assumed by expatriates with the limitation that at least one of them shall be Indonesian national. b) For joint venture banks, only director positions can be assumed by expatriates in proportion to the ownership sharing. 4) Unbound except as indicated in the horizontal section and general conditions on Banking Sub-sector	
(v) Trading for own account or for account of customers, whether on an exchange, in an over-the-counter market or otherwise, the following: - Money market instruments	1) None 2) None 3) a) Bound only 2 (two) sub-branches and 2 (two) auxiliary offices for foreign bank's branch office.	1) None 2) None 3) a) For foreign bank branches, only executive positions can be assumed by expatriates with the limitation that at least one of them shall be	

(including cheques, bills, certificates of deposits)	b) Bound only 2 (two) branches and 2 (two) sub-branches for joint venture bank. 4) Unbound except as indicated in the horizontal section and general conditions on Banking Sub-sector	Indonesian national. b) For joint venture banks, only director positions can be assumed by expatriates in proportion to the ownership sharing. 4) Unbound except as indicated in the horizontal section and general conditions on Banking Sub-sector	
- Foreign Exchange	1) None 2) None 3) a) Bound only 2 (two) sub-branches and 2 (two) auxiliary offices for foreign bank's branch office. b) Bound only 2 (two) branches and 2 (two) sub-branches for joint venture bank. 4) Unbound except as indicated in the horizontal section and general conditions on Banking Sub-sector	1) None 2) None 3) a) For foreign bank branches, only executive positions can be assumed by expatriates with the limitation that at least one of them shall be Indonesian national. b) For joint venture banks, only director positions can be assumed by expatriates in proportion to the ownership sharing. 4) Unbound except as indicated in the horizontal section and general conditions on Banking Sub-sector	
- Exchange rate and interest rate instruments, including products such as swaps, forward rate agreements	1) None 2) None 3) a) Bound only 2 (two) sub-branches and 2 (two) auxiliary offices for foreign bank's branch office. b) Bound only 2 (two) branches and 2 (two) sub-branches for joint venture bank. 4) Unbound except as indicated in the horizontal section and general conditions on Banking Sub-sector	1) None 2) None 3) a) For foreign bank branches, only executive positions can be assumed by expatriates with the limitation that at least one of them shall be Indonesian national. b) For joint venture banks, only director positions can be assumed by expatriates in proportion to the ownership sharing. 4) Unbound except as indicated in the horizontal section and general conditions on Banking Sub-sector	

- Transferable securities issued in the money market	1) None 2) None 3) a) Bound only 2 (two) sub-branches and 2 (two) auxiliary offices for foreign bank's branch office. b) Bound only 2 (two) branches and 2 (two) sub-branches for joint venture bank. 4) Unbound except as indicated in the horizontal section and general conditions on Banking Sub-sector	1) None 2) None 3) a) For foreign bank branches, only executive positions can be assumed by expatriates with the limitation that at least one of them shall be Indonesian national. b) For joint venture banks, only director positions can be assumed by expatriates in proportion to the ownership sharing. 4) Unbound except as indicated in the horizontal section and general conditions on Banking Sub-sector	
(vi) Cash management, custodial and depository services	1) None 2) None 3) a) Bound only 2 (two) sub-branches and 2 (two) auxiliary offices for foreign bank's branch office. b) Bound only 2 (two) branches and 2 (two) sub-branches for joint venture bank. 4) Unbound except as indicated in the horizontal section and general conditions on Banking Sub-sector	1) None 2) None 3) a) For foreign bank branches, only executive positions can be assumed by expatriates with the limitation that at least one of them shall be Indonesian national. b) For joint venture banks, only director positions can be assumed by expatriates in proportion to the ownership sharing. 4) Unbound except as indicated in the horizontal section and general conditions on Banking Sub-sector	

8. HEALTH RELATED AND SOCIAL SERVICES

General conditions on health related and social services:

Commercial presence of foreign service providers is permitted only through a health institution which is registered in Indonesia and must establish partnership with local partner. Establishment limited to the cities of Medan and Surabaya.

Hospital services (only for specialist and super specialist medical care/more specific than specialist medical care, provided by hospital of more than 300 beds) (CPC 9311)	1) None 2) None 3) None except as indicated in the horizontal section and general conditions: - The hospital shall be managed by a Board of Directors chaired by an Indonesian medical doctor - Approval subject to the social function of the hospital services offered - The medical services shall be provided by persons with medical licenses issued by the Ministry of Health and Health professional organisation/council - Foreign health professionals are obliged to transfer medical knowledge and professional capabilities to Indonesian health professionals as consultant only - A non Indonesian health professional employed as manager or as specialist shall have at least two Indonesians under studies during his/her term 4) Unbound	1) None 2) None 3) Unbound 4) Unbound	
9. TOURISM AND TRAVEL RELATED SERVICES			
A. Hotel and Restaurant Services			
Hotels ² (CPC 64110) (Only for 3, 4, 5 star hotels)	1) None 2) None 3) None except joint venture company subject to economic needs test, with foreign equity participation up to 100%	1) None 2) None 3) None except higher paid-up capital is required of foreign service suppliers than of domestic service suppliers.	

² “hotel” means a service business which provides accommodation and food and beverages facilities and other services, except casinos and other activities prohibited by law, for reasons of public moral, religion, security and public order.

	4) Unbound except for: a) Top Management Level in Hotel Business comprised of: - General Manager - Food and Beverage Manager - Resident Manager - Comptroller - Marketing Director b) Highly Skilled Professionals comprised of: - Executive Chef - Sous Chef - Specialty Cook	4) Unbound except as indicated in the horizontal section	
Tourist Resorts including ³ : - Hotel (3, 4 and 5 stars) - Marinas - Golf courses and other sport facilities	1) None 2) None 3) None except joint venture company subject to economic needs test, with foreign equity participation up to 100% 4) Unbound except for resort manager	1) None 2) None 3) None except higher paid-up capital is required of foreign service suppliers than of domestic service suppliers. 4) Unbound except as indicated in the horizontal section	
Meal Serving Services with full Restaurant Services (CPC 64210)	1) None 2) None 3) None except joint venture company subject to economic needs test, with foreign equity participation up to 51% 4) Unbound except for: a) top management level in hotel business comprised of:	1) None 2) None 3) None except establishment subject to economic needs test and prevailing local government's laws and regulations 4) Unbound except foreign service supplier shall obtain recommendation from association of tourism professionals	

³ Tourist Resort is an area built to accommodate the facilities needed for the tourists such as hotels, marinas, golf courses, cultural open stage and other facilities, except casinos and other activities prohibited by law for reasons of public moral, religion, security and public order.

	- general manager - food and beverage manager - resident manager - comptroller - marketing director b) highly skilled professionals comprised of: - executive chef - sous chef - specialty cook		
B. Travel Agencies and Tour Operators Tour Operator Services (CPC 7471**)	1) None 2) None 3) None except joint venture company with foreign equity participation up to 51% 4) Unbound except for specialist	1) None 2) None 3) None except tour operator must be inbound tour operator located in Jakarta and Bali 4) Unbound except as indicated in the horizontal section	
D. Other Tourism Consultancy Services (CPC 91136)	1) None 2) None 3) None except commercial presence only permitted in the form of: a) Joint operation by establishing a representative office; b) Joint venture company; or c) Contract management. Must be registered with Indonesian Ministry of Justice and Human Rights as Indonesian company. 4) Unbound	1) None 2) None 3) Unbound 4) Unbound except as indicated in the horizontal section	

International Hotel Operator (CPC 91135)	1) None 2) None 3) None except joint venture company subject to economic needs test, with foreign equity participation up to 100% 4) Unbound except for: a) top management level in hotel business comprised of: - general manager - food and beverage manager - resident manager - comptroller - marketing director b) highly skilled professionals comprised of: - executive chef - sous chef - specialty sook	1) None 2) None 3) None 4) Unbound except foreign service supplier shall obtain recommendation from association of tourism professionals	
11. TRANSPORT SERVICES			
A. Maritime Transport Services “Cabotage” means transportation of passengers or goods between a port located in Indonesia and another port located in Indonesia, and between points, including traffic originating and terminating in the same port located in the territorial waters within the jurisdiction of Indonesia in accordance with prevailing domestic laws and regulations.			
International Passenger Transport Excluding Cabotage (CPC 7211)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None except foreign Shipping Companies are obliged to appoint an Indonesian Shipping Company or joint venture Shipping Company as their General Agent ¹⁾ 2) None 3) None 4) Unbound except as indicated in the horizontal section	Access to and use of port facilities in the port which is opened for international shipping, including but not limited to ²⁾ : Tanjung Priok, Tanjung Perak, Belawan, Makassar, Semarang, Dumai, Panjang, Batam, Balikpapan, Banjarmasin, Bitung, Pontianak, Ambon, and Sorong.

Maritime Auxiliary Services Maritime cargo handling services (CPC 741)	1) Unbound* except for no limitation on transshipment (board to board or via the quay) and/or on the use of on-board cargo handling 2) None 3) None except: a) Only through joint venture corporation, with foreign equity share allowable up to maximum 51%; and b) Allowed to operate only in main ports, such as: Tanjung Priok, Tanjung Perak, Belawan, Semarang, and Makasar. 4) Unbound except as indicated in the horizontal section	1) Unbound* except for no limitation on transshipment (board to board or via the quay) and/or on the use of on-board cargo handling 2) None 3) None 4) Unbound except as indicated in the horizontal section	
Vessel Salvage and Refloating Services Excluding Cabotage (CPC 7454)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	
Air Transport Services Aircraft repair and maintenance services	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	
Supporting and Auxiliary Transport Services			
Maritime Freight Forwarding Services	1) Unbound	1) Unbound	

(CPC 74800) (Only for shipment and/or packaging of goods on large volume through maritime transport services)	2) None 3) None except: a) Only allowed to establish within a provincial capital that has international seaport; b) Joint venture company with foreign equity participation up to 51%; and c) The number of service suppliers may be limited subject to economic needs test. 4) Unbound	2) None 3) None except: a) Subject to qualification and registration requirement and procedure; and b) Minimum capital requirement subject to prevailing laws and regulations at the time of the establishment. 4) Unbound	
Storage and warehousing services Outside Port Area and 1st Area for: - Storage services of frozen or refrigerated goods (CPC 7421) - Other storage or warehousing services (CPC 7429)	1) Unbound 2) None 3) None except: a) Only allowed to establish within a provincial capital that has an international seaport; b) Joint venture company with foreign equity participation up to 51%; and c) The number of service suppliers may be limited subject to economic needs test. 4) Unbound	1) Unbound 2) None 3) None except: a) Foreign service suppliers shall only provide services with high risk, high technology, and/or high capital. The qualifier of high risk, high technology and high capital will be in accordance with prevailing laws and regulations at the time of supplying the services; and b) Highest management in a representative office shall be Indonesian. 4) Unbound	
Notes (Maritime) : 1) : The tasks of General Agents in Indonesia inter alia are as follows: a) to make arrangement in order to get all necessary port services as required by the foreign vessel concerned during their stay in Indonesia ports; b) to appoint stevedoring company for cargo loading and unloading on behalf of its principal; c) to arrange cargo booking and canvassing; d) to collect freight on behalf of the principal; e) to issue Bill of Lading on behalf of the principal; f) to settle the disbursement and claim (if any); g) to give information as required by its principal; 2) : These ports are currently opened for international trade and may be added to or reduced in the future.			

12. OTHER SERVICES NOT INCLUDED ELSEWHERE

General Condition on Energy Services:

- 1) Indonesia's Schedule of Specific Commitments on energy services sector is based on the 2nd Revised Indonesia Proposal of Energy Services Classification in the WTO (WTO document reference: S/CSC/W/42/Rev.2).
- 2) For commercial presence, Indonesia reserves the right to adopt or maintain any measure relating to the privatisation, corporatisation, commercialisation or divestment of Government assets, entities or agencies including:
 - a) limitations on ownership of assets;
 - b) transfer or disposal of equity interests or their assets;
 - c) the right of foreign investors or their investments to control their assets; and nationality of the senior management or members of the board of directors.
 For greater certainty:
 - a) where Indonesia transfers an interest in an existing state company to another state company, such transfer shall not be considered to be an initial transfer or disposal of the interest for purposes of this entry; and
 - b) where Indonesia transfers or disposes of an interest in an existing state company in multiple phases, subparagraph (a) shall apply separately to each such phase.

Energy Services Core analysis and other Lab Test - only for Isotop Analysis - According to Indonesia Proposal of Energy Services Classification is under: Isotop Analysis (1A.2.7.3) - According to W120 is under: Business Services on Technical Testing and Analysis Services (CPC 8676)	1) None 2) None 3) None 4) Unbound except for directors and specialists	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	
Geological and Geophysical Services - only for Geothermal - According to Indonesia Proposal of Energy Services Classification is under: Seismic Data Acquisition (1A.1.4.1.1) - According to W120 is under: Geological,	1) None 2) None 3) None except joint venture company with foreign equity participation up to 51% 4) Unbound except for directors and specialists	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	

geophysical and other scientific prospecting services (CPC 86751**)			
Liquefaction and Gasification - only for Coal - According to Indonesia Proposal of Energy Services Classification is under: • Coal Liquefaction (2.4.4.4) • Coal Gasification (2.4.4.5) - According to W120 is under: Services Incidental to Manufacturing (CPC 884**)	1) None 2) None 3) None except coal liquefaction and gasification with special operation mining license (iup op khusus) through joint venture and representative office in Indonesia with foreign equity participation up to 51% 4) Unbound except for directors and specialists	1) None 2) None 3) None except requirement on licensing and procedure authorized by Central Government or Provincial Government 4) Unbound except as indicated in the horizontal section	
Geothermal Survey Services - According to Indonesia Proposal of Energy Services Classification is under: Subsurface Surveying Services (1A.1.6) - According to W120 is under: Subsurface Surveying Services (CPC 86752)	1) None 2) None 3) None except that commercial presence shall be in the form of a joint venture company (not more than 51% owned by foreign partners) or through a representative office in Indonesia 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None except requirement on licencing and procedure authorised by central government 4) Unbound except as indicated in the horizontal section	
Geothermal Operation and Maintenance Services - According to Indonesia Proposal of Energy Services Classification is under: Reinstallation, Upgrade and Refurbishment Activities for Facilities and Equipment (2.5.2)	1) Unbound 2) Unbound 3) None except that commercial presence shall be in the form of a joint venture company (not more than 51% owned by foreign partners) or through a representative office in Indonesia	1) Unbound 2) Unbound 3) None	

- According to W120 is under: Repair services of machinery and equipment (CPC 8862)	4) Unbound except as indicated in the horizontal section	4) Unbound except as indicated in the horizontal section	
Transportation of Petroleum and Natural Gas, only in Downstream - According to Indonesia Proposal of Energy Services Classification is under: Transportation, Transmission and Distribution of Petroleum Product and Gas (2.7.1) - According to W120 is under: Transportation of Petroleum and Natural Gas (CPC 7131)	1) Unbound 2) None 3) None except joint venture company subject to economic needs test, with foreign equity participation up to 51% 4) Unbound except for directors and specialists	1) Unbound 2) None 3) None except: a) Foreign service suppliers shall only provide services with high risk, high technology, and/or high capital. The qualifier of high risk, high technology and high capital will be in accordance with prevailing laws and regulations at the time of supplying the services; b) Highest management in a representative office shall be Indonesian; and c) Obligated to acquire oil and gas downstream transportation license 4) Unbound except as indicated in the horizontal section	

APPENDIX 2 TO ANNEX XII

ICELAND – SCHEDULE OF SPECIFIC COMMITMENTS

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
Unless otherwise indicated, the classification of services sectors are based on the 1991 Provisional Central Product Classification of the United Nations Statistical Office.			
I. HORIZONTAL COMMITMENTS			
ALL SECTORS INCLUDED IN THIS SCHEDULE	3) All foreign currency transfers must be reported to the Central Bank of Iceland for statistical purposes. Service providers shall inform the Ministry of Economic Affairs of investments made by non-residents in business enterprises in Iceland and the Central Bank of Iceland of investments made by non-residents in securities in Iceland. All foreign investment of a Foreign State or companies/organisations owned by a Foreign State requires a special concession of the Ministry of Economic Affairs.	3) Treatment accorded to subsidiaries of third-country companies formed in accordance with the law of an European Economic Area (hereinafter referred to as “EEA”) Member State or an EFTA Member State and having their registered office, central administration or principal place of business within an EEA Member State or an EFTA Member State may be extended to branches or agencies established in an EEA Member State or an EFTA Member State by a third-country company if they show that they possess an effective and continuous link with the economy of one of the EEA Member States or an EFTA Member State.	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	Non-residents cannot conclude an agreement on real-estate lease without the permission of the Ministry of Justice and Human Rights if the lease is for a period exceeding three years and not for use in conducting its normal business activities	The majority of founders of limited liability companies must be resident in Iceland unless exempted from this requirement by the Ministry of Economic Affairs. Citizens of other EEA and EFTA Member States are exempted from the residency requirement. Managers and the majority of the members of the board of directors in all domestic enterprises must be resident in Iceland unless exempted from this requirement by the Ministry of Economic Affairs. Citizens of other EEA and EFTA Member States are exempted from the residency requirement. At least one of the auditors of an Icelandic limited liability company must be a resident in Iceland or a competent resident CPA company Non-residents may only acquire real estate in conjunction to their business activities and can only obtain ordinary proprietary rights linked to the real estate. Non-residents are thus excluded from obtaining full property rights of real estate if unusual rights are linked to it, such as exploitation rights as regards waterfalls, geothermal energy, etc. Contracts concerning ownership and long-term use of real-estate by non-residents are not valid until the Ministry of Justice and Human Rights has endorsed it in writing	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
All sectors: Movement of personnel	Temporary entry of service providers 4) Unbound except the temporary entry of the following service providers, which Iceland shall permit without requiring compliance with labour market tests: A. Intra-Corporate Transferees <u>Executives</u>: persons who primarily direct the management of the organisation covered by the agreement and establish its goals and generally have a wide decision-making authority. Executives would not necessarily perform tasks related to the actual provision of the service. <u>Managers</u>: persons who direct the organisation covered by the agreement or its department and are in a senior level responsible of the service providing functions of the organisation by supervising and controlling and having also authority to hire and fire personnel or recommend such and other personnel actions. <u>Specialists</u>: persons within the organisation who possess knowledge at an advanced level of expertise or otherwise essential or proprietary to the organisation's service, research equipment, techniques or management.	Temporary entry of service providers 4) Unbound except for measures concerning the categories of natural persons referred to in the market access column.	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
All sectors: Subsidies (The issue of a definition of subsidies remains to be determined in the context of negotiations under Article XV of the GATS)	Access is subject to the following conditions: - A temporary residence and work permit must be obtained. - Such a work permit, which is granted for the first time shall not be granted for more than one year. A permit may be extended for up to one further year providing certain conditions are met. B. Business Visitors Persons seeking temporary entry whose remuneration is derived outside of Iceland, and who do not make direct sales to the public. Work permits are not required for persons qualifying as Business Visitors. Entry and temporary stay is subject to the following conditions: - Temporary entry, stay and work is limited to 90 days per year.		
	3) None	3) Eligibility for subsidies may be limited to juridical persons established within the territory of Iceland. Subsidies related to research and development are unbound.	
	4) None	4) Subsidies available only to natural persons may be limited to Icelandic citizens.	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector		Limitations on Market Access	Limitations on National Treatment	Additional Commitments
II. SECTOR SPECIFIC COMMITMENTS				
1.	BUSINESS SERVICES			
A.	<u>Professional Services</u>			
(a)	Legal Services (Applicable parts of CPC 861)			
	- Legal advice activities on host country law	1) None 2) None 3) Participation in a company operating a law office, or ownership of such company, is prohibited for others than lawyers. 4) Unbound except as indicated in the horizontal section. Members of the General Bar Association of Iceland have an exclusive right to represent clients before courts in Iceland.	2) None 1), 3) Unbound 4) Unbound except as indicated in the horizontal section. Icelandic law exam or an equivalent thereto.	
	- Legal advice on international law and foreign legal consultancy	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section.	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section.	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector		Limitations on Market Access		Limitations on National Treatment		Additional Commitments
(b)	Accounting, auditing and book-keeping services (CPC 862)	1)	None	1)	None	
		2)	None	2)	None	
		3)	None	3)	None	
		4)	Unbound except as indicated in the horizontal section.	4)	Unbound except as indicated in the horizontal section Icelandic exam for certified accountants (CPA's) required or and equivalent thereto.	
(c)	Taxation services (CPC 863)	1)	None	1)	None	
		2)	None	2)	None	
		3)	None	3)	None	
		4)	Unbound except as indicated in the horizontal section.	4)	Unbound except as indicated in the horizontal section.	
(d)	Architectural services (CPC 8671)	1)	None	1)	None	
		2)	None	2)	None	
		3)	None	3)	None	
		4)	Unbound except as indicated in the horizontal section.	4)	Unbound except as indicated in the horizontal section.	
(e)	Engineering services (CPC 8672)	1)	None	1)	None	
		2)	None	2)	None	
		3)	None	3)	None	
		4)	Unbound except as indicated in the horizontal section	4)	Unbound except as indicated in the horizontal section.	
(f)	Integrated Engineering services (CPC 8673)	1)	None	1)	None	
		2)	None	2)	None	
		3)	None	3)	None	
		4)	Unbound except as indicated in the horizontal section	4)	Unbound except as indicated in the horizontal section.	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector		Limitations on Market Access		Limitations on National Treatment		Additional Commitments
(g)	Urban planning and landscape architectural services (CPC 8674)	1)	None	1)	None	
		2)	None	2)	None	
		3)	None	3)	None	
		4)	Unbound except as indicated in the horizontal section	4)	Unbound except as indicated in the horizontal section.	
(i)	Veterinary services (CPC 932)	1)	None	1)	None	
		2)	None	2)	None	
		3)	None	3)	Ability in the Icelandic language required.	
		4)	Unbound except as indicated in the horizontal section. Number of veterinarians in rural districts is limited.	4)	Unbound except as indicated in the horizontal section. Ability in the Icelandic language required.	
B. <u>Computer and Related Services</u>						
(a)	Consultancy services related to the installation of computer hardware (CPC 841)	1)	None	1)	None	
		2)	None	2)	None	
		3)	None	3)	None	
		4)	Unbound except as indicated in the horizontal section	4)	Unbound except as indicated in the horizontal section.	
(b)	Software development (including software implementation) (CPC 842)	1)	None	1)	None	
		2)	None	2)	None	
		3)	None	3)	None	
		4)	Unbound except as indicated in the horizontal section	4)	Unbound except as indicated in the horizontal section.	
(c)	Data processing services (CPC 843)	1)	None	1)	Concession needed if personal-data is to be processed outside Icelandic jurisdiction	
		2)	None	2)	None	
		3)	None	3)	None	
		4)	Unbound except as indicated in the horizontal section	4)	Unbound except as indicated in the horizontal section.	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector		Limitations on Market Access		Limitations on National Treatment		Additional Commitments
(d)	Data base services (CPC 844)	1)	None	1)	Concession needed if personal-data is to be processed outside Icelandic jurisdiction	
		2)	None	2)	None	
		3)	None	3)	None	
		4)	Unbound except as indicated in the horizontal section	4)	Unbound except as indicated in the horizontal section.	
(e)	Other	1)	None	1)	Concession needed if personal-data is to be processed outside Icelandic jurisdiction	
	- Maintenance and repair services of office machinery and equipment including computers (CPC 845)	2)	None	2)	None	
		3)	None	3)	None	
		4)	Unbound except as indicated in the horizontal section.	4)	Unbound except as indicated in the horizontal section.	
	- Other computer services (CPC 849)					
C.	<u>Research and development Services</u>					
(a)	R&D services on Natural Sciences (CPC 851)	1)	None	1), 2)	A license is needed for the importation of research equipment. Natural history specimens must not be exported from the country unless permitted by the Icelandic Institute of Natural History (“Náttúrufræðistofnun Íslands”).	
		2)	None			
		3)	None	3)	None.	
		4)	Unbound except as indicated in the horizontal section	4)	Unbound except as indicated in the horizontal section	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector		Limitations on Market Access		Limitations on National Treatment		Additional Commitments
(b)	R&D services on social sciences and humanities (CPC 852)	1)	None	1), 2)	Archaeological finds must not be exported from the country unless permitted by the Museum Council (“Safnaráð”)	
		2)	None			
		3)	None	3)	All archaeological research whether by Icelandic or foreign researchers is subject to a permit granted by the Archaeological Preservation Agency (“Fornleifanefnd ríkisins”)	
		4)	Unbound except as indicated in the horizontal section	4)	Unbound except as indicated in the horizontal section. All archaeological research whether by Icelandic or foreign researchers is subject to a permit granted by the Archaeological Preservation Agency (“Fornleifanefnd ríkisins”)	
(c)	Interdisciplinary R&D services (CPC 853)	1)	None	1)	None	
		2)	None	2)	None	
		3)	None	3)	None	
		4)	Unbound except as indicated in the horizontal section	4)	Unbound except as indicated in the horizontal section	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
D. <u>Real Estate Services</u> (a) Real estate services involving own or leased property (CPC 821) (b) On a Fee or Contract basis (CPC 822)	1) None 2) None 3) Deposits or liability insurance to cover loss caused to clients. All licenses to provide services on sales of real estate are personal authorizations. 4) Unbound except as indicated in the horizontal section 1) None 2) None 3) Deposits or liability insurance to cover loss caused to clients. All licences to provide services on sales of real-estate are personal authorization. 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) Condition of one year previous residency in order to obtain licence to provide services in residential building and land sales, and other related intermediary services for purchase and sale of real-estate 4) Unbound except as indicated in the horizontal section. Condition of one year previous residency in order to obtain licence to provide services in residential building and land sales, and other related intermediary services for purchase and sale of real-estate 1) None 2) None 3) Condition of one year previous residency in order to obtain licence to provide services in residential building and land sales, and other related intermediary services for purchase and sale of real-estate 4) Unbound except as indicated in the horizontal section Condition of one year previous residency in order to obtain licence to provide services in residential building and land sales, and other related intermediary services for purchase and sale of real-estate	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector		Limitations on Market Access		Limitations on National Treatment		Additional Commitments
E.	<u>Rental/Leasing Services without Operators</u>	1)	None	1)	None	
		2)	None	2)	None	
		3)	Leasing services must be provided either by corporation with a limited liability (leasing companies) or registered commercial banks or savings banks	3)	Majority of the board of a leasing company shall be resident in Iceland. The manager shall be resident in Iceland and a citizen of a Nordic Country.	
		4)	Unbound except as indicated in the horizontal section	4)	Unbound except as indicated in the horizontal section	
(a)	Relating to ships (CPC 83103)	1)	None	1)	None	
		2)	None	2)	None	
		3)	To be registered in the ship register the ship must be owned by Icelandic natural or juridical persons who are resident in Iceland. Further nationality restrictions on fishing vessels.	3)	None	
		4)	Unbound except as indicated in the horizontal section	4)	Unbound except as indicated in the horizontal section	
(b)	Relating to aircraft (CPC 83104)	1)	None	1)	None	
		2)	None	2)	None	
		3)	To be registered in the aircraft register the aircraft must be owned by Icelandic natural or juridical persons resident in Iceland	3)	None	
		4)	Unbound except as indicated in the horizontal section	4)	Unbound except as indicated in the horizontal section	
(c)	Relating to other transport equipment (CPC 83101 + 83102 + 83105)	1)	None	1)	None	
		2)	None	2)	None	
		3)	None	3)	Residency requirement for car rental services	
		4)	Unbound except as indicated in the horizontal section	4)	Unbound except as indicated in the horizontal section. Residency requirement for car rental services	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector		Limitations on Market Access		Limitations on National Treatment		Additional Commitments
(d)	Relating to other machinery and equipment (CPC 83106-83109)	1)	None	1)	None	
		2)	None	2)	None	
		3)	None	3)	None	
		4)	Unbound except as indicated in the horizontal section	4)	Unbound except as indicated in the horizontal section	
(e)	Other (CPC 832)	1)	None	1)	None	
		2)	None	2)	None	
		3)	None	3)	None	
		4)	Unbound except as indicated in the horizontal section	4)	Unbound except as indicated in the horizontal section	
F.	<u>Other Business Services</u>					
(a)	Advertising services (CPC 871)	1)	None	1)	None	
		2)	None	2)	None	
		3)	None	3)	None	
		4)	Unbound except as indicated in the horizontal section	4)	Unbound except as indicated in the horizontal section	
(b)	Market research and public opinion polling services (CPC 864)	1)	None	1)	Concession needed if personal data is to be processed outside Icelandic jurisdiction	
		2)	None	2)	None	
		3)	None	3)	None	
		4)	Unbound except as indicated in the horizontal section	4)	Unbound except as indicated in the horizontal section	
(c)	Management consulting services (CPC 865)	1)	None	1)	None	
		2)	None	2)	None	
		3)	None	3)	None	
		4)	Unbound except as indicated in the horizontal section	4)	Unbound except as indicated in the horizontal section	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector		Limitations on Market Access		Limitations on National Treatment		Additional Commitments
(d)	Services related to man. consulting (CPC 866)	1)	None	1)	None	
		2)	None	2)	None	
		3)	None	3)	None	
		4)	Unbound except as indicated in the horizontal section	4)	Unbound except as indicated in the horizontal section	
(e)	Technical testing and analysis services (CPC 8676)	1)	None	1)	None	
		2)	None	2)	None	
		3)	None	3)	None	
		4)	Unbound except as indicated in the horizontal section	4)	Unbound except as indicated in the horizontal section	
(f)	Services incidental to agriculture, hunting and forestry (CPC 881)	1)	None	1)	None	
		2)	None	2)	None	
		3)	None	3)	None	
		4)	Unbound except as indicated in the horizontal section	4)	Unbound except as indicated in the horizontal section	
(i)	Services incidental to manufacturing (CPC 884 + 885 /except for 88442)	1)	None	1)	None	
		2)	None	2)	None	
		3)	None	3)	None	
		4)	Unbound except as indicated in the horizontal section	4)	Unbound except as indicated in the horizontal section	
(k)	Placement and supply services of personnel (CPC 872)	1)	None	1)	None	
		2)	None	2)	None	
		3)	None	3)	None	
		4)	Unbound except as indicated in the horizontal section	4)	Unbound except as indicated in the horizontal section	
(m)	Related scientific and technical consulting services (CPC 8675)	1)	None	1)	None	
		2)	None	2)	None	
		3)	None	3)	None	
		4)	Unbound except as indicated in the horizontal section	4)	Unbound except as indicated in the horizontal section	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector		Limitations on Market Access		Limitations on National Treatment		Additional Commitments
(n)	Maintenance and repair of equipment (CPC 633+8861-8866; not including maritime vessels, aircraft or other transport equipment)	1)	None	1)	None	
		2)	None	2)	None	
		3)	None	3)	None	
		4)	Unbound except as indicated in the horizontal section	4)	Unbound except as indicated in the horizontal section	
(o)	Building-cleaning services (CPC 874)	1)	None	1)	None	
		2)	None	2)	None	
		3)	None	3)	None	
		4)	Unbound except as indicated in the horizontal section	4)	Unbound except as indicated in the horizontal section	
(p)	Photographic services (CPC 875)	1)	None	1)	None	
		2)	None	2)	None	
		3)	None	3)	None	
		4)	Unbound except as indicated in the horizontal section	4)	Unbound except as indicated in the horizontal section	
(q)	Packaging services (CPC 876)	1)	None	1)	None	
		2)	None	2)	None	
		3)	None	3)	None	
		4)	Unbound except as indicated in the horizontal section	4)	Unbound except as indicated in the horizontal section	
(r)	Printing and publishing (CPC 88442)	1)	None	1)	None	
		2)	None	2)	None	
		3)	None	3)	Residency requirement for publishing of newspapers or magazines within the national territory. Residency requirement for editors.	
		4)	Unbound except as indicated in the horizontal section	4)	Unbound except as indicated in the horizontal section	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector		Limitations on Market Access		Limitations on National Treatment		Additional Commitments
(s)	Convention services (CPC 87909)	1)	None	1)	None	
		2)	None	2)	None	
		3)	None	3)	None	
		4)	Unbound except as indicated in the horizontal section.	4)	Unbound except as indicated in the horizontal section	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector		Limitations on Market Access		Limitations on National Treatment	Additional Commitments
2.	COMMUNICATION SERVICES				
C.	<u>Telecommunication Services</u>				
(a)	Voice telephony	1)	None	1)	None
(b)	Packet-switched data transmission services	2)	None	2)	None
		3)	None	3)	None
(c)	Circuit-switched data transmission services	4)	Unbound except as indicated in the horizontal section	4)	Unbound except as indicated in the horizontal section
(d)	Telex services				
(e)	Telegraph services				
(f)	Facsimile services				
(g)	Leased circuit services				
(o)	Other				
-	Mobile and personal communications services and systems				
	Value-added services ¹	1)	None	1)	None
	Electronic mail,	2)	None	2)	None
	Voice mail, On-line information and Data Base Retrieval, EDI, Code and Protocol Conversion	3)	None	3)	None
		4)	Unbound except as indicated in the horizontal section	4)	Unbound except as indicated in the horizontal section

¹ Excludes voice telephony, telegraph, telex, packet and circuit switched data services, mobile radiotelephony, paging and satellite services.

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector		Limitations on Market Access		Limitations on National Treatment		Additional Commitments
3.	CONSTRUCTION AND RELATED ENGINEERING SERVICES					
A.	<u>General Construction Work for Buildings</u> (CPC 512)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section.		1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section		
B.	<u>General Construction Work for Civil Engineering</u> (CPC 513)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section		1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section		
C.	<u>Installation and Assembly Work</u> (CPC 514+516)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section		1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section		
D.	<u>Building Completion and Finishing Work</u> (CPC 517)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section		1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section		

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector		Limitations on Market Access		Limitations on National Treatment		Additional Commitments
4.	DISTRIBUTION SERVICES (excluding trade in arms, alcoholic beverages, tobacco and pharmaceutical products)					
A.	<u>Commissions Agents' Services</u> (CPC 621)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section		1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section		
B.	<u>Wholesale Trade Services</u> (CPC 622)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section		1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section		
C.	<u>Retailing Services</u> (CPC 631 + 632 + 6111 + 6113 + 6121)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section		1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section		
D.	<u>Franchising</u> (CPC 8929)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section		1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section		

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector		Limitations on Market Access		Limitations on National Treatment		Additional Commitments
6.	ENVIRONMENTAL SERVICES					
A.	<u>Sewage Services</u> (CPC 9401)	1) Unbound* 2) None 3) Environmental operation license required 4) Unbound except as indicated in the horizontal section		1) Unbound* 2) None 3) None 4) Unbound except as indicated in the horizontal section		
B.	<u>Refuse Disposal Waste</u> (CPC 9402)	1) Unbound* 2) None 3) Environmental operating license required 4) Unbound except as indicated in the horizontal section		1) Unbound* 2) None 3) None 4) Unbound except as indicated in the horizontal section		
C.	<u>Sanitation and Similar Services</u> (CPC 9403)	1) Unbound* 2) None 3) None 4) Unbound except as indicated in the horizontal section		1) Unbound* 2) None 3) None 4) Unbound except as indicated in the horizontal section		
D.	<u>Other</u> (CPC 9409)	1) Unbound* 2) None 3) Environmental operating license required 4) Unbound except as indicated in the horizontal section.		1) Unbound* 2) None 3) None 4) Unbound except as indicated in the horizontal section		

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
7. FINANCIAL SERVICES			
(i)	Iceland undertakes commitments on financial services in accordance with the "Understanding on Commitments in Financial Services" (the Understanding).		
(ii)	Market access commitments with respect to modes 1) and 2) are bound in this Schedule to the extent of the obligations in B.3 and B.4 of the Understanding.		
A. <u>Insurance and Insurance related Services</u>	1) The supply of direct insurance is reserved for insurance undertakings in Iceland or authorized insurance undertakings from another EEA and EFTA Member State. The supply of insurance mediation is reserved for insurance intermediaries authorized by the Financial Supervisory Authority or insurance intermediaries authorized by competent authorities of another EEA Member State or an EFTA Member State. 2) None 3) Insurance undertakings not established in an EEA Member State or an EFTA Member State require authorization to establish branch offices in Iceland.	1) None 2) None 3) The majority of the founders of an insurance undertaking must be Icelandic residents or legal entities registered in Iceland, citizens of another EEA or EFTA Member State residing in an EEA or EFTA Member State or legal entities registered in an EEA or EFTA Member State. Managers and board members of insurance undertakings shall be resident in Iceland. Citizens of other EEA and EFTA Member States are exempted from the residency requirement. The Minister of Economic Affairs may grant exemptions from this requirement.	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
B. <u>Banking and Other Financial Services</u> (excluding insurance)	Any investor, whether resident or non-resident, who acquires or intends to acquire a qualifying holding in an insurance undertaking must give advance notice to the Financial Supervisory Authority. The Authority may refuse the acquisition or the exercise of ownership if it believes that the acquisition will affect the sound functioning of the enterprise.	Branch offices of non-EEA insurance undertakings must be managed by a resident agent.	
	4) Unbound except as indicated in the horizontal section.	4) Unbound except as indicated in the horizontal section	
	1) None	1) Domestic financial institutions shall inform the Central Bank of Iceland of the balances of service providers' accounts held by non-residents.	
	2) None	2) None	
	3) Credit institutions and undertakings engaged in securities services established in a non-EEA Member State or an EFTA Member State can establish a branch or a representative office, subject to authorization by the Financial Supervisory Authority, FME.	3) A founder of a credit institution shall be a natural or legal person resident in Iceland. The Minister of Economic Affairs can grant exemptions from this requirement. Citizens and legal persons of other EEA and EFTA Member States are exempted from the residency requirement.	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	Credit institutions and undertakings engaged in securities services can only be established as companies with limited liability. Commercial banks and savings banks have exclusive rights to accept deposits and other repayable funds from the public. Public issue of securities shall be conducted by securities undertakings or other parties authorized to provide such services.	Managers and the majority of the board members of credit institutions and undertakings engaged in securities services and UCITS shall be resident in Iceland. Citizens of other EEA and EFTA Member States are exempted from the residency requirement. The Minister of Economic Affairs may grant citizens of non-EEA Member States or non-EFTA Member States the same exemptions. Service providers shall inform the Ministry of Economic Affairs of investments made by non-residents in business enterprises in Iceland and the Central Bank of Iceland of investments made by non-residents in securities in Iceland.	
	4) Unbound except as indicated in the horizontal section.	4) Unbound except as indicated in the horizontal section	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector		Limitations on Market Access		Limitations on National Treatment		Additional Commitments
9.	TOURISM AND TRAVEL RELATED SERVICES					
A.	<u>Hotels and Restaurants (including catering)</u> (CPC 641-643)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section		1) None 2) None 3) Condition of licence is residency. 4) Unbound except as indicated in the horizontal section. Condition of licence is residency.		
B.	<u>Travel Agencies and Tour Operators</u> (CPC 7471)	1) None 2) None 3) Deposits or liability insurance to cover loss caused to clients due to bankruptcy 4) Unbound except as indicated in the horizontal section		1) None 2) None 3) Condition of licence is residence of the manager 4) Unbound except as indicated in the horizontal section. Condition of licence is residence of the manager		
C.	<u>Tourist Guides Services</u> (CPC 7472)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section		1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section. The right to exercise the profession is reserved for resident tourist guides. Non-resident tourist guides may be granted temporary work permit on ad hoc basis.		

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector		Limitations on Market Access		Limitations on National Treatment		Additional Commitments
10.	RECREATIONAL CULTURAL AND SPORTING SERVICES (other than audiovisual services)					
A.	<u>Entertainment Services</u> (including theatre, live bands and circus services) (CPC 9619)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section		1) None 2) None 3) Targeted financial support to specific local, regional or national activities. 4) Unbound except as indicated in the horizontal section		
B.	<u>News Agency Services</u> (CPC 962)	1),2),3) None other than access to management functions is subject to discretionary authorizations by competent authorities 4) Unbound except as indicated in the horizontal section		1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section. Condition of residency for the editor of a paper or magazine.		
C.	<u>Libraries, Archives, Museums and other Cultural Services</u> (CPC 963)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section		1) None 2) None 3) Targeted financial support to specific local, regional or national activities 4) Unbound except as indicated in the horizontal section		

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
D. <u>Sporting and other Recreational Services</u> (CPC 964)	1) None 2) None 3) Gambling, coin machines and like activities are subject to licensing. Professional boxing is illegal but amateur boxing is allowed. 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) Targeted financial support to specific local, regional or national activities 4) Unbound except as indicated in the horizontal section	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector		Limitations on Market Access	Limitations on National Treatment	Additional Commitments
11.	TRANSPORT SERVICES			
A.	<u>Maritime Transport Services</u>			
	International transport (passengers only) CPC 7211 including Cabotage transport (as defined in Attachment I - definition n°1).	1) None 2) None 3)(a) Establishment of a registered company for the purpose of operating a fleet under Icelandic flag: Unbound except as indicated in the horizontal section. (b) Other forms of commercial presence (as defined in Attachment I - definition n°2): None. 4)(a) Ships' crew: Unbound except as indicated in the horizontal section. (b) Key personnel employed in relation to a commercial presence as defined under mode 3(b) above: Unbound except as indicated in the horizontal section.	1) None 2) None 3)(a) Unbound (b) None 4)(a) Unbound (b) Unbound	
	<u>Maritime Auxiliary Services</u>			
-	Maritime Cargo Handling Services (as defined in Attachment I - definition n°3)	1) None 2) None 3) None 4) Unbound, except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector		Limitations on Market Access		Limitations on National Treatment		Additional Commitments
-	Storage and Warehousing Services (CPC 742); Customs Clearance Services (as defined in Attachment I - definition n°4); Container Station and Depot (as defined in Attachment I - definition n°5)	1)	Unbound*	1)	Unbound*	
		2)	None	2)	None	
		3)	None	3)	None	
		4)	Unbound, except as indicated in the horizontal section	4)	Unbound except as indicated in the horizontal section	
-	Maritime Agency Services (as defined in Attachment I - definition n°6); Freight Forwarding Services (as defined in Attachment I - definition n°7)	1)	None	1)	None	
		2)	None	2)	None	
		3)	None	3)	None	
		4)	Unbound, except as indicated in the horizontal section	4)	Unbound except as indicated in the horizontal section	
-	Other Supporting and Auxiliary Transport Services (as defined in Attachment I - definition n°8)	1)	None	1)	None	
		2)	None	2)	None	
		3)	None	3)	None	
		4)	Unbound, except as indicated in the horizontal section	4)	Unbound except as indicated in the horizontal section	

* A commitment on this mode of delivery is not feasible.

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
Auxiliary Services as required by Maritime Transport Operators:			The following services are made available to international maritime transport suppliers on reasonable and non-discriminatory terms and conditions: 1. Pilotage 2. Towing and tug assistance 3. Provisioning, fuelling, watering 4. Garbage collecting, ballast waste disposal 5. Port Captain's services 6. Navigation aids 7. Shore-based operational services essential to ship operations, incl. communications, water, electrical supplies 8. Emergency repair facilities 9. Anchorage, berth, berthing services 10. Container handling, storage and warehousing, freight transport.

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
C. <u>Air Transport Services</u> Maintenance and repair of aircraft and parts thereof Sales and marketing	1) Unbound* 2) None 3) None 4) Unbound except as indicated in the horizontal section 1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) Unbound* 2) None 3) None 4) Unbound except as indicated in the horizontal section 1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	Where road, coastal shipping and related auxiliary services are not otherwise fully covered in this schedule, a multimodal transport operator shall have the ability to rent, hire or charter trucks and related equipment for the purpose of inland forwarding of international cargoes carried by sea, or have access to and use of such multimodal activities for the purpose of providing multimodal transport services.

* Unbound due to lack of technical feasibility

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector		Limitations on Market Access		Limitations on National Treatment		Additional Commitments
	Computer Reservations System	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section		1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section		
F.	<u>Road Transport Services</u>					
(a)	Passenger transportation (CPC 7121 + 7122)	1) None 2) None 3) Authorization required for commercial land transport services. Numerical quotas may be imposed as well as exclusive licenses for certain areas or routes. 4) Unbound except as indicated in the horizontal section		1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section		
(b)	Freight transportation (CPC 7123)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section		1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section		
(c)	Rental of commercial vehicles with operator (CPC 7124)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section		1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section		
(d)	Maintenance and repair of road transport equipment (CPC 6112+8867)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section		1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section		

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector		Limitations on Market Access		Limitations on National Treatment		Additional Commitments
(e)	Supporting services for road transport services (CPC 744)	1)	None	1)	None	
		2)	None	2)	None	
		3)	None	3)	None	
		4)	Unbound except as indicated in the horizontal section	4)	Unbound except as indicated in the horizontal section	
H.	<u>Services auxiliary to all modes of transport</u>					
(b)	Storage and warehousing services (CPC 742)	1)	Unbound*	1)	Unbound*	
		2)	None	2)	None	
		3)	None	3)	None	
		4)	Unbound except as indicated in the horizontal section	4)	Unbound except as indicated in the horizontal section	
(c)	Freight transport agency services (CPC 748)	1)	None	1)	None	
		2)	None	2)	None	
		3)	None	3)	None	
		4)	Unbound except as indicated in the horizontal section	4)	Unbound except as indicated in the horizontal section	
(d)	Other (CPC 749)	1)	None	1)	None	
		2)	None	2)	None	
		3)	None	3)	None	
		4)	Unbound except as indicated in the horizontal section	4)	Unbound except as indicated in the horizontal section	

* Unbound due to lack of technical feasibility.

ATTACHMENT I

NOTES TO MARITIME TRANSPORT

1. “Reasonable and non-discriminatory terms and conditions” means, for the purpose of multimodal transport operations, the ability of the multimodal transport operator to arrange for the conveyance of its merchandise on a timely basis, including priority over other merchandise which has entered the port at a later date. “Multimodal transport operator” means the person on whose behalf the bill of lading/multimodal transport document, or any other document evidencing a contract of multimodal carriage of goods, is issued and who is responsible for the carriage of goods pursuant to the contract of carriage.
2. “Cabotage” is defined as maritime transport of goods and passengers between ports in Iceland.
3. “Other forms of commercial presence for the supply of international maritime transport services” means the ability for international maritime transport service suppliers of the other Members to undertake locally all activities, which are necessary for the supply to their customers of a partially or fully integrated transport service, within which the maritime transport constitutes a substantial element. (This commitment shall however not be construed as limiting in any manner the commitments undertaken under the cross-border mode of delivery).

These activities include, but are not limited to:

- (a) marketing and sales of maritime transport and related services through direct contact with customers, from quotation to invoicing, these services being those operated or offered by the service supplier itself or by service suppliers with which the service seller has established standing business arrangements;
- (b) the acquisition, on their own account or on behalf of their customers (and the resale to their customers) of any transport and related services, including inward transport services by any mode, particularly inland waterways, road and rail, necessary for the supply of the integrated service;
- (c) the preparation of documentation concerning transport documents, customs documents, or other documents related to the origin and character of the goods transported;
- (d) the provision of business information by any means, including computerised information systems and electronic data interchange (subject to the provisions of the annex on telecommunications);
- (e) the setting of any business arrangements (including participation in the stock of a company) and the appointment of personnel recruited locally (or, in the case of foreign personnel, subject to the horizontal commitment on movement of personnel) with any locally established shipping agency;
- (f) acting on behalf of the companies, organising the call of the ship or taking over cargoes when required.

4. “Maritime cargo handling services” means activities exercised by stevedore companies, including terminal operators, but not including the direct activities of dockers, when this workforce is organized independently of the stevedoring or terminal operator companies. The activities covered include the organisation and supervision of:

- the loading/discharging of cargo to/from a ship;
- the lashing/unlashing of cargo;
- the reception/delivery and safekeeping of cargoes before shipment or after discharge.

5. “Customs clearance services” (alternatively “customs house brokers’ services”) means activities consisting in carrying out on behalf of another party customs formalities concerning import, export or through transport of cargoes, whether this service is the main activity of the service provider or a usual complement of its main activity.

6. “Container station and depot services” means activities consisting in storing containers, whether in port areas or inland, with a view to their stuffing/stripping, repairing, and making them available for shipments.

7. “Maritime agency services” means the activities consisting in representing, within a given geographic area, as an agent the business interests of one or more shipping lines of shipping companies, for the following purposes:

- marketing and sales of maritime transport and related services, from quotation to invoicing, and issuance of bills of lading on behalf of the companies; acquisition and resale of the necessary related services, preparation of documentation, and provision of business information;
- acting on behalf of the companies organising the call of the ship or taking over cargoes when required.

8. “Freight forwarding services” means the activity consisting of organising and monitoring shipment operations on behalf of shippers, through the acquisition of transport and related services, preparation of documentation and provision of business information.

9. “Other supporting and auxiliary transport services” means freight brokerage services; bill auditing and freight rate information services; transportation document preparation services; packing and crating and unpacking and de-crating services; freight inspection, weighing and sampling services; and freight receiving and acceptance services (including local pick-up and delivery).

APPENDIX 3 TO ANNEX XIII

LIECHTENSTEIN – SCHEDULE OF SPECIFIC COMMITMENTS

Modes of supply: (1) Cross-border (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
- The level of commitments in a particular sector shall not be construed to supersede the level of commitments taken with respect to any other services sector to which such service is an input or to which it is otherwise related. - CPC numbers indicated in square brackets are references to the UN Provisional Central Product Classification (Statistical Papers Series M No. 77, Provisional Central Product Classification, Department of International Economics and Social Affairs, Statistical Office of the United Nations, New York, 1991).			
PART I. HORIZONTAL COMMITMENTS¹			
ALL SECTORS INCLUDED IN THIS SCHEDULE			
This part sets out those commitments that apply to trade in services in all scheduled services sectors unless otherwise specified. Those commitments that apply to trade in specific services sectors are listed in Part II.			
	1) None	1) None except unbound for subsidies, tax incentives and tax credits Treatment accorded to subsidiaries of third country companies formed in accordance with the law of an European Economic Area (hereinafter referred to as “EEA”) Member State and having registered office, central administration or principal place of business within an EEA Member State is not extended to branches or agencies established in an EEA Member State by a third-country company. Treatment less favourable may be accorded to subsidiaries of third countries having only their registered office in the territory of an EEA Member State unless they show that they possess an effective and continuous link with the economy of one of the EEA Member States.	

¹ Liechtenstein makes reference to the specific geographic situation of the country, to its limited resources and to the small labour market. Therefore, Liechtenstein is in a position to bind its services sector only with the reservations mentioned in Part I and Part II.

Modes of supply: (1) Cross-border (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	2) None 3) The establishment of a commercial presence by a juridical person (including branches) is subject to the requirement that no objection for reasons of national economy (balanced proportion of national and foreign capital; balanced ratio of foreigners in comparison with the number of resident population; balanced ratio of total number of jobs in the economy in comparison with the number of the resident population; balanced geographic situation; balanced development of the national economy, between and within the sectors) exists.	2) None except unbound for subsidies, tax incentives and tax credits 3) None except for the following: The establishment of a commercial presence by an individual is subject to the requirement of prior residence during a certain period of time and of permanent domicile in Liechtenstein. The establishment of a commercial presence by a juridical person (including branches) is subject to the following requirements: At least one of the managers has to fulfil the requirements of prior residence during a certain period of time and of permanent domicile in Liechtenstein. The majority of the administrators (authorised to manage and represent the juridical person) must be resident in Liechtenstein and have either to be Liechtenstein citizens or have prior residence during a certain period of time in Liechtenstein. The general and the limited partnership have to fulfil the same conditions as corporations with limited liability (juridical person). In addition the majority of the associates have to be Liechtenstein citizens or to have prior residence during a certain period of time in Liechtenstein.	

Modes of supply: (1) Cross-border (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
		The Liechtenstein company law does not prohibit joint stock companies from foreseeing in their articles of incorporation the preclusion or limitation of the transfer of registered shares Treatment accorded to subsidiaries of third-country companies formed in accordance with the law of an EEA Member State and having registered office, central administration or principal place of business within an EEA Member State is not extended to branches or agencies established in an EEA Member State by a third-country company. Treatment less favourable may be accorded to subsidiaries of third countries having only their registered office in the territory of an EEA Member State unless they show that they possess an effective and continuous link with the economy of one of the EEA Member States. All acquisitions of real estate are subject to authorisation. Such authorisation is granted only if an actual and proven requirement for living or business purposes is given and a certain period of residence has been completed. Non-residents are excluded from the acquisition of real estate.	

Modes of supply: (1) Cross-border (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	4) Unbound except for measures concerning the entry and temporary stay of natural persons (hereinafter persons) falling within the categories, as defined in paragraph I below, and subject to the following limitations and conditions and to the limitations and conditions on national treatment set out under the national treatment column: Entry and stay of foreign services suppliers in Liechtenstein is subject to authorisation (requirement of residency permit and work permit). Authorisation is granted subject to measures fixing overall numbers of work permits allocated. For essential personnel as defined in paragraph I below, the period of stay is limited to a period of three years. Persons staying in or entering Liechtenstein with an open-ended or extendable residence permit based on an employment contract not limited in time for Liechtenstein are not considered as persons residing in or entering Liechtenstein for the purpose of temporary stay or temporary employment in Liechtenstein. I. <u>Essential persons transferred to Liechtenstein within a specific business or company (intra-corporate transferees)</u> Are considered as essential those persons - defined in detail below - who are employees of a business or company of a Party hereinafter enterprise) providing services in Liechtenstein through a branch or subsidiary established in Liechtenstein and who have been beforehand employees of their enterprise outside Liechtenstein for a period of not less than one year immediately preceding their application for admission:	4) Unbound except for measures concerning the categories of natural persons referred to in the market access column and subject to the following limitations and conditions: working conditions prevailing in the branch and the place of activity provided by law and/or collective agreement (with respect to remuneration, working hours, etc.), measures limiting professional mobility, regulations related to statutory systems of social security and public retirement plans (with respect to qualifying period, residency requirement, etc.) and all other provisions of the legislation relating to immigration, entry, stay and work. The enterprise employing such persons shall cooperate, upon request, with the authorities in charge of the enforcement of these measures.	

Modes of supply: (1) Cross-border (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	a) <u>Executives and senior managers:</u> persons who primarily direct the enterprise or one of its departments and who receive only general supervision or direction from high-level executives, the board of directors or the stockholders of the enterprise. Executives and senior managers would not directly perform tasks related to the actual supply of services of the enterprise. b) <u>Specialists:</u> highly qualified persons who, within an enterprise, are essential for the supply of a specific service by reason of their knowledge at an advanced level of expertise in the field of services, research equipment, techniques or management of the enterprise.		

Modes of supply: (1) Cross-border (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
PART II. SECTOR-SPECIFIC COMMITMENTS			
1. BUSINESS SERVICES			
A. <u>Professional Services</u>			
a) Legal Service			
- legal advisory services on home country law and international law (except for consulting on Liechtenstein law) (part of CPC 861)	1) None 2) None 3) Unbound 4) Unbound except as indicated in Part I	1) None 2) None 3) Unbound 4) Unbound except as indicated in Part I	
b) Accounting, auditing and bookkeeping services			
- Accounting and auditing services (CPC 8621)	1) None 2) None 3) Foreign equity ceiling of 49 per cent. Foreign voting rights may not exceed 49 per cent. At least one member of the administration body authorised to manage and represent must be a Liechtenstein citizen domiciled in Liechtenstein, be in possession of the professional licence to act as an auditor and must work full-time for the juridical person. The majority of the members of the administrative body must be in possession of the professional licence to act as an auditor. 4) Unbound except as indicated in Part I	1) None 2) None 3) Unbound 4) Unbound except as indicated in Part I	
- Bookkeeping services, except tax returns (CPC 8622)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	

Modes of supply: (1) Cross-border (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
c) Taxation services (CPC 863)	1) None 2) None 3) Foreign equity ceiling of 49 per cent. Foreign voting rights may not exceed 49 per cent. At least one member of the administrative body authorised to manage and represent must be a Liechtenstein citizen domiciled in Liechtenstein, be in possession of the professional licence to act as an auditor or trustee and must work full-time for the juridical person. 4) Unbound except as indicated in Part I	1) None 2) None 3) Unbound 4) Unbound except as indicated in Part I	
d) Architectural services (CPC 8671)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
e) Engineering services (CPC 8672)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) Liechtenstein nationality necessary for survey activities for official public purposes ² (however, foreign surveyors can work under the responsibility of a licensed Liechtenstein surveyor). 2) None 3) Liechtenstein nationality necessary for survey activities for official public purposes (however, foreign surveyors can work under the responsibility of a licensed Liechtenstein surveyor). 4) Unbound except as indicated in Part I; Liechtenstein nationality necessary for survey activities for official public purposes (however, foreign surveyors can work under the responsibility of a licensed Liechtenstein surveyor).	

² For the purposes of this Appendix, "survey activities for official public purposes" means cadastral activities and related activities.

Modes of supply: (1) Cross-border (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
f) Integrated engineering services (CPC 8673)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
g) Urban planning and landscape architectural services (CPC 8674)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
B. <u>Computer and Related Services</u> (CPC 841 - CPC 845, CPC 8491)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
C. <u>Research and Development Services</u> Excluding projects financed in whole or in part by public funds			
a) R&D services on natural sciences (part of CPC 851)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
b) R&D services on social sciences (part of CPC 852)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
c) Interdisciplinary R&D sciences (part of CPC 853)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	

Modes of supply: (1) Cross-border (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
E. <u>Rental/Leasing Services without Operators</u>			
c) Relating to other transport equipment (CPC 83101 + CPC 83102 + CPC 83105)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
d) Relating to other machinery and equipment (CPC 83106 - CPC 83109)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
F. <u>Other Business Services</u>			
a) Advertising services			
- Advertising services (including direct mail advertising), excluding outdoor advertising and excluding advertising for goods subject to import authorisation and excluding pharmaceutical products, alcohol, tobacco, toxics, explosives, weapons and ammunition (part of CPC 8711 + part of CPC 8712)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
b) Market research and public opinion polling services (CPC 864)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	

Modes of supply: (1) Cross-border (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
c) Management consulting services (CPC 865)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
d) Services related to management consulting (CPC 866)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
e) Technical testing and analysis services (CPC 8676)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
f) Services incidental to agriculture, hunting and forestry			
- Consulting services on agriculture, hunting and forestry (part of CPC 881)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
g) Services incidental to fishing			
- Consulting services relating to fishing (part of CPC 882)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	

Modes of supply: (1) Cross-border (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
h) Services incidental to mining			
- Services incidental to mining, excluding prospection, surveying, exploration and exploitation (part of CPC 883 + part of CPC 5115)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
i) Services incidental to manufacturing			
- Consulting services relating to manufacturing (part of CPC 884 + part of CPC 885)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
m) Related scientific and technical consulting services			
- Related scientific and technical consulting, excluding prospection, surveying, exploration and exploitation (part of CPC 8675)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
n) Maintenance and repair of equipment (not including maritime vessels, aircraft or other transport equipment) (CPC 633 + CPC 8861-CPC 8866)	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I; commercial presence in Liechtenstein required for subsector CPC 633	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I; commercial presence in Liechtenstein required for subsector CPC 633	

Modes of supply: (1) Cross-border (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
o) Building-cleaning services			
- Building-cleaning services (CPC 874 except CPC 87409)	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I; commercial presence in Liechtenstein required for subsector CPC 633	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I; commercial presence in Liechtenstein required for subsector CPC 633	
p) Photographic services (CPC 875)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
q) Packaging services (CPC 876)	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I; commercial presence in Liechtenstein required for subsector CPC 633.	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I; commercial presence in Liechtenstein required for subsector CPC 633.	
r) Printing, publishing (CPC 88442)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	

Modes of supply: (1) Cross-border (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
t) Other - Translation and interpretation services (CPC 87905)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None except unbound for translation for official purposes 2) None except unbound for translation for official purposes 3) None except unbound for translation for official purposes 4) Unbound except as indicated in Part I	
2. COMMUNICATION SERVICES C. <u>Telecommunication Services</u> Telecommunication Services are the transport of electro-magnetic signals - sound, data, image and combinations thereof, excluding broadcasting ³ <u>Basic Telecommunication Services</u>			
a) Voice telephone services (CPC 7521)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
b) Packet-switched data transmission (CPC 7523)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	

³ Broadcasting is defined as the uninterrupted chain of transmission required for the distribution of TV and radio programme signals to the general public, but does not cover contribution links between operators.

Modes of supply: (1) Cross-border (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
c) Circuit-switched data transmission (part of CPC 7523)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
d) Telex services (CPC 7523)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
e) Telegraph services (CPC 7522)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
f) Facsimile services (CPC 7521 + 7529)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
g) Private leased circuit services (CPC 7522 + 7523)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
<u>Enhanced/value-added telecommunication services</u>			
h) Electronic mail (part of CPC 7523)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
i) Voice mail (part of CPC 7523)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	

Modes of supply: (1) Cross-border (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
j) On-line information and data base retrieval (part of CPC 7523)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
k) Electronic data interchange (EDI) (part of CPC 7523)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
l) Enhanced/value-added facsimile services (part of CPC 7523)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
m) Code and protocol conversion	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
n) On-line information and/or data processing (part of CPC 843)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	

Modes of supply: (1) Cross-border (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
o) Other			
- Videotext	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
- Enhanced/value-added services based on licensed wireless networks including enhanced/value-added paging services, except for voice transmission	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	

Modes of supply: (1) Cross-border (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
4. DISTRIBUTION SERVICES A. <u>Commission agents' services</u> - Commission agents' services, excluding services related to goods subject to import authorisation, to pharmaceutical products, toxics, explosives, weapons and ammunition, and precious metals (part of CPC 6211) B. <u>Wholesale trade services</u> - Wholesale trade services, excluding services related to goods subject to import authorisation, to pharmaceutical products, toxics, explosives, weapons and ammunition, and precious metals (part of CPC 622)	1) None 2) None 3) None 4) Unbound except as indicated in Part I 1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I 1) None 2) None 3) None 4) Unbound except as indicated in Part I	

Modes of supply: (1) Cross-border (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
C. <u>Retailing services</u>			
- Retailing services, excluding services related to goods subject to import authorisation, to pharmaceutical products, toxics, explosives, weapons and ammunition, and precious metals; not covered is retailing through mobile sales unit (part of CPC 631 + part of CPC 632 + part of CPC 6111 + part of CPC 6113 + part of CPC 6121) ⁴	1) None 2) None 3) None 4) Unbound except as indicated in Part I; commercial presence in Liechtenstein required	1) None 2) None 3) None 4) Unbound except as indicated in Part I; commercial presence in Liechtenstein required	
- Retail sales of motor fuel (CPC 613)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
D. <u>Franchising</u> (CPC 8929)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	

⁴ This subsector includes all distribution services related to motor vehicles and parts thereof (CPC 6111 + 6113 + 6121).

Modes of supply: (1) Cross-border (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
5. EDUCATIONAL SERVICES Private education services			
A. <u>Compulsory Education Services (Primary & Secondary I)</u> (part of CPC 921 + part of CPC 922)	1) Unbound 2) Unbound 3) Foreigners may establish commercial presence only when organised as juridical persons according to Liechtenstein law 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
B. <u>Non-compulsory Secondary Education Services (Secondary II)</u> (part of CPC 922)	1) None 2) None 3) Foreigners may establish commercial presence only when organised as juridical persons according to Liechtenstein law 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
C. <u>Higher Education Services</u> (part of CPC 923)	1) None 2) None 3) Foreigners may establish commercial presence only when organised as juridical persons according to Liechtenstein law 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
D. <u>Adult Education Services</u> (part of CPC 924)	1) None 2) None 3) Foreigners may establish commercial presence only when organised as juridical persons according to Liechtenstein law 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	

Modes of supply: (1) Cross-border (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
6. ENVIRONMENTAL SERVICES Excluding public utilities whether owned and operated by municipalities or Liechtenstein government or contracted out by them			
A. <u>Sewage services</u> (CPC 9401)	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	
B. <u>Refuse disposal services</u> (CPC 9402)	1) Unbound due to lack of technical feasibility 2) None 3) None; unbound for garbage dump 4) Unbound except as indicated in Part I; commercial presence in Liechtenstein required	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I; commercial presence in Liechtenstein required	
C. <u>Sanitation and similar services</u> (CPC 9403)	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I; commercial presence in Liechtenstein required	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I; commercial presence in Liechtenstein required	
D. <u>Other environmental services</u> (CPC 9404 + CPC 9405 + CPC 9406 + part of CPC 9409)	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	

Modes of supply: (1) Cross-border (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
7. FINANCIAL SERVICES Commitments on banking, securities and insurance services are in accordance with the 'Understanding on Commitments in Financial Services' (hereinafter 'Understanding') and subject to limitations and conditions as contained in Part I (horizontal commitments) and as listed below. The Commitments below shall not impose any obligation to allow non-resident financial services suppliers to solicit business.			
<u>Insurance and insurance related services</u>	1) Establishment of a commercial presence is required for the provision of insurance services in Liechtenstein. 2) None 3) Insurance companies incorporated in Liechtenstein must be organised as public limited company (Aktiengesellschaft) or as co-operative/mutual association (Genossenschaft). If a third-country insurer wishes to become active within Liechtenstein, an agency or branch office must be established in Liechtenstein. The third-country insurer must be authorised to conduct insurance activities under the law of its country of incorporation. To be recognised for participation in the basic health insurance scheme, health insurance suppliers must be organised in the form of mutual associations (Genossenschaft, Verein: Versicherungsverein auf Gegenseitigkeit or Hilfskasse) or foundations (Stiftung); 4) Unbound except as indicated in Part I	1) None 2) None 3) One member of the board of directors and the administrators must be resident in Liechtenstein. They must be duly authorised to fully represent their company. In the case of a branch or an agency, it suffices if the general representative, whose nomination requires the approval of the supervisory authority, has his residence in Liechtenstein and is duly authorised to fully represent his company. 4) Unbound except as indicated in Part I	

Modes of supply: (1) Cross-border (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
<u>Banking and other financial services</u> (excluding insurance)	1⁵⁾ According to the practice in Liechtenstein, mutual funds (collective investments) have to be marketed through banks having a commercial presence in Liechtenstein 2) None 3) Banks and financial companies must be organised in the form of a limited company Financial institutions other than banks and financial companies according to the Liechtenstein Banking and Companies Act are subject to the following licensing requirements: foreign equity ceiling of 49 per cent; foreign voting rights may not exceed 49 per cent; at least one member of the administrative body authorised to manage and represent must be a Liechtenstein citizen domiciled in Liechtenstein, be in possession of the professional licence to act as an auditor or trustee and must work full-time for the juridical person 4) Unbound except as indicated in Part I	1) Subvention for house building is only granted to Liechtenstein citizen, which have to take out the loan for the house building at a domestic bank 2) Subvention for house building is only granted to Liechtenstein citizen, which have to take out the loan for the house building at a domestic bank 3) One member of the board of directors and the administrators must have domicile in Liechtenstein. They must be duly authorised to fully represent their company. Commercial presence of foreign financial institutions is subject to licensing requirements relating to the name of firm, duties toward the Swiss national bank and regulations on financial institutions in the country of origin 4) Unbound except as indicated in Part I	

⁵ Are covered not only transactions indicated in paragraph B.3 of the 'Understanding' but the whole range of banking and other financial services transactions (excluding insurance).

Modes of supply: (1) Cross-border (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
9. TOURISM AND TRAVEL RELATED SERVICES			
A. <u>Hotels and restaurants</u> (incl. catering) (CPC 641-CPC 643)	1) Unbound due to lack of technical feasibility, except none for catering (CPC 6423) 2) None 3) None 4) Unbound except as indicated in Part I	1) Unbound due to lack of technical feasibility, except none for catering (CPC 6423) 2) None 3) None 4) Unbound except as indicated in Part I	
B. <u>Travel agencies and tour operators services</u> (CPC 7471)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
C. <u>Tourist guides services</u> (CPC 7472)	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	

Modes of supply: (1) Cross-border (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
10. RECREATIONAL, CULTURAL AND SPORTING SERVICES (other than audiovisual services)			
B. <u>News agency services</u> (CPC 962)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
C. <u>Libraries</u> (CPC 9631*)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) Unbound for subsidies, tax incentives and tax credits 4) Unbound except as indicated in Part I ; and unbound for subsidies, tax incentives and tax credits	
D. <u>Sporting and other recreational services</u>			
- Sporting services (CPC 9641)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	

Modes of supply: (1) Cross-border (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
11. TRANSPORT SERVICES			
F. <u>Road transport services</u>			
a) Passenger transportation			
- regular, 'closed-door' tours only (part of CPC 7121)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
- occasional, excluding empty entrance, cabotage and taxi services (part of CPC 7122)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
b) Freight transportation (CPC 7123)	1) Unbound 2) None 3) None 4) Unbound except as indicated in Part I	1) Unbound 2) None 3) Vehicles must be registered in Liechtenstein 4) Unbound except as indicated in Part I	
c) Rental of commercial vehicles with operator (CPC 7124)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
d) Maintenance and repair of road transport equipment (CPC 6112 + CPC 8867)	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	

Modes of supply: (1) Cross-border (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
H. <u>Services auxiliary to all modes of transport</u>			
a) Cargo-handling services (CPC 741)	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	
b) Storage and warehouse services (CPC 742)	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	
c) Freight transport agency services (CPC 748)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
d) Other auxiliary transport services, excluding local pick up and delivery (part of CPC 749)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	

APPENDIX 4 TO ANNEX XII

NORWAY – SCHEDULE OF SPECIFIC COMMITMENTS

Modes of supply:	1)	Cross-border supply	2)	Consumption abroad	3)	Commercial presence	4)	Presence of natural persons
Sector or Sub-Sector	Limitations on Market Access				Limitations on National Treatment		Additional Commitments	
I. HORIZONTAL COMMITMENTS								
ALL SECTORS INCLUDED IN THIS SCHEDULE								
All sectors: Formation of legal entity - limited liability company, foundation	3)	None			3) The general manager in a joint stock company, and at least half of the members of the board of directors; and of the corporate assembly must be residents of Norway, unless the Ministry of Trade and Industry grants exemptions in each individual case.			
All sectors: Subsidies (The issue of a definition of subsidies remains to be determined in the context of negotiations under Article XV of the GATS)	1)	Unbound			1) Unbound			
	2)	Unbound			2) Unbound			
	3)	None			3) Eligibility for subsidies may be limited to juridical persons established in Norway. Unbound for research and development subsidies and for education services leading to the conferring of State recognised exams and/or degrees			
	4)	None			4) Subsidies available to natural persons may be limited to Norwegian citizens			
All sectors: Movement of personnel	4)	Unbound, except for the temporary presence of categories A, B, C and D			4) Unbound except for measures concerning the categories of natural persons referred to in the market access column.			

Modes of supply: 1) Cross-border supply 2) Consumption abroad 3) Commercial presence 4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	A. Intra Corporate Transferees Managers and executives, specialists as intra-corporate transferees, provided that the service supplier is the corporation to which these are attached. Definitions: Executives/managers - persons working in a senior position within a juridical person, who primarily direct the management of the establishment, receiving general supervision or direction principally from the board of directors or stockholders of the business or their equivalent, including: - directing the establishment or a department or subdivision of the establishment; - supervising and controlling the work of other supervisory, professional or managerial employees; - having the authority personally to hire and fire or recommend hiring, firing or other personnel actions. Specialists - persons working within a juridical person, who possess uncommon knowledge essential to the establishment's service, research equipment, techniques or management. In assessing such knowledge, account will be taken not only of the knowledge specific to the establishment, but also whether the person has a high level of qualification referring to a type of work or trade requiring specific technical knowledge, including membership in an accredited profession.		

Modes of supply: 1) Cross-border supply 2) Consumption abroad 3) Commercial presence 4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	Trainees - temporary presence of natural persons with a diploma, university degree or post-graduate qualification pursuing post-study work experience for the purposes of obtaining appropriate work experience, business techniques or methods of a service. Access for executives/managers and specialists is subject to the following conditions: - Compliance with an economic needs test is not required - Temporary entry, stay and work limited to a two year period. - A work permit must be obtained. - The work permit must be issued to a natural person who is considered to be a higher-level skilled worker or to have special qualifications. - The competence of the natural person must be deemed necessary for the recipient of the service. - All other requirements regarding entry, stay, wages, working conditions and social security benefits shall continue to apply.		

Modes of supply: 1) Cross-border supply 2) Consumption abroad 3) Commercial presence 4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	Access for trainees is subject to the following conditions: - Temporary entry, stay and work limited to a six months period. - A work permit must be obtained. - The work has to be essential for the person's qualifications and be a natural part of his or her vocational training. - The qualifications of the natural person must be relevant for the work in the company. - All other requirements regarding entry, stay, wages, working conditions and social security benefits, shall continue to apply. B. Business Visitors Definitions: a) Persons who are representatives of a service supplier and are seeking temporary entry for the purpose of negotiating for the sale of services or entering into agreements to sell services for that service supplier, where those representatives will not be engaged in making direct sales to the general public or in supplying services themselves.		

Modes of supply: 1) Cross-border supply 2) Consumption abroad 3) Commercial presence 4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	b) Persons working in a senior position, as defined above, within a juridical person, who are responsible for the setting up in Norway of a commercial presence of a service supplier of a Party when: - the representatives are not engaged in making direct sales or supplying services, and - the service supplier has no other representative, branch or subsidiary in Norway. For a) and b): Temporary entry, stay and work limited to a three month period. Compliance with an economic needs test is not required. C) Contractual Service Suppliers Definition: Employees of a foreign based company who are temporarily present in Norway to supply a service pursuant to a service contract concluded between their employer and client located in Norway. Access is subject to the following conditions: - Compliance with an economic needs test is not required ▪ Temporary entry and stay shall be limited to six months in any twelve-month period of for the duration of the contract, whatever is less		

Modes of supply: 1) Cross-border supply 2) Consumption abroad 3) Commercial presence 4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	- The commitment relates only to the service activity which is the subject of the contract. It does not entitle to exercise the profession as such - A work permit must be obtained - A work permit must be issued to a natural person who is considered to be a higher-level skilled worker or to have special qualifications, - The competence of the natural person must be deemed necessary for the recipient of the service - If it is apparent that there will be a permanent need for such labour, or if during the last six months a permit has been issued for the performance of the same kind of work for the same recipient of the service, a permit shall not be granted - All other requirements regarding entry, stay, wages, working conditions and social security benefits shall continue to apply.		

Modes of supply: 1) Cross-border supply 2) Consumption abroad 3) Commercial presence 4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	D. Independent Professionals Definition: Self-employed natural persons temporarily present in Norway to supply a service pursuant to a service contract between them and a client located in Norway. Access is subject to the following conditions: - Compliance with an economic needs test is not required - The temporary entry and stay shall be limited to six months in any twelve-month period or for the duration of the contract, whatever is less - The commitment relates only to the service activity which is the subject of the contract. It does not entitle to exercise the profession as such. - A work permit must be obtained. - A work permit must be issued to a natural person who is considered to be a higher-level skilled worker or to have special qualifications. - The competence of the natural person must be deemed necessary for the recipient of the service. - If it is apparent that there will be a permanent need for such labour, or if during the last six months a permit has been issued for the performance of the same kind of work for the same recipient of the service, a permit shall not be granted. - All other requirements regarding entry, stay, wages, working conditions and social security benefits shall continue to apply.		

Modes of supply: 1) Cross-border supply 2) Consumption abroad 3) Commercial presence 4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
II SECTOR SPECIFIC COMMITMENTS			
1. BUSINESS SERVICES			
A. Professional Services			
(a) Legal services - legal advice on foreign law (concerned parts of CPC 861)	1) None 2) None 3) The advocate is personally responsible for his activities. To have an interest (own shares and/or be a member of the board of the firm) in a firm of Norwegian advocates is only possible when taking active part in the business. 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) Foreign advocates can give advice on foreign law and international law after application. Some restrictions on co-operation with Norwegian advocates as a consequence of legislation on how a firm of Norwegian advocates may be organised. 4) Unbound except as indicated in the horizontal section. As for 3), but no restrictions on advice on a strictly occasional basis.	
(c) Taxation services (CPC 863)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	
(d) Architectural services (CPC 8671)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	
(e) Engineering services (CPC 8672)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	

Modes of supply: 1) Cross-border supply 2) Consumption abroad 3) Commercial presence 4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(f) Integrated engineering services (CPC 8673)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	
(g) Urban planning and landscape architectural services (CPC 8674)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	
(h) Medical and dental services (CPC 9312)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) Must speak Norwegian and have passed certain national exams in different areas. Foreign exams giving equivalent competence may be recognised. 4) Unbound except as indicated in the horizontal section. As 3).	
(i) Veterinary services (CPC 932)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section. Foreign exams giving equivalent competence may be recognised.	
(j) Deliveries and related services, nursing services, physiotherapeutic and para-medical services (CPC 93191)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section. Must speak Norwegian and have passed certain national exams in different areas. Foreign exams giving equivalent competence may be recognised.	

Modes of supply: 1) Cross-border supply 2) Consumption abroad 3) Commercial presence 4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
B. Computer and Related Services			
(a) Consultancy services related to the installation of computer hardware (CPC 841)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	
(b) Software implementation (CPC 842)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	
(c) Data processing services (CPC 843)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	
(d) Data base services (CPC 844)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	
(e) Maintenance and repair services for office equipment including computers and other computer services (CPC 854, CPC 849)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	

Modes of supply: 1) Cross-border supply 2) Consumption abroad 3) Commercial presence 4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
C. Research and Development Services			
(b) R&D services on social sciences and humanities (CPC 852)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	
D. Real Estate Services			
(b) Real Estate Services on a fee or contract basis (CPC 822)			
- Real estate agency	1) Unbound 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) Unbound 2) None 3) None 4) Unbound except as indicated in the horizontal section. Upon request, education/examination from abroad may be accepted.	
- Real estate agent	1) Unbound 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) Unbound 2) None 3) None 4) Unbound except as indicated in the horizontal section. Upon request, education/examination from abroad may be accepted.	

Modes of supply: 1) Cross-border supply 2) Consumption abroad 3) Commercial presence 4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
E. Rental/Leasing Services without Operators			
a), b), c) Relating to ships ¹ , aircraft, other transport equipment (CPC 83102, CPC 83103, CPC 83104, CPC 83105)	1) None 2) None 3) None other than: Aircrafts: to be registered in the aircraft register of Norway, the aircraft must be owned either by Norwegian natural persons or by Norwegian juridical persons.	1) None 2) None 3) None	
(c) Car-hiring (CPC 83101)	1) Unbound 2) None 3) None 4) Unbound except as indicated in the horizontal section	4) Unbound except as indicated in the horizontal section 1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	
(d) Relating to other machinery and equipment (CPC 83106-83109)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	
F. Other Business Services			
(a) Advertising services (CPC 871)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	

¹ Registration requirements for ships in the Norwegian shipping registries (NIS and NOR) are described in Section X - Maritime Transport

Modes of supply:		1)	Cross-border supply	2)	Consumption abroad	3)	Commercial presence	4)	Presence of natural persons
Sector or Sub-Sector		Limitations on Market Access				Limitations on National Treatment		Additional Commitments	
(b) Market research and public opinion polling services (CPC 864)		1)	None	2)	None	3)	None	4)	Unbound except as indicated in the horizontal section
(c), (d) Management and administrative services (including management, consultancy) (CPC 865, CPC 866)		1)	None	2)	None	3)	None	4)	Unbound except as indicated in the horizontal section
(e) Technical testing and analysis services (CPC 8676), except for public services functions such as statutory classification required for ships and floating vessels registered in NIS and NOR.		1)	None	2)	None	3)	None	4)	Unbound except as indicated in the horizontal section
(f) Advisory and consulting services related to agriculture, hunting and forestry (concerned parts of CPC 881)		1)	None	2)	None	3)	None	4)	Unbound except as indicated in the horizontal section
(g) Advisory and consulting services related to fishing (concerned parts of CPC 882)		1)	None	2)	None	3)	None	4)	Unbound except as indicated in the horizontal section
(i) Advisory and consulting services related to manufacturing (CPC 884, CPC 885)		1)	None	2)	None	3)	None	4)	Unbound except as indicated in the horizontal section

Modes of supply: 1) Cross-border supply 2) Consumption abroad 3) Commercial presence 4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(m) Related scientific and technical consulting services (CPC 8675),	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	
(n) Maintenance and repair of equipment (not including maritime vessels, aircraft or other transport equipment) (CPC 633 and CPC 8861-8866)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	
(o) Building-cleaning services (CPC 874)	1) Unbound* 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) Unbound* 2) None 3) None 4) Unbound except as indicated in the horizontal section	
(p) Photographic services (CPC 875)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	
(q) Packaging services (CPC 876)	1) Unbound* 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) Unbound* 2) None 3) None 4) Unbound except as indicated in the horizontal section	
(r) Printing and publishing (CPC 88442)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	

* Unbound due to lack of technical feasibility

Modes of supply: 1) Cross-border supply 2) Consumption abroad 3) Commercial presence 4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(s) Convention services (CPC 87909)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	
(t) Other			
- Exhibitions management services (parts of CPC 87909)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	
- Interior design (concerned parts of CPC 87907)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	

Modes of supply: 1) Cross-border supply 2) Consumption abroad 3) Commercial presence 4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
2. COMMUNICATION SERVICES			
B. Courier Services (CPC 7512)	1) None 2) None 3) No limitations except as specified for transportation services 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	
C. Telecommunication Services			
(a) Voice telephony	1) None	1) None	
(b) Packet-switched data transmission services	2) None	2) None	
(c) Circuit-switched data transmission	3) None	3) None	
(d) Telex services	4) Unbound except as indicated in horizontal commitments	4) Unbound except as indicated in horizontal commitments	
(e) Telegraph services			
(f) Facsimile services			
(g) Leased circuit services			
(o) Other			
- Mobile and personal communications services and systems			
- Value-added services (excludes voice telephony, telegraph and telex, packet- and circuit-switched data services, mobile radio telephony, paging and satellite services)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	

Modes of supply: 1) Cross-border supply 2) Consumption abroad 3) Commercial presence 4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
3. CONSTRUCTION AND RELATED ENGINEERING SERVICES			
A. General Construction Work for Buildings (CPC 512)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section.	
B. General Construction Work for Civil Engineering (CPC 513)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	
C. Installation and Assembly Work (CPC 514, CPC 516)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section. For electrical work, plumbing and water sanitation, foreign exams giving equivalent competence may be recognised on a case by case basis.	
D. Building Completion and Finishing Work (CPC 517)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section.	

Modes of supply: 1) Cross-border supply 2) Consumption abroad 3) Commercial presence 4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
E. <u>Other</u> - Pre-erection work at construction sites (CPC 511) - Special trade construction work (CPC 515) - Renting services relating to equipment for construction/demolition of buildings/civil engineering works, with operator (CPC 518)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	

Modes of supply: 1) Cross-border supply 2) Consumption abroad 3) Commercial presence 4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
4. DISTRIBUTION SERVICES First hand sales of raw fish and private import of alcohol are exempted			
B. Wholesale Trade Services (CPC 622) (Import and trade in arms and pharmaceuticals exempted)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	
C. Retailing Services (CPC 631+632+6111+6113+6121) (Trade in pharmaceutical products, alcohol and arms exempted)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section.	
D. Franchising (CPC 8929)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	

Modes of supply: 1) Cross-border supply 2) Consumption abroad 3) Commercial presence 4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
5. EDUCATION SERVICES Educational services leading to the conferring of State recognised exams and/or degrees - Primary and lower secondary education services (CPC 921 and CPC 922) - Upper secondary education services (CPC 922) - Higher education services (CPC 923) - Adult education (CPC 924) Educational services not leading to the conferring of State recognised exams and/or degrees (part of CPC 929)	1) As 3) 2) None 3) Primary and secondary education are public service functions. Authorization may be given to foundations and other legal entities to offer additional parallel or specialised education. 4) Unbound except as indicated in the horizontal section 1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section. Teaching qualifications from abroad may be recognised, and an exam must be passed. 1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	

Modes of supply: 1) Cross-border supply 2) Consumption abroad 3) Commercial presence 4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
6. ENVIRONMENTAL SERVICES With the exemption of public service functions whether owned and operated or contracted out by local, regional or central government.			
A. Wastewater management (CPC 9401) B. Solid/Hazardous waste management. - Refuse Disposal Services (CPC 9402) - Sanitation and Similar Services (CPC 9403) C. Protection of ambient air and climate - Services to reduce exhaust gases and other emissions and improve air quality (CPC 94040)	1) Unbound, except for advisory services 2) None 3) None 4) Unbound except as indicated in the horizontal section 1) Unbound, except for advisory services 2) None 3) None 4) Unbound except as indicated in the horizontal section 1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) Unbound, except for advisory services 2) None 3) None 4) Unbound except as indicated in the horizontal section 1) Unbound, except for advisory services 2) None 3) None 4) Unbound except as indicated in the horizontal section 1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	

Modes of supply: 1) Cross-border supply 2) Consumption abroad 3) Commercial presence 4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
D. Remediation and cleanup of soil & water - Treatment, remediation of contaminated/polluted soil and water (part of CPC 94060)	1) Unbound, except for advisory services 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) Unbound, except for advisory services 2) None 3) None 4) Unbound except as indicated in the horizontal section	
E. Noise & vibration abatement - Noise abatement services (CPC 94050)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	
F. Protection of biodiversity and landscape - Nature and landscape protection services (part of CPC 94060)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	
G. Other environmental & ancillary services - Other environmental protection services not classified elsewhere (CPC 94090)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	

Modes of supply: 1) Cross-border supply 2) Consumption abroad 3) Commercial presence 4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
7. FINANCIAL SERVICES			
i. Norway undertakes commitments on financial services in accordance with the "Understanding on Commitments in Financial Services" (the Understanding) of the WTO General Agreement on Trade in Services (GATS). ii. The market access commitments in respect of modes 1) and 2) apply to the transactions indicated in paragraphs B.3 and B.4 of the market access section of the Understanding respectively. iii. The restrictions and limitations listed in the schedule under mode 1) apply to regulatory measures directed towards non-resident financial service suppliers established outside the European Economic Area. The limitations listed in the schedule under mode 2) apply to regulatory measures directed towards financial service consumers residing in Norway. iv. The following restrictions and limitations apply:			
A. Insurance and Insurance-Related Services	1) In addition to the services listed in paragraph B.3 (a) of the understanding, non-resident insurance companies may supply insurance related to passenger vessels (including cruise vessels), ocean-going fishing vessels, offshore exploration or insurance contracts regarding domestic companies with an activity of at least 10-man-years or annual sales above a certain threshold. As of 2005 this threshold is set at NOK 50 million. Non-resident insurance companies must supply the services listed in paragraph B.3 (a) of the Understanding through an insurance broker authorised in Norway. 2) None	1) None 2) None	

Modes of supply: 1) Cross-border supply 2) Consumption abroad 3) Commercial presence 4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	3) No single or coordinated group of investors may acquire or hold more than 10% of the share capital in a Norwegian insurance company. A foreign or Norwegian financial institution may however, subject to approval acquire and hold up to 25% of such shares when this is part of a strategic alliance agreement. The Ministry of Finance and Customs may in special circumstances make exemptions from these limitations on single investor ownership. Notwithstanding the foregoing, foreign insurance companies may establish partly or wholly owned subsidiaries in Norway. The other owners of such partly owned subsidiaries must be foreign insurance companies or Norwegian financial institutions. For subsidiaries or branches of financial institutions not incorporated within Norway, a separation requirements applies between life insurance, non-life insurance and credit risk insurance. Insurance companies in Norway must be organised as joint stock companies or mutual insurance companies. Insurance brokerage firms incorporated in Norway must be organised as joint stock companies. (4) Unbound except as indicated in the horizontal section.	3) For mutual insurance companies, the manager, at least half the members of the board of directors and half of the members of the corporate assembly must be permanent residents of Norway. The Ministry of Industry and Trade may grant exemptions from these rules. 4) Unbound except as indicated in the horizontal section.	

Modes of supply: 1) Cross-border supply 2) Consumption abroad 3) Commercial presence 4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
B. Banking and Other Financial Services (excluding insurance)	1) None. 2) None. 3) No single or coordinated group of investors may acquire or hold more than 10 per cent of the share capital of commercial banks, central securities depositories or financing undertakings, or more than 10 per cent of the equity certificates of savings banks. A foreign or Norwegian financial institution may, however, subject to approval acquire or hold up to 25 per cent of such shares, except for clearing houses, or equity certificates when this is part of a strategic alliance. In addition, the Ministry of Finance may in special circumstances make exemptions from these limitations on single investor ownership in such institutions. Notwithstanding the foregoing, foreign banks and financial undertakings may establish partly or wholly owned subsidiaries in Norway. Commercial banks, central securities depositories, securities firms and management companies for collective investment funds incorporated in Norway must be organised as joint stock companies. 4) Unbound except as indicated in the horizontal section.	1) None. 2) None. 3) None, except that in savings banks and financing undertakings which are not organized as joint stock companies, the members of decision-making bodies must be Norwegian citizens and permanently residing in such states. The Ministry of Finance may grant exemptions from these rules. 4) Unbound except as indicated in the horizontal section.	

Modes of supply: 1) Cross-border supply 2) Consumption abroad 3) Commercial presence 4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
9. TOURISM AND TRAVEL RELATED SERVICES			
A. Hotels and Restaurants			
Hotels, camping sites and other commercial accommodations, restaurants, cafes, pubs, bars (CPC 641, CPC 642, CPC 643)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	
B. Travel Agencies and Tour Operators Services (CPC 7471)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	
C. Tourist Guides Services (CPC 7472)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	

Modes of supply: 1) Cross-border supply 2) Consumption abroad 3) Commercial presence 4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
10. RECREATIONAL, CULTURAL AND SPORTING SERVICES			
B. News Agency Services (CPC 962)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	

Modes of supply: 1) Cross-border supply 2) Consumption abroad 3) Commercial presence 4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
11. TRANSPORT SERVICES			
A. Maritime Transport²			
International transport (passengers only) (CPC 7211 and CPC 7213 related to international passenger transport, wherever applicable) International towing and pushing services related to international passenger transport (part of CPC 7214) Less domestic transport (2) and domestic towing and pushing services	1) None 2) None 3) (a) Ships on the Norwegian Ordinary Ship Register (NOR). Ships have to be owned by EEA citizens or by an EEA company where EEA citizens own at least 60% of the capital. Exemptions from the 60% rule may be granted. (b) Ships on the Norwegian International Ship Register (NIS): None (c) Other forms of commercial presence (3): None 4) (a) Ships' crew NOR: Unbound except as indicated in the horizontal section. (b) Ships' crew NIS: Unbound except as indicated in the horizontal section. (c) Shore personnel: Unbound except as indicated in the horizontal section	1) None 2) None 3) (a) NOR: When the ship-owning company is a limited liability company, it must be headquartered in the EEA area. The majority of the members of the board, including the chairman, must be EEA citizen resident in the EEA area having resided in the EEA the preceding two years. (b) NIS: Ships with more than 40 per cent non EEA ownership must have a management function in Norway. This may be the operation by a Norwegian ship-owning company with head office in Norway, or a Norwegian management company or if the ship is registered directly in the NIS by a foreign company, an EEA representative is required. The representative must be domiciled in Norway and be authorised to receive a lawsuit on behalf of the ship owner. (c) Other forms of commercial presence (3): None 4) (a) NOR: Unbound except as indicated in the horizontal section (b) NIS: None, except for the captain who must be a Norwegian citizen. Exemptions from this rule may be granted. (c) Shore personnel: Unbound except as indicated in the horizontal section	

Modes of supply: 1) Cross-border supply 2) Consumption abroad 3) Commercial presence 4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
Maritime auxiliary services:			
- Maritime cargo handling services (4)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	
- Storage and warehousing services (CPC 742); customs clearance services (5); container station and depot services (6)	1) Unbound* 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) Unbound* 2) None 3) None 4) Unbound except as indicated in the horizontal section	
- Maritime agency services (7); freight forwarding services (8)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	
- Other supporting auxiliary transport services (9)	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	

* Unbound due to lack of technical feasibility

Modes of supply: 1) Cross-border supply 2) Consumption abroad 3) Commercial presence 4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
Auxiliary services as required by maritime transport operators:			The following services are made available to international maritime transport suppliers on reasonable and non-discriminatory terms and conditions: 1. Pilotage 2. Towing and tug assistance 3. Provisioning, fuelling, watering 4. Garbage collecting, ballast waste disposal 5. Port Captain's services 6. Navigation aids 7. Shore-based operational services essential to ship operations, including communications, water, electrical supplies 8. Emergency repair facilities 9. Anchorage, berth, berthing services 10. Container handling, storage and warehousing, freight transport.

Modes of supply: 1) Cross-border supply 2) Consumption abroad 3) Commercial presence 4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
C. Air Transport Services (Definitions of services are found in the Air Transport Annex of GATS) - Computer reservations systems (CRS) services	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) Unbound for obligations of parent or participating carriers in respect of a CRS controlled by an air carrier of one or more third countries 2) None 3) Unbound for obligations of parent or participating carriers in respect of a CRS controlled by an air carrier of one or more third countries 4) Unbound except as indicated in the horizontal section	Where the road, rail, coastal shipping and related auxiliary services are not otherwise fully covered in this schedule, a multimodal transport operator shall have the ability to rent, hire or charter trucks, railway carriages and related equipment, for the purpose of onward forwarding of international cargoes carried by sea, or have access to and use of these forms of transport services for the purpose of providing multimodal transport services.

Modes of supply: 1) Cross-border supply 2) Consumption abroad 3) Commercial presence 4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
- Selling and marketing of air transport services	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) Unbound for distribution through CRS of air transport services provided by CRS parent carrier. 2) None 3) Unbound for distribution through CRS of air transport services provided by CRS parent carrier. 4) Unbound except as indicated in the horizontal section	
- Aircraft repair and maintenance services	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	
- Ground handling	1) Unbound 2) None 3) None, except that categories of activities depend of the size of the airport. The number of providers in each airport can be limited due to available space constraints and to not less than two suppliers for other reasons. Non-discriminatory preapproval may apply. 4) Unbound except as indicated in the horizontal section	1) Unbound 2) None 3) None 4) Unbound except as indicated in the horizontal section	

Modes of supply: 1) Cross-border supply 2) Consumption abroad 3) Commercial presence 4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
E. Rail Transport Services			
(c) Pushing and towing services (CPC 7113)	1) Unbound 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) Unbound 2) None 3) None 4) Unbound except as indicated in the horizontal section	
(d) Maintenance and repair of rail transport equipment (CPC 8868) ³	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) None 2) None 3) None 4) Unbound except as indicated in the horizontal section	
(e) Supporting services for rail transport services (CPC 743)	1) Unbound 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) Unbound 2) None 3) None 4) Unbound except as indicated in the horizontal section	

³ Service specific constitutes only a part of the total range of activities covered by the CPC concordance.

Modes of supply: 1) Cross-border supply 2) Consumption abroad 3) Commercial presence 4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
F. Road Transport Services			
a),b) Passenger and freight transportation (CPC 7121, CPC 7122, CPC 7123; not including domestic transport)	1) Unbound 2) None 3) None, except for passenger transportation for which regular trips and shuttle services are conditioned by an economic needs test based on traffic criteria 4) Unbound except as indicated in the horizontal section	1) Unbound 2) None 3) None 4) Unbound except as indicated in the horizontal section	
(e) Supporting services for road transport services (CPC 744)	1) Unbound 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) Unbound 2) None 3) None 4) Unbound except as indicated in the horizontal section	
H. Services Auxiliary to All Modes of Transport			
(a) Cargo-handling services (CPC 741)	1) Unbound* 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) Unbound* 2) None 3) None 4) Unbound except as indicated in the horizontal section	
(b) Storage and warehouse services (CPC 742)	1) Unbound* 2) None 3) None 4) Unbound except as indicated in the horizontal section	1) Unbound* 2) None 3) None 4) Unbound except as indicated in the horizontal section	

* Unbound due to lack of technical feasibility.

Modes of supply: 1) Cross-border supply 2) Consumption abroad 3) Commercial presence 4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
12. OTHER SERVICES NOT INCLUDED ELSEWHERE			
Physical well-being services - spas, massages, saunas, slimming rooms and steam rooms (concerned parts of CPC 9709)	1) Unbound 2) Unbound 3) None 4) Unbound	1) Unbound 2) Unbound 3) None 4) Unbound	

ATTACHMENT I

NOTES TO MARITIME TRANSPORT

1. “Reasonable and non-discriminatory terms and conditions” means, for the purpose of multimodal transport operations, the ability of the multimodal transport operator to arrange for the conveyance of its merchandise on a timely basis, including priority over other merchandise which has entered the port at a later date. “Multimodal transport operator” means the person on whose behalf the bill of lading/multimodal transport document, or any other document evidencing a contract of multimodal carriage of goods, is issued and who is responsible for the carriage of goods pursuant to the contract of carriage.
2. “Domestic transport” means maritime transport of goods and passengers between ports in Norway, including locations on the continental shelf where petroleum is explored or produced.
3. “Other forms of commercial presence for the supply of international maritime transport services” mean the ability for international maritime transport service suppliers of the other members to undertake locally all activities which are necessary for the supply to their customers of a partially or fully integrated transport service, within which the maritime transport constitutes a substantial element. (This commitment shall however not be construed as limiting in any manner the commitments undertaken under the cross-border mode of delivery).

These activities include, but are not limited to:

- (a) marketing and sales of maritime transport and related services through direct contact with customers, from quotation to invoicing, these services being those operated or offered by the service supplier itself or by service suppliers with which the service seller has established standing business arrangements;
- (b) the acquisition, on their own account or on behalf of their customers (and the resale to their customers) of any transport and related services, including inward transport services by any mode, particularly inland waterways, road and rail, necessary for the supply of the integrated service;
- (c) the preparation of documentation concerning transport documents, customs documents, or other documents related to the origin and character of the goods transported;
- (d) the provision of business information by any means, including computerised information systems and electronic data interchange (subject to the provisions of Annex XIII (Telecommunication Services));
- (e) the setting of any business arrangements (including participation in the stock of a company) and the appointment of personnel recruited locally (or, in the case of foreign personnel, subject to the horizontal commitment on movement of personnel) with any locally established shipping agency;
- (f) acting on behalf of the companies, organising the call of the ship or taking over cargoes when required.

4. “Maritime cargo handling services” means activities exercised by stevedore companies, including terminal operators, but not including the direct activities of dockers, when this workforce is organized independently of the stevedoring or terminal operator companies. The activities covered include the organisation and supervision of:

- the loading/discharging of cargo to/from a ship;
- the lashing/unlashing of cargo; and
- the reception/delivery and safekeeping of cargoes before shipment or after discharge.

5. “Customs clearance services” (alternatively “customs house brokers’ services”) means activities consisting in carrying out on behalf of another party customs formalities concerning import, export or through transport of cargoes, whether this service is the main activity of the service provider or a usual complement of its main activity.

6. “Container station and depot services” means activities consisting in storing containers, whether in a port area or inland, with a view to their stuffing/stripping, repairing, and making them available for shipments.

7. “Maritime agency services” means the activities consisting in representing, within a given geographic area, as an agent the business interests of one or more shipping lines or shipping companies, for the following purposes:

- marketing and sales of maritime transport and related services, from quotation to invoicing, and issuance of bills of lading on behalf of the companies; acquisition and resale of the necessary related services, preparation of documentation, and provision of business information;
- acting on behalf of the companies organizing the call of the ship or taking over cargoes when required.

8. “Freight forwarding services” means the activity consisting of organising and monitoring shipment operations on behalf of shippers, through the acquisition of transport and related services, preparation of documentation and provision of business information.

9. “Other supporting and auxiliary transport services” means freight brokerage services; bill auditing and freight rate information services; transportation document preparation services; packing and crating and unpacking and de-crating services; freight inspection, weighing and sampling services; and freight receiving and acceptance services (including local pick-up and delivery).

APPENDIX 5 TO ANNEX XII

SWITZERLAND – SCHEDULE OF SPECIFIC COMMITMENTS

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
- The level of commitments in a particular services sector shall not supersede the level of commitments undertaken with respect to any other services sector to which such service is an input or to which it is otherwise related. - CPC numbers indicated in parenthesis are references to the UN Provisional Central Product Classification (Statistical Papers, Series M, n° 77, Provisional Central Product Classification, Department of International Economics and Social Affairs, Statistical Office of the United Nations, New York, 1991). - Unless otherwise provided for, requirements of residency, domicile, commercial presence, etc. in a commitment relate to the territory of Switzerland. - Attachments I and II to this schedule form an integral part thereof.			
PART I. HORIZONTAL COMMITMENTS			
This Part sets out those commitments that apply to trade in services in all scheduled services sectors unless otherwise specified. Those commitments that apply to trade in specific services sectors are listed in Part II.			
ALL SECTORS INCLUDED IN THIS SCHEDULE	1) None 2) None	1) None except unbound for subsidies, tax incentives and tax credits 2) None except unbound for subsidies, tax incentives and tax credits	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	3) None	3) None except for the following: <u>I. Composition of governing boards</u> A domicile requirement is applied to the forms of legal entities hereinafter: - for a “joint-stock company” (société anonyme/ Aktiengesellschaft) or a "stock company with unlimited partners" (société en commandite par actions/ Kommanditaktiengesellschaft): the majority of the board of directors must be domiciled in Switzerland. However, this domicile requirement can be set below this limit; - for a “limited liability company” (société à responsabilité limitée/ Gesellschaft mit beschränkter Haftung): at least one manager must be domiciled in Switzerland; - for a “co-operative society” (société coopérative/ Genossenschaft): the majority of the administrators must be domiciled in Switzerland. <u>II. Shareholders’ circles</u> “Joint-stock companies” are not prohibited from providing in their articles of incorporation that the company may reject persons as acquirers of registered shares, as far and as long as their recognition by the company might prevent the company from providing proof of the composition of the shareholders' circle required by federal law.	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
		<u>III. Branching</u> The establishment of a branch requires a representative (natural person) with domicile in Switzerland who is duly authorised by the company to fully represent it. <u>IV. Commercial presence without juridical personality</u> The establishment of a commercial presence by natural persons or in the form of an enterprise without juridical personality according to Swiss law (i.e. in a form other than “joint-stock company”, “limited liability company” or “co-operative society”) is subject to the requirement of a permanent residency permit of the associate(s) under cantonal law. <u>V. Eligibility for subsidies</u> Eligibility for subsidies, tax incentives and tax credits may be limited to persons established in a particular geographical subdivision of Switzerland. <u>VI. Acquisition of real estate</u> The acquisition of real estate by foreigners who are not permanently established in Switzerland and by enterprises with headquarters abroad or under foreign control is subject to authorisation. However, no authorisation is required for: (a) the acquisition of premises for professional use and business activities; (b) the acquisition of main residences for the purpose of personal housing needs by foreigners who are domiciled in Switzerland.	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
		For the acquisition of holiday residences and secondary residences for the purpose of personal housing needs, authorisation is granted upon verification of the purpose. Purely financial investments and trade in apartments are prohibited, with the following exceptions: (a) foreigners may invest without authorisation in financial participations (<i>i.e.</i> shares) in legal persons that own and trade housing properties, provided such participations are quoted on a stock exchange in Switzerland; (b) foreign and foreign-controlled banks and insurance companies are granted authorisation to acquire property that serves as a security for mortgage loans in case of bankruptcy or liquidation; (c) foreign and foreign-controlled insurance companies are granted authorisation to invest in real estate, provided the total value of the buyer's property does not exceed the technical reserves required for the company's activities in Switzerland.	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	4) Unbound except for measures concerning the entry and temporary stay of natural persons of Indonesia (hereinafter referred to as “persons”) falling within the categories defined in paragraphs A, B, C and D below, and subject to the limitations and conditions set out under the national treatment column and to the condition that entry and stay of service suppliers of Indonesia in Switzerland are subject to authorisation (requirement of residency permit and work permit). Authorisation is granted subject to measures fixing the overall numbers of work permits allocated. Any limitations scheduled in Part II shall apply. For essential personnel as defined in paragraph A below, the period of stay is limited to a period of three years, which may be extended to a maximum of five years. For other essential personnel as defined in paragraphs B, C and D below, the period of stay is limited to 90 days within one year; if an authorisation for such a period of stay is renewed the following year, the applicant must stay abroad at least two months between the two consecutive periods of stay in Switzerland. Persons staying in or entering Switzerland with an open-ended or extendable residence permit based on an employment contract not limited in time for Switzerland are not considered as persons residing in or entering Switzerland for the purpose of temporary stay or temporary employment in Switzerland.	4) Unbound except for measures concerning the categories of natural persons referred to in the market access column, subject to the following limitations and conditions: (a) working conditions prevailing in the branch and the place of activity provided by law and/or collective agreement (with respect to remuneration, working hours, etc.); (b) measures limiting professional and geographical mobility within Switzerland; (c) regulations related to statutory systems of social security and public retirement plans (with respect to qualifying period, residency requirement, etc.); and (d) all other provisions of the legislation relating to immigration, entry, stay and work. The enterprise employing such persons shall cooperate, upon request, with the authorities in charge of the enforcement of these measures. Eligibility for subsidies, tax incentives and tax credits may be limited to persons domiciled in a particular geographical subdivision of Switzerland.	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	A. INTRA-CORPORATE TRANSFEREES (ICT) Essential persons transferred to Switzerland within a specific business or company of Indonesia and defined under (a) and (b) below who are employees of that business or company (hereinafter enterprise) supplying services in Switzerland through a branch, subsidiary or affiliate established in Switzerland and who have been beforehand employees of their enterprise located in Indonesia for a period of not less than one year immediately preceding their application for admission. (a) Executives and senior managers: Persons who primarily direct the enterprise or one of its departments and who receive only general supervision or direction from high-level executives, the board of directors or the stockholders of the enterprise. Executives and senior managers would not directly perform tasks related to the actual supply of services of the enterprise. (b) Specialists: Highly qualified persons who, within an enterprise, are essential for the supply of a specific service by reason of their knowledge at an advanced level of expertise in the field of services, research equipment, techniques or management of the enterprise.		

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	B. BUSINESS VISITORS (BV) AND SERVICES SALESPERSONS (SS) (a) Business visitors responsible for establishing a commercial presence (BV): Persons who are employees of an enterprise not having commercial presence in Switzerland and who have been beforehand employees of that enterprise located in Indonesia for a period of not less than one year immediately preceding their application for admission, and who fulfil the conditions of paragraph A.(a) above, and who are entering Switzerland for the purpose of establishing a commercial presence of that enterprise in Switzerland. Persons responsible for establishing a commercial presence may not sell services directly to the general public or supply services themselves. (b) Services salespersons (SS): Persons employed or mandated by an enterprise and who stay temporarily in Switzerland in order to conclude the contract for the sale of a service on behalf of the enterprise which employs them or has mandated them. Services salespersons may not sell services directly to the general public or supply services themselves.		

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	C. CONTRACTUAL SERVICE SUPPLIERS (CSS) CSS – Employees of a juridical person: Persons who are employees of an enterprise located in Indonesia not having commercial presence in Switzerland (and other than enterprises supplying services as defined by CPC 872), which has concluded a services contract with an enterprise engaged in substantive business in Switzerland, and who have been beforehand employees of the enterprise located in Indonesia for a time period of not less than one year immediately preceding their application for admission, and who fulfil the conditions of paragraph A.(b) above and who supply a service in Switzerland as a professional in a service sector as set out below on behalf of the enterprise located in Indonesia; as a further requirement three years of related experience is required. Any limitations scheduled in Part II shall apply. Per contract, temporary entry for a limited number of service suppliers will be granted for a single period of three months, the number of service suppliers depending on the size of the task to be performed under the contract. Individual service suppliers not employed by such enterprise located in Indonesia are considered as persons seeking access to the Swiss employment market.		

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	Services sectors: - Recognised welding services¹ in the manufacture and repair of industrial machines and equipment (part of CPC 633 + 8861-8866) - Architectural services (CPC 8671) - Engineering services (CPC 8672) - Integrated engineering services (CPC 8673) - Urban planning services (CPC 86741) - Consultancy services related to the installation of computer hardware (CPC 841) - Software implementation services (CPC 842) - Research and development services, excluding projects financed in whole or in part by public funds (part of CPC 851-853) - Related scientific and technical consulting services (CPC 8675) - Technical testing and analysis services (CPC 8676) - Specialty design services in aircrafts and trains (part of CPC 87907); specialty design services for the fashion industry and for the textile industry (part of CPC 87907) - Maintenance and repair of gas turbines (part of CPC 885); fitting and assembly of aircraft and of pipes (gas, oil, water) (part of CPC 885) - Mining and underground drilling services (part of CPC 5115 + 883) - Maintenance and repair of aircraft (part of CPC 8868)		

¹ Persons covered by this commitment refer to those having the following IIW (International Institute of Welding) qualifications: international welding engineer; international technologist; international welding specialist; international welding practitioner.

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	E. OTHER Installers and maintainers (IM): Qualified specialists who are employees of an enterprise located in Indonesia not having commercial presence in Switzerland, supplying installation or maintenance services for machinery or industrial equipment. The supply of that service has to occur on a fee or contractual basis (installation/maintenance contract) between the builder of the machinery or equipment and the owner of that machinery or equipment, both of them being enterprises (excluding any supply of services in connection with enterprises supplying services as defined by CPC 872).		

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
PART II. SECTOR-SPECIFIC COMMITMENTS			
1. BUSINESS SERVICES			
A. <u>Professional Services</u>			
(a) Legal services			
- International commercial arbitration services (part of CPC 861)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
- Legal advisory services (part of CPC 861)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1), 2), 3) All cantons except Ticino: None; Ticino: None except that legal advisory services on Swiss fiscal law is restricted to “fiduciario commercialista” 4) All cantons except Ticino: Unbound except as indicated in Part I; Ticino: Unbound except as indicated in Part I; legal advisory services on Swiss fiscal law is restricted to “fiduciario commercialista”	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(b) Accounting, auditing and bookkeeping services - Accounting and bookkeeping services (CPC 862 except 86211) - Financial auditing services, excluding auditing of banks (part of CPC 86211)	1) None 2) None 3) None 4) Unbound except as indicated in Part I 1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I 1) None except that at least one auditor of a “joint-stock company” (société anonyme/ Aktiengesellschaft) or a “stock company with unlimited partners” (société en commandite par actions/ Kommanditaktiengesellschaft) must have his domicile, his principal office, or a registered branch in Switzerland 2) None 3) None 4) Unbound except as indicated in Part I; at least one auditor of a “joint-stock company” or a “stock company with unlimited partners” must have his domicile, his principal office, or a registered branch in Switzerland	
(c) Taxation services (CPC 863)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
(d) Architectural services (CPC 8671)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(e) Engineering services (CPC 8671) (this includes the application of physical laws and principles of engineering in the design, development and utilisation of machines, materials, instruments, structures, processes and systems for palm-oil based biofuel production)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None except that for survey activities for official public purposes,² a Swiss licence is necessary, which is granted to qualified surveyors after they have passed an exam 2) None 3) None except that for survey activities for official public purposes, a Swiss licence is necessary, which is granted to qualified surveyors after they have passed an exam 4) Unbound except as indicated in Part I; for survey activities for official public purposes, a Swiss licence is necessary, which is granted to qualified surveyors after they have passed an exam	
(f) Integrated engineering services (CPC 8673)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
(g) Urban planning and landscape architectural services (CPC 8674)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
(h) Medical and dental services (CPC 9312)	1) None 2) None 3) Unbound 4) Unbound	1) None 2) None 3) None except that Swiss nationality is necessary to practise independently 4) Unbound except as indicated in Part I; Swiss nationality necessary to practise independently	

² "Survey activities for official public purposes" means cadastral activities and related activities.

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(i) Veterinary services (CPC 932)	1) None 2) None 3) Unbound 4) Unbound	1) None 2) None 3) None except that Swiss nationality is necessary to practise independently 4) Unbound except as indicated in Part I; Swiss nationality necessary to practise independently	
B. <u>Computer and Related Services</u>			
(a) Consultancy services related to the installation of computer hardware (CPC 841)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
(b) Software implementation services (CPC 842)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
(c) Data processing services (CPC 843)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
(d) Data base services (CPC 844)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(e) Other			
- Maintenance and repair services of office machinery and equipment including computers (CPC 845)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
- Data preparation services (CPC 8491)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
- Training services for staff of clients (part of CPC 8499)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
C. <u>Research and Development Services</u>			
Excluding projects financed in whole or in part by public funds			
(a) R&D services on natural sciences (part of CPC 851)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
(b) R&D services on social sciences (part of CPC 852)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(c) Interdisciplinary R&D services (part of CPC 853)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
E. <u>Rental/Leasing Services without Operators</u>			
(a) Relating to ships			
- For maritime transport (part of CPC 83103)	1) Unbound 2) None 3) None except that in order to sail under Swiss flag, vessels must be 100 per cent owned and controlled by Swiss nationals, 3/4 of which having residency in Switzerland 4) Unbound except as indicated in Part I	1) Unbound 2) None 3) None except that board of directors and management of companies owning ships sailing under Swiss flag must be composed of Swiss nationals, the majority of which having residency in Switzerland; only Swiss ship-owners can take Swiss ships on rental or on leasing 4) Unbound except as indicated in Part I	
- For internal waterway transport on the Rhine (part of CPC 83103)	1) Unbound 2) None 3) None except that in order to sail under Swiss flag, vessels must be owned by a company which is substantially influenced (at least 66 per cent of capital and vote) by persons domiciled in Switzerland or in a country according to the Mannheim Convention and the protocols related to it 4) Unbound except as indicated in Part I	1) Unbound 2) None 3) None except that traffic rights including cabotage are limited on the basis of the Mannheim Convention and the protocols related to it; owner of vessels must have an appropriate managing agency in Switzerland; only Swiss ship-owners can take on rental or on leasing Swiss ships 4) Unbound except as indicated in Part I; traffic rights including cabotage are limited on the basis of the Mannheim Convention and the protocols related to it	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(b) Relating to aircraft			
- Relating to aircraft, excluding rental/leasing to companies flying on regular schedule or on charter (part of CPC 83104)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
(c) Relating to other transport equipment (CPC 83101 + 83102 + 83105)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
(d) Relating to other machinery and equipment (CPC 83106-83109)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
(e) Other			
- Leasing or rental services concerning personal and household goods, excluding goods embodying any type of sexual or violent content (part of CPC 832)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
F. <u>Other Business Services</u>			
(a) Advertising services			
- Advertising services, excluding advertising for pharmaceutical products, alcoholic beverages, tobacco products, toxics, explosives, weapons and ammunition (part of CPC 8711 + part of 8712)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
- Outdoor advertising services, excluding advertising for pharmaceutical products, alcoholic beverages, tobacco products and electronic cigarettes, toxics, explosives, weapons and ammunition (part of CPC 8719)	1) Unbound due to lack of technical feasibility 2) None 3) None except that outdoor advertising services on the public ground are permitted only when contracted out by cantons and municipalities through long term exclusive supplier's contracts 4) Unbound except as indicated in Part I	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	
(b) Market research and public opinion polling services (CPC 864)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
(c) Management consulting services (CPC 865)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(d) Services related to management consulting (CPC 866)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
(e) Technical testing and analysis services (CPC 8676)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
(f) Services incidental to agriculture, hunting and forestry			
- Consulting services on agriculture, hunting and forestry (part of CPC 881)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
(g) Services incidental to fishing (CPC 882)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
(h) Services incidental to mining			
- Services incidental to mining, excluding prospection, surveying, exploration and exploitation (part of CPC 883 + part of 5115)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(i) Services incidental to manufacturing (CPC 884 + 885 except 88442)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
(m) Related scientific and technical consulting services (CPC 8675)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
(n) Maintenance and repair of equipment (not including maritime vessels, aircraft or other transport equipment) (CPC 633 + 8861-8866)	1) None 2) None 3) None 4) Unbound except as indicated in Part I; commercial presence in Switzerland required for group CPC 633	1) None 2) None 3) None 4) Unbound except as indicated in Part I; commercial presence in Switzerland required for group CPC 633	
(o) Building cleaning services			
- Building cleaning services (CPC 874 except 87409)	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I; commercial presence in Switzerland required	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I; commercial presence in Switzerland required	
(p) Photographic services (CPC 875)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
(q) Packaging services (CPC 876)	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I; commercial presence in Switzerland required	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I; commercial presence in Switzerland required	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(r) Printing, publishing (CPC 88442)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
(s) Convention services (part of CPC 87909)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
(t) Other			
- Telephone answering services (CPC 87903)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
- Duplication services (CPC 87904)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
- Mailing list compilation and mailing services (CPC 87906)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
- Specialty design services (CPC 87907)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
2. COMMUNICATION SERVICES A./B. <u>Postal/Courier Services</u> - Express delivery services³ (part of CPC 7511 + part of 7512) C. <u>Telecommunications Services</u> For the purpose of the commitments below, telecommunications services are the transport of electromagnetic signals – sound, data, image and combinations thereof – excluding broadcasting.⁴ <u>Basic Telecommunications Services</u> (a) Voice telephone services (CPC 7521)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	

³ “Express delivery services” are taken as *letter deliveries* which are delivered at five times the price applied by Swiss Post (La Poste Suisse/ Die Schweizerische Post) to the delivery of a priority letter of first level of weight and format and as *parcel deliveries* which are delivered at double the basic tariff applied by Swiss Post to the delivery of a parcel of first level of weight, as according to Swiss law.

⁴ For the purposes of this Appendix, “broadcasting” means the uninterrupted chain of transmission required for the distribution of TV and radio programme signals to the general public, but does not cover contribution links between operators.

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(b) Packet-switched data transmission (part of CPC 7523)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
(c) Circuit-switched data transmission (part of CPC 7523)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
(d) Telex services (part of CPC 7523)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
(e) Telegraph services (CPC 7522)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
(f) Facsimile services (part of CPC 7521 + 7529)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
(g) Private leased circuit services (part of CPC 7522 + 7523)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
<u>Enhanced/Value-added Telecommunications Services</u>			
(h) Electronic mail (part of CPC 7523)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
(i) Voice mail (part of CPC 7523)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
(j) On-line information and data base retrieval (part of CPC 7523)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
(k) Electronic data interchange (EDI) (part of CPC 7523)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
(l) Enhanced/value-added facsimile service, incl. store and forward, store and retrieve (part of CPC 7523)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
(m) Code and protocol conversion	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(n) On-line information and/or data processing (incl. transaction processing) (part of CPC 843)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
(o) Other			
- Videotext	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
- Enhanced/value added services, based on licensed wireless networks including enhanced/value added paging services	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
3. CONSTRUCTION AND RELATED ENGINEERING SERVICES			
A. <u>General Construction Work for Buildings</u> (CPC 512)	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	
B. <u>General Construction Work for Civil Engineering</u>			
- Construction work for civil engineering (CPC 5131-5137)	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	
C. <u>Installation and Assembly Work</u> (CPC 514 + 516)	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	1) Unbound due to lack of technical feasibility 2) None 3) None except unbound for gas, water and electricity meters, gas pipelines, electricity and main water lines, which are reserved exclusively to cantons or municipalities or to specific operators 4) Unbound except as indicated in Part I	
D. <u>Building Completion and Finishing Work</u> (CPC 517)	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
E. <u>Other</u>			
- Pre-erection work at construction sites (CPC 511)	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	
- Special trade construction work (CPC 515)	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	
- Renting services related to equipment for construction or demolition of buildings or civil engineering works, with operator (CPC 518) ⁵	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	

⁵ Rental services with operator do not cover any activities of the types described under group CPC 872. Whatever the mode of delivery of the rental service, the treatment applicable to an individual operator *per se* is the one pursuant to movement of natural persons.

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
4. DISTRIBUTION SERVICES Note for greater certainty: The commitments below include wholesale distribution services of motor vehicles and parts thereof contained in CPC 6111 + 6113 + 6121; wholesale distribution services of palm-oil based biofuels (classified in CPC 354) contained in CPC 62279; and retail distribution services of palm-oil based biofuels (classified in CPC 354) contained in CPC 6329.			
A. <u>Commission Agents' Services</u> - Commission agents' services excluding services related to goods subject to import authorisation, to pharmaceutical products, toxics, explosives, weapons and ammunition (part of CPC 621)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
B. <u>Wholesale Trade Services</u> - Wholesale trade services excluding services related to goods subject to import authorisation, to pharmaceutical products, toxics, explosives, weapons and ammunition (part of CPC 622)	1) None 2) None 3) None except for large-scale distribution facilities, which are subject to authorisation procedures at cantonal and/or municipal level that may lead to the rejection of the authorisation; no economic needs tests or other market access restrictions apply 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
C. <u>Retailing Services</u> - Retailing services excluding services related to goods subject to import authorisation, to pharmaceutical products, toxics, explosives, weapons and ammunition, and precious metals; and excluding retailing through mobile sales unit (part of CPC 631 + part of 632 + CPC 6111 + 6113 + 6121)	1) None 2) None 3) None except for large-scale distribution facilities, which are subject to authorisation procedures at cantonal and/or municipal level that may lead to the rejection of the authorisation; no economic needs tests or other market access restrictions apply 4) Unbound except as indicated in Part I; commercial presence in Switzerland required	1) None 2) None 3) None 4) Unbound except as indicated in Part I; commercial presence in Switzerland required	
D. <u>Franchising</u> (CPC 8929)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
5. EDUCATIONAL SERVICES			
Private education services			
A. <u>Education Services: primary & secondary I</u> (part of CPC 921 + part of 922)	1) Unbound 2) Unbound 3) None 4) Unbound	1) Unbound 2) Unbound 3) None 4) Unbound	
B. <u>Secondary Education Services: secondary II</u> (part of CPC 922)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
C. <u>Higher Education Services</u> (part of CPC 923)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
D. <u>Adult Education Services</u> (part of CPC 924)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
E. <u>Other Education Services</u>			
in the fields of:	1) None	1) None	
- Batik design and batik making, textile and clothing design, furniture and accessories design	2) None 3) None 4) Unbound except as indicated in Part I	2) None 3) None 4) Unbound except as indicated in Part I	
- Cooking (part of CPC 929)			

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
6. ENVIRONMENTAL SERVICES Excluding public utilities whether owned and operated by municipalities or cantons or contracted out by them A. <u>Sewage Services</u> (part of CPC 9401) B. <u>Refuse disposal Services</u> (part of CPC 9402) C. <u>Sanitation and similar Services</u> (part of CPC 9403)	1) None 2) None 3) None 4) Unbound except as indicated in Part I 1) None 2) None 3) None 4) Unbound except as indicated in Part I 1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I 1) None 2) None 3) None 4) Unbound except as indicated in Part I 1) None 2) None 3) None 4) Unbound except as indicated in Part I	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
D. <u>Other</u> - Cleaning services of exhaust gases (part of CPC 9404) - Noise abatement services (part of CPC 9405) - Nature and landscape protection services (part of CPC 9406) - Other environmental and ancillary services excluding radiation surveillance for official purposes (part of CPC 9409)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
7. FINANCIAL SERVICES Commitments on Financial services (insurance, banking and other financial services) are in accordance with the “Understanding on Commitments in Financial Services” prepared under the GATS (hereinafter referred to as “Understanding”) and subject to limitations and conditions as contained in Part I (horizontal commitments) and as listed below. It is understood that paragraph B.4 of the Understanding does not impose any obligation to allow non-resident financial services suppliers to solicit business.			
<u>Insurance and Insurance-related Services</u>	Monopoly rights as indicated in paragraph B.1 of the Understanding: a public monopoly on fire and natural damage insurance on buildings exists in the following cantons: Zürich, Bern, Luzern, Nidwalden, Glarus, Zug, Fribourg, Solothurn, Basel-Stadt, Basel-Landschaft, Schaffhausen, Aargau, St. Gallen, Graubünden, Appenzell Ausserrhoden, Thurgau, Vaud, Neuchâtel, Jura. In the cantons of Nidwalden and Vaud, the public monopoly on fire and natural damage insurance covers also movable property located in the buildings.		

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
	3) Representative offices may not conduct business or act as an agent; for insurance companies incorporated in Switzerland, the legal form of a “joint-stock company” (société anonyme/ Aktiengesellschaft) or a “co-operative society” (société cooperative/ Genossenschaft) is required; for branches of foreign insurance companies, the legal form of the insurance company in the head office country must be comparable to a Swiss “joint-stock company” or to a Swiss “co-operative society”; participation in the basic health insurance scheme requires health insurance suppliers to be organised in one of the following forms of legal entities: “association” (association/ Verein), “co-operative society”, “foundation” (fondation/ Stiftung) or “joint-stock company”; participation in the statutory pension funds scheme (prévoyance professionnelle/ Berufsvorsorge) requires pension funds to be organised in the form of a “foundation” 4) Unbound except as indicated in Part I	2) None 4) Unbound except as indicated in Part I	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
<u>Banking and Other Financial Services</u> (excluding insurance)	Monopoly rights as indicated in paragraph B.1 of the Understanding: two mortgage bonds issuance institutes have been granted an exclusive right to issue specific mortgage bonds (lettre de gage/ Pfandbrief); in the case of the first institute only Swiss cantonal banks are accepted as members; in the case of the second institute, banks with head offices in Switzerland whose national mortgage loan business amounts to at least 60 per cent of their balance sheet can be members; the issuance of other mortgage-backed bonds is not affected by this regulation. 1)⁶ Foreign investment funds may only be marketed or distributed through a licensed representative agent resident in Switzerland 3) Commercial presence may be denied to financial service suppliers whose ultimate shareholders and/or beneficial owners are persons of a non-party; representative offices may neither conclude or deal business nor act as an agent 4) Unbound except as indicated in Part I	1) The issue of foreign collective investment funds is subject to stamp duty 2) None 3) Commercial presence of foreign financial service suppliers is subject to specific requirements relating to the name of the firm and the regulations on financial institutions in the country of origin 4) Unbound except as indicated in Part I	

⁶ Not only transactions indicated in paragraph B.3 of the “Understanding” but the whole range of banking and other financial services transactions are covered (excluding insurance).

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
9. TOURISM AND TRAVEL RELATED SERVICES			
A. <u>Hotels and Restaurants</u> (includes catering) (CPC 641-643)	1) Unbound due to lack of technical feasibility, except none for catering (CPC 6423) 2) None 3) None 4) Unbound except as indicated in Part I; commercial presence in Switzerland required	1) Unbound due to lack of technical feasibility, except none for catering (CPC 6423) 2) None 3) None 4) Unbound except as indicated in Part I; commercial presence in Switzerland required	
B. <u>Travel Agencies and Tour Operators Services</u> (CPC 7471)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
C. <u>Tourist Guides Services</u> (CPC 7472)	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	
D. <u>Other</u>	1) Unbound due to lack of technical feasibility 2) None 3) Unbound 4) Unbound except as indicated in Part I	1) Unbound due to lack of technical feasibility 2) None 3) Unbound 4) Unbound except as indicated in Part I	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
10. RECREATIONAL, CULTURAL AND SPORTING SERVICES (other than audiovisual)			
B. <u>News Agency Services</u> (CPC 962)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
D. <u>Sporting and other Recreational Services</u>			
- Sporting services (CPC 9641)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
11. TRANSPORT SERVICES A. <u>Maritime Transport Services</u> (a/b) Passenger and freight transportation (CPC 7211 + 7212)	1) Unbound due to lack of technical feasibility 2) None 3) None except that the financing of a vessel under Swiss flag by foreign funds shall in no way endanger the influence of Swiss interests on the shipping company and on the operation of the vessel 4) Unbound except as indicated in Part I; unbound for vessels' crews	1) Unbound due to lack of technical feasibility 2) None 3) None except that - the following forms of legal entities as listed hereinafter may own and/or operate a vessel under Swiss flag under the following conditions (vessel owner and/or shipping company): (a) the head office and real centre of activities must be located in Switzerland; (b) at least two thirds of the shares' voting power representing at least the majority of the share-capital of a "joint-stock company" (société anonyme/ Aktiengesellschaft) or a "stock company with unlimited partners" (société en commandite par actions/ Kommanditaktiengesellschaft) must be owned by Swiss nationals domiciled in Switzerland and/or by substantially Swiss-owned and effectively Swiss-controlled enterprises with registered office in Switzerland; (c) the whole share-capital of the "joint-stock company" or of the "stock company with unlimited partners" must be issued in the form of registered shares;	Services at sea ports: unbound due to lack of technical feasibility

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
		(d) at least three quarters of the associates or other partners who account for at least three quarters of the capital of a “general partnership” (société en nom collectif/ Kollektivgesellschaft), a “limited partnership” (société en commandite/ Kommanditgesellschaft) or a “limited liability company” (société à responsabilité limitée/ Gesellschaft mit beschränkter Haftung) must be Swiss nationals domiciled in Switzerland and/or substantially Swiss-owned and effectively Swiss-controlled enterprises with registered office in Switzerland; (e) at least two thirds of the members who account for at least two thirds of the capital of a “co-operative society” (société coopérative/ Genossenschaft) must be Swiss nationals domiciled in Switzerland and/or substantially Swiss-owned and effectively Swiss-controlled enterprises with registered office in Switzerland; - to own and/or operate a vessel under Swiss flag; (a) for all forms of legal entities mentioned above: (i) the majority of the members of the board of directors and of the management must be domiciled in Switzerland;	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(c) Rental of vessels with crew (CPC 7213)	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	(ii) if the board of directors or the management is constituted by one single person, this person must be a Swiss national domiciled in Switzerland; (iii) the Swiss Maritime Navigation Office may require other executives and/or senior managers of the enterprise to be Swiss nationals domiciled in Switzerland if necessary to ensure the substantially Swiss-owned and effectively Swiss-controlled character of the enterprise; (b) for sole proprietorship (Entreprise individuelle/ Einzelfirma) the proprietor must be a Swiss national domiciled in Switzerland; (c) at least two thirds of the members of the board of directors and of the management of a “joint-stock company”, of a “stock company with unlimited partners”, of a “limited liability company” or of a “co-operative society” must be Swiss nationals; (d) statutory auditing reports must be drawn up by auditing companies with registered office in Switzerland or having a branch which is registered in the commercial register in Switzerland; - eligibility for public guarantee of loans for the financing of seagoing vessels reserved to vessels sailing under Swiss flag 4) Unbound except as indicated in Part I; unbound for vessels’ crews; unbound for eligibility for subsidies 1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(d) Maintenance and repair services of seagoing vessels (part of CPC 8868)	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	
(e) Pushing and towing services (CPC 7214)	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	
(f) Supporting services for maritime transport (part of CPC 745)	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	
MARITIME AUXILIARY SERVICES ⁷			
- Maritime cargo-handling services (as defined in Attachment I - definition n° 1) (part of CPC 741)	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	
- Storage and warehouse services (part of CPC 742)	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	
- Customs clearance services (as defined in Attachment I - definition n° 2) (part of CPC 748)	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	

⁷ For greater certainty: the subsectors below are defined as per CPC, complemented by the definitions in Attachment I.

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
- Container station and depot services (as defined in Attachment I - definition n° 3) (part of CPC 742)	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	
- Maritime agency services (as defined in Attachment I - definition n° 4) (part of CPC 748 + 749)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
- Maritime freight forwarding services (as defined in Attachment I - definition n° 5) (part of CPC 748 + 749)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
B. <u>Internal Waterways Transport</u> Internal waterway transport on the Rhine (a) Passenger transportation (part of CPC 7221) (b) Freight transportation (part of CPC 7222)	1) Unbound 2) None 3) None except that in order to sail under Swiss flag, vessels must be owned by a company which is substantially influenced (at least 66 per cent of capital and vote) by persons domiciled in Switzerland or in a country according to the Mannheim Convention and the protocols related to it 4) Unbound except as indicated in Part I 1) Unbound 2) None 3) None except that in order to sail under Swiss flag, vessels must be owned by a company which is substantially influenced (at least 66 per cent of capital and vote) by persons domiciled in Switzerland or in a country according to the Mannheim Convention and the protocols related to it 4) Unbound except as indicated in Part I	1) Unbound 2) None 3) None except that traffic rights including cabotage are limited on the basis of the Mannheim Convention and the protocols related to it; owner of vessels must have an appropriate managing agency in Switzerland 4) Unbound except as indicated in Part I; traffic rights including cabotage are limited on the basis of the Mannheim Convention and the protocols related to it 1) Unbound 2) None 3) None except that traffic rights including cabotage are limited on the basis of the Mannheim Convention and the protocols related to it; owner of vessels must have an appropriate managing agency in Switzerland 4) Unbound except as indicated in Part I; traffic rights including cabotage are limited on the basis of the Mannheim Convention and the protocols related to it	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(c) Rental of vessels with crew (part of CPC 7223)	1) Unbound 2) None 3) None except that in order to sail under Swiss flag, vessels must be owned by a company which is substantially influenced (at least 66 per cent of capital and vote) by persons domiciled in Switzerland or in a country according to the Mannheim Convention and the protocols related to it 4) Unbound except as indicated in Part I	1) Unbound 2) None 3) None except that traffic rights including cabotage are limited on the basis of the Mannheim Convention and the protocols related to it; owner of vessels must have an appropriate managing agency in Switzerland 4) Unbound except as indicated in Part I; traffic rights including cabotage are limited on the basis of the Mannheim Convention and the protocols related to it	
(d) Maintenance and repair of vessels (part of CPC 8868)	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	
(e) Pushing and towing services (part of CPC 7224)	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	
(f) Supporting services for internal waterway transport (part of CPC 745)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
C. <u>Air Transport Services</u>			
(d) Maintenance and repair of aircraft (part of CPC 8868)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
(e) Supporting services for air transport			
Selling and marketing of air transport services	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None except unbound for distribution through CRS of air transport services provided by CRS parent carrier 2) None 3) None except unbound for distribution through CRS of air transport services provided by CRS parent carrier 4) Unbound except as indicated in Part I	
Computer reservation system (CRS) services	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None except unbound for distribution through CRS of air transport services provided by CRS parent carrier 2) None 3) None except unbound for distribution through CRS of air transport services provided by CRS parent carrier 4) Unbound except as indicated in Part I	
D. <u>Space Transport</u> (CPC 733)	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
E. <u>Rail Transport Services</u>			
(a) Passenger transportation (CPC 7111)	1) Unbound 2) None 3) None except that concession is required, for granting of concession there has to be a need for such a railways undertaking and there is no other means of transport which could handle that service in an ecologically and economically more reasonable way 4) Unbound except as indicated in Part I	1) Unbound 2) None 3) None 4) Unbound except as indicated in Part I	
(b) Freight transportation (CPC 7112)	1) Unbound 2) None 3) None except that concession is required, for granting of concession there has to be a need for such a railways undertaking and there is no other means of transport which could handle that service in an ecologically and economically more reasonable way 4) Unbound except as indicated in Part I	1) Unbound 2) None 3) None 4) Unbound except as indicated in Part I	
(c) Pushing and towing services (CPC 7113)	1) Unbound 2) None 3) None except that concession is required, for granting of concession there has to be a need for such a railways undertaking and there is no other means of transport which could handle that service in an ecologically and economically more reasonable way 4) Unbound except as indicated in Part I	1) Unbound 2) None 3) None 4) Unbound except as indicated in Part I	
(d) Maintenance and repair of rail transport equipment (part of CPC 8868)	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
F. <u>Road Transport Services</u>			
(a) Passenger transportation			
- regular, "closed-door" tours only (part of CPC 7121)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
- occasional, excluding cabotage and taxi services (part of CPC 7122)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
(b) Freight transportation (part of CPC 7123)	1) Unbound 2) None 3) None 4) Unbound except as indicated in Part I	1) Unbound 2) None 3) None 4) Unbound except as indicated in Part I	
(c) Rental of commercial vehicles with operator (CPC 7124)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
(d) Maintenance and repair of road transport equipment (CPC 6112 + 8867)	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	
(e) Other supporting services for road transport (CPC 7449)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	

Modes of supply: (1) Cross-border supply (2) Consumption abroad (3) Commercial presence (4) Presence of natural persons

Sector or Sub-Sector	Limitations on Market Access	Limitations on National Treatment	Additional Commitments
H. <u>Services Auxiliary to All Modes of Transport</u>			
(a) Cargo-handling services (CPC 741)	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	
(b) Storage and warehouse services (CPC 742)	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	1) Unbound due to lack of technical feasibility 2) None 3) None 4) Unbound except as indicated in Part I	
(c) Freight transport agency services ⁸ (CPC 748)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	
(d) Other auxiliary transport (part of CPC 749)	1) None 2) None 3) None 4) Unbound except as indicated in Part I	1) None 2) None 3) None 4) Unbound except as indicated in Part I	

⁸ Including customs clearance services.

ATTACHMENT I

DEFINITIONS TO THIS SCHEDULE (MARITIME AUXILIARY SERVICES)

1. “*Maritime cargo-handling services*” means activities exercised by stevedore companies, including terminal operators, but not including the direct activities of dockers when this workforce is organised independently of the stevedoring or terminal operator companies. The activities covered include the organisation and supervision of the loading/discharging of cargo to/from a vessel, the lashing/unlashing of cargo, the reception/delivery and safekeeping of cargoes before shipment or after discharge.
2. “*Customs clearance services*” means activities consisting in carrying out on behalf of another party customs formalities concerning import, export or through-transport of cargoes, whether such services are the main activity of the service supplier or a usual complement of its main activity.
3. “*Container station and depot services*” means activities consisting in storing containers, whether in port areas or inland, with a view to their stuffing/stripping, repairing and making them available for shipments.
4. “*Maritime agency services*” means activities consisting in representing as an agent, within a given geographic area, the business interests of one or more shipping lines or shipping companies for the following purposes:
 - (a) marketing and sales of maritime transport and related services, from quotation to invoicing, and issuance of bills of lading on behalf of the companies, acquisition and resale of the necessary related services, preparation of documentation, and provision of business information;
 - (b) acting on behalf of the companies organising the call of the vessel or taking over cargoes when required.
5. “*Maritime freight forwarding services*” means the activities consisting in organising and monitoring shipment operations on behalf of shippers, through the acquisition of transport and related services, preparation of documentation and provision of business information.

ATTACHMENT II

ENGLISH TRANSLATIONS USED IN THIS SCHEDULE (MODE 3 – FORMS OF LEGAL ENTITIES)

The list below compiles the translations into English of forms of legal entities as, and to the extent that, they are used in this schedule:¹

“Association” :	association, Verein, associazione
“Co-operative society:	société coopérative, Genossenschaft, società cooperativa
“General partnership”:	société en nom collectif, Kollektivgesellschaft, società in nome collettivo
“Foundation” :	fondation, Stiftung, fondazione
“Joint-stock company”:	société anonyme (SA), Aktiengesellschaft (AG), società anonima (SA)
“Limited liability company”:	société à responsabilité limitée (sàrl), Gesellschaft mit beschränkter Haftung (GmbH), società a garanzia limitata (Sagl)
“Limited partnership”:	société en commandite, Kommanditgesellschaft, società in accomandita
"Sole proprietorship" :	entreprise individuelle, Einzelfirma, ditta individuale
"Stock company with unlimited partners" :	société en commandite par actions, Kommanditaktiengesellschaft, società in accomandita per azioni

¹ These unofficial translations are based on the ones prevailing most widely in the literature.