ANNEX XIII

REFERRED TO IN SUBPARAGRAPHS 1 (C) AND (E) OF ARTICLE 7.1 (SCOPE AND COVERAGE), SUBPARAGRAPH F OF ARTICLE 7.3 (DEFINITIONS), AND PARAGRAPH 1 OF ARTICLE 7.28 (MODIFICATIONS AND RECTIFICATIONS TO COVERAGE)

REGARDING COVERED ENTITIES

ANNEX XIII

REFERRED TO IN SUBPARAGRAPHS 1 (C) AND (E) OF ARTICLE 7.1 (SCOPE AND COVERAGE), SUBPARAGRAPH F OF ARTICLE 7.3 (DEFINITIONS), AND PARAGRAPH 1 OF ARTICLE 7.28 (MODIFICATIONS AND RECTIFICATIONS TO COVERAGE)

REGARDING COVERED ENTITIES

APPENDIX 1: ENTITIES AT CENTRAL GOVERNMENT LEVEL

APPENDIX 2: ENTITIES AT SUB-CENTRAL GOVERMENT LEVEL

APPENDIX 3: ENTITIES OPERATING IN THE UTILITIES SECTOR AND

OTHER COVERED ENTITIES

APPENDIX 4: GOODS

APPENDIX 5: SERVICES

APPENDIX 6: CONSTRUCTION SERVICES

APPENDIX 7: GENERAL NOTES

APPENDIX 1 TO ANNEX XIII

REGARDING ENTITIES AT CENTRAL GOVERNMENT LEVEL

GOODS

Specified in Appendix 4

Threshold SDR 130 000

SERVICES

Specified in Appendix 5

Threshold SDR 130 000

CONSTRUCTION SERVICES

Specified in Appendix 6

Threshold SDR 5 000 000

Procurement below the value of thresholds

In their procedures and practices for the award of procurement contracts below the value of thresholds laid down in this Appendix, the Parties undertake to encourage their Covered Entities to treat the suppliers and service providers of another Party in accordance with the provisions of Article 7.4 (National Treatment and Non-Discrimination) of this Agreement.

Services and schedules of specific commitments

The provision of services, including construction services, in the context of procurement procedures according to Chapter 7 (Government Procurement) of this Agreement is subject to the conditions and qualifications for market access and national treatment set out in the Parties' schedule of specific commitments under the GATS.

PART A: PERU

List of Entities

- 1. Asamblea Nacional de Rectores
- 2. Banco Central de Reserva del Perú
- 3. Congreso de la República del Perú
- 4. Consejo Nacional de la Magistratura
- 5. Contraloría General de la República
- 6. Defensoría del Pueblo
- 7. Jurado Nacional de Elecciones
- 8. Ministerio de Agricultura
- 9. Ministerio de Comercio Exterior y Turismo
- 10. Ministerio de Defensa (Note 1)
- 11. Ministerio de Economía y Finanzas (Note 2)
- 12. Ministerio de Educación
- 13. Ministerio de Energía y Minas
- 14. Ministerio de Justicia
- 15. Ministerio de la Mujer y Desarrollo Social
- 16. Ministerio de la Producción
- 17. Ministerio de Relaciones Exteriores
- 18. Ministerio de Salud
- 19. Ministerio de Trabajo y Promoción del Empleo
- 20. Ministerio de Transportes y Comunicaciones
- 21. Ministerio de Vivienda, Construcción y Saneamiento (Note 3)
- 22. Ministerio del Interior (Note 1)
- 23. Ministerio Público
- 24. Oficina Nacional de Procesos Electorales
- 25. Poder Judicial
- 26. Presidencia del Consejo de Ministros
- 27. Registro Nacional de Identificación y Estado Civil
- 28. Seguro Social de Salud (ESSALUD) (Note 4)
- 29. Superintendencia de Banca, Seguros y AFPs
- 30. Tribunal Constitucional
- 31. Universidad Nacional del Altiplano
- 32. Universidad del Centro del Perú
- 33. Universidad Mayor de San Marcos
- 34. Universidad Nacional Agraria de la Molina
- 35. Universidad Nacional Agraria de la Selva
- 36 Universidad Nacional Amazónica de Madre de Dios
- 37. Universidad Nacional Daniel Alcides Carrión
- 38. Universidad Nacional de Cajamarca
- 39. Universidad Nacional de Educación Enrique Guzman Valle
- 40. Universidad Nacional de Huancavelica
- 41. Universidad Nacional de Ingeniería
- 42. Universidad Nacional de la Amazonía Peruana
- 43. Universidad Nacional de Piura
- 44. Universidad Nacional de San Agustín
- 45. Universidad Nacional de Trujillo

- 46. Universidad Nacional de Tumbes
- 47. Universidad Nacional de Ucayali
- 48. Universidad Nacional del Callao
- 49. Universidad Nacional del Santa
- 50. Universidad Nacional Federico Villareal
- 51. Universidad Nacional Hermilio Valdizán
- 52. Universidad Nacional Jorge Basadre Grohmann
- 53. Universidad Nacional José F. Sanchez Carrión
- 54. Universidad Nacional Micaela Bastidas de Apurimac
- 55. Universidad Nacional San Antonio de Abad del Cusco
- 56. Universidad Nacional San Cristobal de Huamanga
- 57. Universidad Nacional San Martín
- 58. Universidad Nacional San Luis Gonzaga de Ica
- 59. Universidad Nacional Santiago Antunez de Mayolo
- 60. Universidad Nacional Toribio Rodriguez de Mendoza
- 61. Universidad Pedro Ruiz Gallo

Unless otherwise specified, Chapter 7 (Government Procurement) of this Agreement also covers agencies that are subordinated to the entities referred to in the above list.

- 1. <u>Ministerio de Defensa and Ministerio del Interior</u>: Chapter 7 (Government Procurement) of this Agreement does not cover the procurement of clothing or apparel classified under HS 62.05 or footwear classified under HS 6401.10 by the Army, Navy, Air Force or National Police of Peru.
- 2. <u>Ministerio de Economía y Finanzas</u>: Chapter 7 (Government Procurement) of this Agreement does not cover procurement by the Agencia de Promoción de la Inversión Privada (PROINVERSION) of technical, legal, financial, economic, or similar consulting services, which are necessary to promote private investment through the granting of concessions or other means such as capital increase, joint ventures, service, leasing and management contracts.
- 3. <u>Ministerio de Vivienda, Construcción y Saneamiento</u>: Chapter 7 (Government Procurement) of this Agreement does not cover procurement by the Comisión de Formalización de la Propiedad Informal (COFOPRI).
- 4. <u>Seguro Social de Salud</u> (ESSALUD): Chapter 7 (Government Procurement) of this Agreement does not cover the procurement of sheets classified under HS 63.01 or blankets classified under HS 63.02.

PART B: EFTA STATES

a. Iceland

List of Entities

The following central government entities including: central purchasing entities not having an industrial or commercial character governed by Act No. 63/1970 on the arrangement of public works contracts, and Act No. 52/1987, on government procurement, as amended:

- 1. Ríkiskaup (State Trading Center)
- 2. Framkvæmdasýslan (Government Construction Contracts)
- 3. Vegagerð ríkisins (Public Road Administration)
- 4. Siglingastofnun (Icelandic Maritime Administration)

- 1. Chapter 7 (Government Procurement) of this Agreement shall not apply to contracts awarded by entities listed in Appendices 1.B.a and 2.B.a of this Annex in connection with activities in the field of drinking water, energy, transport or telecommunications.
- 2. Chapter 7 (Government Procurement) of this Agreement shall not apply to procurement of agricultural products made in furtherance of agricultural support programmes and human feeding programmes.

b. Liechtenstein

List of Entities

Government of the Principality of Liechtenstein.

- 1. Chapter 7 (Government Procurement) of this Agreement shall not apply to contracts awarded by entities listed in Appendices 1.B.b and 2.B.b of this Annex in connection with activities in the field of drinking water, energy, transport or telecommunications.
- 2. Chapter 7 (Government Procurement) of this Agreement shall not apply to procurement of agricultural products made in furtherance of agricultural support programmes and human feeding programmes.

c. Norway

List of Entities

Chapter 7 (Government Procurement) of this Agreement covers all central government (State and federal) entities, including Ministries and any subordinate entity provided it does not have a separate juridical personality.

Notes and Derogations*

1. For procurement by the security and defence entities only the following list of supplies and equipment (CCC (Customs Cooperation Council)/Brussels nomenclature) is covered by Chapter 7 (Government Procurement) of this Agreement:

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Chapter 25: Salt; sulphur; earths and stone; plastering materials, lime and cement
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Chapter 26: Metallic ores, slag and ash

Chapter 27: Mineral fuels, mineral oils and products of their distillation; bituminous

substances; mineral waxes;

except:

ex 27.10 special engine fuels

Chapter 28: Inorganic chemicals; organic and inorganic compounds of precious metals, of rare earth metals, of radio-active elements and of isotopes;

except:

ex 28.09 explosives

ex 28.13 explosives

ex 28.14 tear gas

ex 28.28 explosives

ex 28.32 explosives

ex 28.39 explosives

ex 28.50 toxic products

ex 28.51 toxic products

ex 28.54 explosives

Chapter 29: Organic chemicals;

except:

ex 29.03 explosives

ex 29.04 explosives

ex 29.07 explosives

ex 29.08 explosives

ex 29.11 explosives

ex 29.12 explosives

ex 29.13 toxic products

ex 29.14 toxic products

ex 29.15 toxic products

ex 29.21 toxic products

ex 29.22 toxic products

ex 29.23 toxic products

ex 29.26 explosives

ex 29.27 toxic products

ex 29.29 explosives

Chapter 30: Pharmaceutical products Chapter 31: **Fertilizers** Chapter 32: Tanning and dyeing extracts; tannins and their derivatives; dyes, colours, paints and varnishes, putty, fillers and stoppings, inks Chapter 33: Essential oils and resinoids; perfumery, cosmetics and toilet preparations Soap, organic surface-active agents, washing preparations, lubricating Chapter 34: preparations, artificial waxes, prepared waxes, polishing and scouring preparations, candles and similar articles, modelling pastes and "dental waxes" Chapter 35: Albuminoidal substances; glues; enzymes Chapter 37: Photographic and cinematographic goods Miscellaneous chemical products: Chapter 38: except: ex 38.19 toxic products Artificial resins and plastic materials, cellulose esters and ethers, articles Chapter 39: thereof; except: ex 39.03 explosives Rubber, synthetic rubber, factice, and articles thereof; Chapter 40: except: ex 40.11 bullet-proof tyres Chapter 41: Raw hides and skins (other than furskins) and leather Chapter 42: Articles of leather; saddlery and harness; travel goods, handbags and similar containers; articles of animal gut (other than silk-worm gut) Furskins and artificial fur; manufactures thereof Chapter 43: Chapter 44: Wood and articles of wood; wood charcoal Chapter 45: Cork and articles of cork Chapter 46: Manufactures of straw of esparto and of other plaiting materials; basketware and wickerwork Chapter 47: Paper-making material Chapter 48: Paper and paperboard; articles of paper pulp, of paper or of paperboard Chapter 49: Printed books, newspapers, pictures and other products of the printing industry; manuscripts, typescripts and plans Headgear and parts thereof Chapter 65: Umbrellas, sunshades, walking-sticks, whips, riding-crops and parts Chapter 66: thereof Chapter 67: Prepared feathers and down and articles made of feathers or of down; artificial flowers; articles of human hair Articles of stone, of plaster, of cement, of asbestos, of mica and of similar Chapter 68: materials Chapter 69: Ceramic products Chapter 70: Glass and glassware Chapter 71: Pearls, precious and semi-precious stones, precious metals, rolled precious metals, and articles thereof; imitation jewellery Iron and steel and articles thereof Chapter 73:

Chapter 74:

Copper and articles thereof

Chapter 75: Nickel and articles thereof Chapter 76: Aluminium and articles thereof Chapter 78: Lead and articles thereof Chapter 79: Zinc and articles thereof Chapter 80: Tin and articles thereof Chapter 81: Other base metals employed in metallurgy and articles thereof Chapter 82: Tools, implements, cutlery, spoons and forks, of base metal; parts thereof; except: ex 82.05 tools ex 82.07 tools, parts Chapter 83: Miscellaneous articles of base metal Boilers, machinery and mechanical appliances; parts thereof; Chapter 84: except: ex 84.06 engines ex 84.08 other engines ex 84.45 machinery ex 84.53 automatic data-processing machines ex 84.55 parts of machines under heading 84.53 ex 84.59 nuclear reactors Electrical machinery and equipment; parts thereof; Chapter 85: except: ex 85.13 telecommunication equipment ex 85.15 transmission apparatus Chapter 86: Railway or tramway, track fixtures and fittings and parts thereof; mechanical (including electro-mechanical) traffic signalling equipment of all kinds except: ex 86.02 armoured locomotives, electric ex 86.03 other armoured locomotives ex 86.05 armoured wagons ex 86.06 repair wagons ex 86.07 wagons Vehicles, other than railway or tramway rolling-stock, and parts thereof; Chapter 87: except: ex 87.01 tractors ex 87.02 military vehicles ex 87.03 breakdown lorries ex 87.08 tanks and other armoured vehicles ex 87.09 motorcycles ex 87.14 trailers Ships, boats and floating structures; Chapter 89: except: ex 89.01 warships Chapter 90: Optical, photographic, cinematographic, measuring, checking, precision, medical and surgical instruments and apparatus; parts thereof;

except:

ex 90.05 binoculars

ex 90.13 miscellaneous instruments, lasers

ex 90.14 telemeters

ex 90.28 electrical and electronic measuring instruments

ex 90.11 microscopes

ex 90.17 medical instruments

ex 90.18 mechano-therapy appliances

ex 90.19 orthopaedic appliances

ex 90.20 X-ray apparatus

Chapter 91: Clocks and watches and parts thereof

Chapter 92: Musical instruments; sound recorders or reproducers; television image and

sound recorders or reproducers; parts and accessories of such articles

Chapter 94: Furniture and parts thereof; bedding, mattresses, mattress supports,

cushions and similar stuffed furnishings;

except:

ex 94.01 aircraft seats

Chapter 95: Articles and manufactures of carving or moulding material

Chapter 96: Brooms, brushes, powder-puffs and sieves

Chapter 97: Miscellaneous manufactured articles

- 2. Chapter 7 (Government Procurement) of this Agreement shall not apply to contracts awarded by entities listed in Appendices 1.B.c and 2.B.c of this Annex in connection with activities in the field of drinking water, energy, transport, telecommunications or post.
- 3. Chapter 7 (Government Procurement) of this Agreement shall not apply to procurement of agricultural products made in furtherance of agricultural support programmes and human feeding programmes.
- 4. Chapter 7 (Government Procurement) of this Agreement does not apply to procurement subject to secrecy or other particular restrictions with regard to the safety of the realm.
- 5. When a specific procurement may impair important national policy objectives, the Norwegian Government may consider it necessary in singular procurement cases to deviate from the principle of national treatment in Chapter 7 (Government Procurement) of this Agreement. A decision to this effect will be taken at the Norwegian Cabinet level.
- 6. Norway reserves its position with regard to the application of Chapter 7 (Government Procurement) of this Agreement to Svalbard, Jan Mayen Island and Norway Antarctic possessions.

^{*}Editorial corrections in paragraph 1 under Notes and Derogations, adopted on 17 August 2022

d. Switzerland

List of Entities

1. Chancellerie fédérale (CF):

(Federal Chancellery)

Chancellerie fédérale

Bibliothèque centrale du Parlement et de l'Administration fédérale

Préposé fédéral à la protection des données

2. Département fédéral des affaires étrangères (DFAE):

(Federal Department of Foreign Affairs)

Secrétariat général du Département fédéral des affaires étrangères

Direction du développement et de la coopération

Direction du droit international public

Direction politique

Secrétariat d'État du Département fédéral des affaires étrangères

3. <u>Département fédéral de l'intérieur (DFI)</u>:

(Federal Department of Home Affairs)

Secrétariat général du Département fédéral de l'intérieur

Archives fédérales

Bureau fédéral de l'égalité entre femmes et hommes

Conseil des écoles polytechniques fédérales

Écoles polytechniques fédérales et établissements annexes

Groupement de la science et de la recherche

Institut fédéral de recherches sur la forêt, la neige et le paysage

Institut fédéral pour l'aménagement, l'épuration et la protection des eaux

Institut Paul Scherrer

Institut suisse de météorologie

Laboratoire fédéral d'essai des matériaux et de recherches

Office fédéral de l'assurance militaire¹

Office fédéral de l'éducation et de la science

Office fédéral de la culture

Office fédéral de la santé publique

Office fédéral de la statistique

Office fédéral des assurances sociales

4. <u>Département fédéral de la justice et police (DFJP)</u>:

(Federal Department of Justice and Police)

Secrétariat général du Département fédéral de la justice et police

Institut suisse de droit comparé

Institut suisse de la propriété intellectuelle

Ministère public de la Confédération

Office fédéral de la justice

Office fédéral de la police

Office fédéral de l'aménagement du territoire

Office fédéral de métrologie

Office fédéral des assurances privées

Office fédéral des étrangers

Office fédéral des réfugiés

5. <u>Département fédéral de la défense, de la protection de la population et des sports</u> (DDPS):

(Federal Department of Defence, Civil Protection and Sports)

Secrétariat général du Département fédéral de la défense, de la protection de la population et des sports¹

Administration centrale du groupement de l'armement¹

Commandement des écoles d'état-major et de commandants¹

For contracts of entities of the Federal Department of Defence see note hereafter. This applies also to the Federal Customs Administration with regard to the equipment for border guards and customs officials.

Commandement du Corps des gardes fortification¹

État-Major de l'instruction opérative¹

État-Major général¹

Groupe de l'état-major général¹

Groupe de la logistique de l'état-major général¹

Groupe de la promotion de la paix et de la coopération en matière de sécurité¹

Groupe de la Direction de l'instruction des forces terrestres¹

Groupe de la planification de l'état-major général¹

Groupe de l'aide au commandement de l'état-major général¹

Groupe des affaires sanitaires de l'état-major général¹

Groupe des opérations de l'état-major général¹

Groupe des opérations des forces aériennes¹

Groupe du personnel de l'armée de l'état-major général¹

Groupe du personnel enseignant des forces terrestres¹

Groupe des renseignements de l'état-major général¹

Office de l'auditeur en chef¹

Office des exploitations des forces terrestres¹

Office fédéral de la protection civile¹

Office fédéral de la topographie

Office fédéral de l'instruction des forces aériennes¹

Office fédéral des armes de combat¹

Office fédéral des armes et des services d'appui¹

Office fédéral des armes et des services de la logistique ¹

For contracts of entities of the Federal Department of Defence see note hereafter. This applies also to the Federal Customs Administration with regard to the equipment for border guards and customs officials.

Office fédéral des exploitations des forces aériennes¹

Office fédéral des systèmes d'armes des forces aériennes et des systèmes de commandement¹

Office fédéral des systèmes d'armes et des munitions¹

Office fédéral du matériel d'armée et des constructions¹

Office fédéral du sport

Services centraux de l'état-major général¹

Services centraux des forces aériennes¹

Services centraux des forces terrestres¹

6. <u>Département fédéral des finances (DFF)</u>: (Federal Department of Finance)

Secrétariat général du Département fédéral des finances

Administration fédérale des contributions

Administration fédérale des douanes¹

Administration fédérale des finances

Caisse fédérale d'assurance

Commission fédérale des banques

Contrôle fédéral des finances

Monnaie officielle de la Confédération suisse

Office fédéral de l'informatique

Office fédéral des constructions et de la logistique

Office fédéral du personnel

Régie fédérale des alcools

For contracts of entities of the Federal Department of Defence see note hereafter. This applies also to the Federal Customs Administration with regard to the equipment for border guards and customs officials.

7. <u>Département fédéral de l'économie (DFE)</u>:

(Federal Department of Economics)

Secrétariat général du Département fédéral de l'économie

Commission de la concurrence

Office fédéral de l'agriculture

Office fédéral de la formation professionnelle et de la technologie

Office fédéral du logement

Office fédéral pour l'approvisionnement économique du pays

Office vétérinaire fédéral

Secrétariat d'État à l'économie

Surveillance des prix

8. <u>Département fédéral de l'environment, des transports, de l'énergie et de la communication (DETEC):</u>

(Federal Department of Environment, Transport, Energy and Communication)

Secrétariat général du Département fédéral de l'environnement, des transports, de l'énergie et de la communication

Commission fédérale de la communication

La Poste²

Office fédéral de la communication

Office fédéral de l'aviation civile

Office fédéral de l'économie des eaux

Office fédéral de l'énergie

Office fédéral de l'environnement, des forêts et du paysage

Office fédéral des routes

Office fédéral des transports

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As long as the entity is not in competition with undertakings not covered by Chapter 7 of this Agreement (Government Procurement).

Notes and Derogations

1. For procurement by entities of the Federal Department of Defence and the Federal Customs Administration with regard to the equipment for border guards and customs officials in Appendix 1.B.d to this Annex, only the following list of supplies and equipment (HS Codes) is covered by Chapter 7 (Government Procurement) of this Agreement:

Chapter 25: Salt, sulphur, earths and stone, plastering materials, lime and

cement

Chapter 26: Metallic ores, slag and ash

Chapter 27: Mineral fuels, mineral oils and products of their distillation,

bituminous substances, mineral waxes

Chapter 28: Inorganic chemicals, organic and inorganic compounds of

precious metals, of rare-earth metals, of radio-active elements and

isotopes; except:

ex 28.09: explosives ex 28.13: explosives ex 28.14: tear gas ex 28.28: explosives ex 28.32: explosives ex 28.39: explosives ex 28.50: toxic products ex 28.51: toxic products ex 28.54: explosives

Chapter 29: Organic chemicals;

except:

ex 29.03: explosives ex 29.04: explosives ex 29.07: explosives ex 29.08: explosives ex 29.11: explosives ex 29.12: explosives ex 29.13: toxic products ex 29.14: toxic products ex 29.15: toxic products ex 29.21: toxic products ex 29.22: toxic products ex 29.23: toxic products ex 29.26: explosives ex 29.27: toxic products ex 29.27: toxic products

Chapter 30: Pharmaceutical products

Chapter 31: Fertilizers

Chapter 32: Tanning and dyeing extracts, tannings and their derivatives, dyes,

colours, paints and varnishes, putty, fillers and stoppings, inks

Chapter 33: Essential oils and resinoids, perfumery, cosmetic or toilet

preparations

Chapter 34: Soap, organic surface-active agents, washing preparations, lubricating preparations, artificial waxes, prepared waxes polishing and scouring preparations, candles and similar articles, modelling pastes and 'dental waxes' Chapter 35: Albuminoidal substances, glues, enzymes Chapter 36: Explosives, pyrotechnic products, matches, pyrophoric alloys, certain combustible preparations; except: ex 36.01: powders ex 36.02: prepared explosives ex 36.04: detonators ex 36.08: explosives Photographic and cinematographic goods Chapter 37: Chapter 38: Miscellaneous chemical products; except: ex 38.19: toxic products Artificial resins and plastic materials, cellulose esters and ethers, Chapter 39: articles thereof; except: ex 39.03: explosives Chapter 40: Rubber, synthetic rubber, factice, and articles thereof; except: ex 40.11: tyres Chapter 43: Furskins and artificial fur, manufactures thereof Chapter 44: Wood and articles of wood, wood charcoal

Chapter 45: Cork and articles of cork

Chapter 46: Manufactures of straw of esparto and of other plaiting materials,

basketware and wickerwork

Paper-making material Chapter 47:

Chapter 48: Paper and paperboard, articles of paper pulp, of paper or of

paperboard

Chapter 49: Printed books, newspapers, pictures and other products of the

printing industry, manuscripts, typescripts and plans

Headgear and parts thereof Chapter 65:

Umbrellas, sunshades, walking-sticks, whips, riding-crops and Chapter 66:

parts thereof

Chapter 67: Prepared feathers and down and articles made of feathers or of

down, artificial flowers, articles of human hair

Articles of stone, of plaster, of cement, of asbestos, of mica and Chapter 68:

of similar materials

Ceramic products Chapter 69: Chapter 70: Glass and glassware

Pearls, precious and semi-precious stones, precious metals, rolled Chapter 71:

precious metals, and articles thereof; imitation jewellery

Chapter 73: Iron and steel

Chapter 74: Copper Chapter 75: Nickel Chapter 76: Aluminium

Chapter 78: Lead Chapter 79: Zinc

Chapter 80: Tin

Chapter 81: Other base metals

Chapter 82: Tools, implements, cutlery, spoons and forks, of base metal

Chapter 83: Miscellaneous articles of base metal

Chapter 84: Boilers, machinery and mechanical appliances

Chapter 85: Electrical machinery and equipment;

except:

ex 85.03: electric cells and batteries ex 85.13: telecommunication equipment

ex 85.15: transmission apparatus

Chapter 86: Railway or tramway, track fixtures and fittings and parts thereof;

mechanical (including electro-mechanical) traffic signalling

equipment of all kinds;

except:

ex 86.02: armoured locomotives ex 86.03: other armoured locomotives

ex 86.05: armoured wagons ex 86.06: repair wagons ex 86.07: wagons

Chapter 87: Vehicles, other than railway or tramway rolling-stock;

except:

87.08: cars and armoured vehicles

ex 87.02: heavy vehicles ex 87.09: motorcycles ex 87.14: trailers

Chapter 88: Aircraft and parts thereof:

except:

ex 88.02: aircraft

Chapter 89: Ships, boats and floating structures

Chapter 90: Optical, photographic, cinematographic, measuring, checking,

precision, medical and surgical instruments and apparatus;

except:

ex 90.05: binoculars

ex 90.13: miscellaneous instruments, lasers

ex 90.14: telemeters

ex 90.28: electrical and electronic measuring instruments

Chapter 91: Clocks and watches and parts thereof

Chapter 92: Musical instruments, sound recorders or reproducers, television

image and sound recorders or reproducers, parts and accessories

of such articles

Chapter 93: Arms and ammunition;

except:

ex 93.01: white knives ex 93.02: pistols

ex 93.03: military weapons

ex 93.04: firearms ex 93.05: other arms

ex 93.07: projectiles and ammunition

Chapter 94: Furniture, bedding, mattresses, mattress supports, cushions and

similar stuffed furnishings

Chapter 95: Articles and manufactures of carving or moulding material

Chapter 96: Brooms, brushes, powder-puffs and sieves

Chapter 97: Miscellaneous manufactured articles

2. Chapter 7 (Government Procurement) of this Agreement shall not apply to contracts awarded by entities listed in Appendices 1.B.d and 2.B.d of this Annex in connection with activities in the field of drinking water, energy, transport or telecommunications.

3. Chapter 7 (Government Procurement) of this Agreement shall not apply to procurement of agricultural products made in furtherance of agricultural support programmes and human feeding programmes.

APPENDIX 2 TO ANNEX XIII

REGARDING ENTITIES AT SUB-CENTRAL GOVERNMENT LEVEL

GOODS

Specified in Appendix 4

Threshold SDR 200 000

SERVICES

Specified in Appendix 5

Threshold SDR 200 000

CONSTRUCTION SERVICES

Specified in Appendix 6

Threshold SDR 5 000 000

Procurement below the value of thresholds

In their procedures and practices for the award of procurement contracts below the value of thresholds laid down in this Appendix, the Parties undertake to encourage their Covered Entities to treat the suppliers and service providers of another Party in accordance with the provisions of Article 7.4 (National Treatment and Non-Discrimination) of this Agreement.

Services and schedules of specific commitments

The provision of services, including construction services, in the context of procurement procedures according to Chapter 7 (Government Procurement) of this Agreement is subject to the conditions and qualifications for market access and national treatment set out in the Parties' schedule of specific commitments under the GATS.

PART A: PERU

List of Entities

- I. Regional Level of Government
- 1. Gobierno Regional de Amazonas
- 2. Gobierno Regional de Ancash
- 3. Gobierno Regional de Arequipa
- 4. Gobierno Regional de Ayacucho
- 5. Gobierno Regional de Apurimac
- 6. Gobierno Regional de Cajamarca
- 7. Gobierno Regional del Callao
- 8. Gobierno Regional de Cusco
- 9. Gobierno Regional de Ica
- 10. Gobierno Regional de Huancavelica
- 11. Gobierno Regional de Huánuco
- 12. Gobierno Regional de Junín
- 13. Gobierno Regional de la Libertad
- 14 Gobierno Regional de Lambayeque
- 15. Gobierno Regional de Lima
- 16. Gobierno Regional de Loreto
- 17. Gobierno Regional de Madre de Dios
- 18. Gobierno Regional de Moquegua
- 19. Gobierno Regional de Pasco
- 20. Gobierno Regional de Piura
- 21. Gobierno Regional de Puno
- 22. Gobierno Regional de San Martín
- 23. Gobierno Regional de Tacna
- 24. Gobierno Regional de Tumbes
- 25. Gobierno Regional de Ucayali
- II. Local Level of Government

All municipalities are covered.

PART B: EFTA STATES

a. Iceland

List of Entities

- 1. Contracting local public authorities, including all municipalities.
- 2. Public bodies at the local level not having an industrial or commercial character.

- 1. Chapter 7 (Government Procurement) of this Agreement shall not apply to contracts awarded by entities listed in Appendices 1.B.a and 2.B.a of this Annex in connection with activities in the field of drinking water, energy, transport or telecommunications.
- 2. Chapter 7 (Government Procurement) of this Agreement shall not apply to procurement of agricultural products made in furtherance of agricultural support programmes and human feeding programmes.

b. Liechtenstein

List of Entities

- 1. Public Authorities at local level.
- 2. Bodies governed by public law and not having an industrial or commercial character at the local level.

- 1. Chapter 7 (Government Procurement) of this Agreement shall not apply to contracts awarded by entities listed in Appendices 1.B.b and 2.B.b of this Annex in connection with activities in the field of drinking water, energy, transport or telecommunications.
- 2. Chapter 7 (Government Procurement) of this Agreement shall not apply to procurement of agricultural products made in furtherance of agricultural support programmes and human feeding programmes.

c. Norway

List of Entities

- 1. Contracting authorities of the regional or local public authorities (all counties (19) and municipalities (435)).
- 2. Bodies governed by public law, or associations formed by one or more such authorities or bodies governed by public law.

For the purposes of Part B of Appendix 2 to this Annex, a body is considered to be governed by public law when it:

- (a) is established for the specific purpose of meeting needs in the general interest, not being of a commercial or industrial nature;
- (b) has legal personality; and
- (c) is financed for the most part by the State, or regional or local authorities, or other bodies governed by public law, or is subject to management supervision by those bodies, or has an administrative, managerial or supervisory board more than half of whose members are appointed by the State, regional or local authorities, or other bodies governed by public law.

- 1. Chapter 7 (Government Procurement) of this Agreement shall not apply to contracts awarded by entities listed in Appendices 1.B.c and 2.B.c of this Annex in connection with activities in the field of drinking water, energy, transport, telecommunications or post.
- 2. Chapter 7 (Government Procurement) of this Agreement shall not apply to procurement of agricultural products made in furtherance of agricultural support programmes and human feeding programmes.
- 3. Chapter 7 (Government Procurement) of this Agreement does not apply to procurement subject to secrecy or other particular restrictions with regard to the safety of the realm.
- 4. When a specific procurement may impair important national policy objectives, the Norwegian Government may consider it necessary in singular procurement cases to deviate from the principle of national treatment in Chapter 7 (Government Procurement) of this Agreement. A decision to this effect will be taken at the Norwegian Cabinet level.

5. Norway reserves its position with regard to the application of Chapter 7 (Government Procurement) of this Agreement to Svalbard, Jan Mayen Island and Norway Antarctic possessions.

d. **Switzerland**

List of Entities¹

1.

1.	Cantonal public authorities.

Bodies governed by public law established at cantonal level without a commercial or industrial character. 2.

3. The authorities and public bodies at the level of districts and communes.

List of Swiss cantons:

Appenzell (Rhodes Intérieures/Extérieures)

Argovie

Bâle (Ville/Campagne)

Berne

Fribourg

Glaris

Genève

Grisons

Jura

Neuchâtel

Lucerne

Schaffhouse

Schwyz

Soleure

St Gall

Tessin

Thurgovie

For contracts of the cantons referring to defense products, acting for the federal defense department, see derogations.

Vaud
Valais
Unterwald (Nidwald/Obwald)
Uri
Zoug

Notes and Derogations

Zurich

- 1. Chapter 7 (Government Procurement) of this Agreement shall not apply to contracts awarded by entities listed in Appendices 1.B.d and 2.B.d of this Annex in connection with activities in the field of drinking water, energy, transport or telecommunications.
- 2. Chapter 7 (Government Procurement) of this Agreement shall not apply to procurement of agricultural products made in furtherance of agricultural support programmes and human feeding programmes.

APPENDIX 3 TO ANNEX XIII

REGARDING ENTITIES OPERATING IN THE UTILITIES SECTOR AND OTHER COVERED ENTITIES

GOODS

Specified in Appendix 4

Threshold SDR 400 000

SERVICES

Specified in Appendix 5

Threshold SDR 400 000

CONSTRUCTION SERVICES

Specified in Appendix 6

Threshold SDR 5 000 000

Procurement below the value of thresholds

In their procedures and practices for the award of procurement contracts below the value of thresholds laid down in this Appendix, the Parties undertake to encourage their Covered Entities to treat the suppliers and service providers of another Party in accordance with the provisions of Article 7.4 (National Treatment and Non-Discrimination) of this Agreement.

Services and schedules of specific commitments

The provision of services, including construction services, in the context of procurement procedures according to Chapter 7 (Government Procurement) of this Agreement is subject to the conditions and qualifications for market access and national treatment set out in the Parties' schedule of specific commitments under the GATS.

PART A: PERU

List of Entities

- 1. Agro Banco
- 2. Banco de la Nación
- 3. Banco de Materiales
- 4. Compañía de Negociaciones Mobiliarias e Inmobiliarias S.A.
- 5. Corporación Financiera de Desarrollo S.A.
- 6. Corporación Peruana de Aeropuertos y Aviación Civil S.A. (CORPAC)
- 7. Electricidad del Perú S.A. (ELECTROPERU)
- 8. Empresa Eléctrica del Sur S.A.
- 9. Empresa de Administración de Infraestructura Eléctrica S.A.
- 10. Empresa de Generación Eléctrica de Machupicchu
- 11. Empresa Nacional de la Coca S.A. (ENACO)
- 12. Empresa Nacional de Puertos S.A. (ENAPU)
- 13. Empresa Peruana de Servicios Editoriales
- 14. Empresa Regional de Servicios Públicos de Electricidad del Oriente
- 15. Empresa Regional de Servicios Públicos de Electricidad del Sur Este S.A.
- 16 Inmobiliaria Milenia S.A. (INMISA)
- 17 PERUPETRO
- 18. Servicio de Agua Potable y Alcantarillado de Lima (SEDAPAL)
- 19. Servicio Industrial de la Marina (SIMA)
- 20. Servicios Postales del Perú S.A
- 21. Sociedad Eléctrica del Sur Oeste

PART B: EFTA STATES

a. Iceland

List of Sectors

1. *Urban transport*:

Strætisvagnar Reykjavíkur (The Reykjavík Municipal Bus Service). Almenningsvagnar bs.
Other Municipal bus services.

2. *Airports*:

Flugmálastjórn (Directorate of Civil Aviation) Flugstöð Leifs Eiríkssonar (Keflavík international airport).

3. *Ports*:

Siglingastofnun, (Icelandic Maritime Administration). Other entities operating pursuant to *Hafnalög nr. 23/1994*.

4. Water supply:

Public entities producing or distributing drinking water pursuant to *lög nr* 81/1991, um vatnsveitur sveitarfélaga.

5. *The electricity sector*

- 1. Chapter 7 (Government Procurement) of this Agreement shall apply provided that at least 80 per cent of the average turnover of that undertaking with respect to services arising within the European Economic Area (hereinafter referred to by "EEA") for the three preceding years derives from the provision of such services to undertakings with which it is affiliated. When more than one undertaking affiliated with the contracting entity provides the same service or similar services, the total turnover deriving from the provision of services by those undertakings shall be taken into account. Chapter 7 (Government Procurement) of this Agreement shall not apply to service contracts which:
 - (a) a contracting entity awards to an affiliated undertaking; and

- (b) are awarded by a joint venture formed by a number of contracting entities for the purpose of carrying out a relevant activity within the meaning of sectors 1 through 4 of Appendix 3.B.a to this Annex to one of those contracting entities or to an undertaking which is affiliated with one of these contracting entities.
- 2. The supply of drinking water to networks which provide a service to the public by a contracting entity other than a public authority shall not be considered as a relevant activity within the meaning of Sectors 1 and 4 of Appendix 3.B.a to this Annex where:
 - (a) the production of drinking water by the entity concerned takes place because its consumption is necessary for carrying out an activity other than that referred to in sectors 1 and 4 of Appendix 3.B.a to this Annex; and
 - (b) supply to the public network depends only on the entity's own consumption and has not exceeded 30 per cent of the entity's total production of drinking water, having regard to the average for the preceding three years, including the current year.
- 3. With regard to Appendix 3.B.a to this Annex, Chapter 7 (Government Procurement) of this Agreement shall not apply to the following contracts:
 - (a) contracts which the contracting entities under sector 4 award for the purchase of water;
 - (b) contracts which the contracting entities award for purposes other than the pursuit of their activities as described in Appendix 3.B.a to this Annex or for the pursuit of such activities in a non-EEA Member State;
 - (c) contracts awarded for purposes of re-sale or hire to third parties provided that the contracting entity enjoys no special or exclusive right to sell or hire the subject of such contracts and that other entities are free to sell or hire it under the same conditions as the contracting entity; and
 - (d) contracting entities exercising activities in the bus transportation sector where other entities are free to offer the same services in the same geographical area and under substantially the same conditions.

b. Liechtenstein

List of Entities

The contracting entities which are public authorities or public undertakings and which have as at least one of their activities any of those referred to below:

- 1. the provision or operation of fixed networks intended to provide a service to the public in connection with the production, transport or distribution of drinking water or the supply of drinking water to such networks (as specified under List of Sectors 1);
- 2. the operation of fixed networks providing a service to the public in the field of transport by tramway, trolleybus, bus or cable (as specified under List of Sectors 2);
- 3. the exploitation of a geographical area for the purpose of the provision of airport or other terminal facilities to carriers by air (as specified under List of Sectors 3);
- 4. the exploitation of a geographical area for the purpose of the provision of inland port or other terminal facilities to carriers by sea or inland waterway (as specified under List of Sectors 4);
- 5. the electricity sector.

For the purposes of letter b to Part B of Appendix 3 to this Annex:

- (a) "public authorities" means the State, regional or local authorities, bodies governed by public law, or associations formed by one or more of such authorities or bodies governed by public law. A body is considered to be governed by public law where it:
 - (i) is established for the specific purpose of meeting needs in the general interest, not being of an industrial or commercial nature;
 - (ii) has legal personality; and
 - (iii) is financed for the most part by the State, or regional or local authorities, or other bodies governed by public law, or is subject to management supervision by those bodies, or has an administrative, managerial or supervisory board more than half of whose members are appointed by the State, regional or local authorities, or other bodies governed by public law.

- (b) "public undertakings" means any undertaking over which the public authorities may exercise directly or indirectly a dominant influence by virtue of their ownership of it, their financial participation therein, or the rules which govern it. A dominant influence on the part of the public authorities shall be presumed when these authorities, directly or indirectly, in relation to an undertaking:
 - (i) hold the majority of the undertaking's subscribed capital;
 - (ii) control the majority of the votes attaching to shares issued by the undertaking; or
 - (iii) can appoint more than half of the members of the undertaking's administrative, managerial or supervisory body.

List of Sectors

1. Production, transport or distribution of drinking water

Public authorities and public undertakings producing, transporting and distributing drinking water. Such public authorities and public undertakings are operating under local legislation or under individual agreements based thereupon.

- (a) Gruppenwasserversorgung Liechtensteiner Oberland; and
- (b) Gruppenwasserversorgung Liechtensteiner Unterland
- 2. Contracting entities in the field of tramway, trolley bus, bus or cable services

Liechtenstein Bus Anstalt

3. Contracting entities in the field of airport facilities

None

4. Contracting entities in the field of inland ports

None

Notes and Derogations

Chapter 7 (Government Procurement) of this Agreement shall not apply to:

- (a) contracts which the contracting entity awards for purposes other than the pursuit of their activities as described in Appendix 3.B.b to this Annex;
- (b) contracts awarded for purposes of re-sale or hire to third parties, provided that the contracting entity enjoys no special or exclusive right to sell or hire the subject of such contracts and other entities are free to sell or hire it under the same conditions as the contracting entity;
- (c) contracts for the purchase of water;
- (d) contracts of contracting entities other than a public authority exercising the supply of drinking water to networks which provide a service to the public, if they produce these services by themselves and consume them for the purpose of carrying out other activities than those described under List of Sectors 1 of Appendix 3.B.b to this Annex and provided that the supply to the public network depends only on the entity's own consumption and does not exceed 30 per cent of the entity's total production of drinking water, having regard to the average for the preceding three years; and
- (e) contracts awarded by contracting entities providing a bus service if other entities are free to offer the same service either in general or in a specific geographical area and under the same conditions.

c. Norway

List of Sectors

1. *Urban transport*:

Public entities which have as one of their activities the operation of networks providing a service to the public in the field of transport by tramway, trolley bus, bus or cable according to Lov om anlegg og drift av jernbane, herunder sporvei, tunellbane og forstadsbane m.m. (LOV 1993-06-11 100), or Lov om samferdsel (LOV 1976-06-04 63) or Lov om anlegg av taugbaner og løipestrenger (LOV 1912-06-14 1).

Example:

- Oslo Sporveier AS

2. *Airports*:

- (a) Public entities providing airport facilities pursuant to Lov om luftfart (LOV 1960-12-16 1); and
- (b) Luftfartsverket National Civil Aviation Administration.

Examples:

- Trondheim Lufthavn, Værnes
- Stavanger Lufthavn, Sola
- Oslo Lufthavn AS

3. *Ports*:

Public entities operating pursuant to Havneloven (LOV 1984-06-08 51).

Examples:

- Oslo Havnevesen
- Larvik Havn

4. *Water supply*:

Public entities producing or distributing water pursuant to Forskrift om Drikkevann og Vannforsyning (FOR 1951 - 09-28).

Examples:

- Oslo Kommune (Vann og avløpsetaten)
- Bergen kommune (Vann og avløpsetaten)
- Stavanger kommune (Vann og avløpsetaten)
- Tromsø Kommune (Vann og avløpsetaten)
- Sarpsborg kommune (Vann og avløpsetaten)

Notes and Derogations

- 1. Chapter 7 (Government Procurement) of this Agreement shall, provided that the conditions in paragraph 2 are met, not apply to contracts awarded by:
 - (a) a contracting entity to an affiliated undertaking, or
 - (b) a joint venture, formed exclusively by a number of contracting entities for the purpose of carrying out activities within the meaning of paragraphs 3 to 7, to an undertaking which is affiliated with one of these contracting entities.

2. Paragraph 1 shall apply to:

(a) service contracts provided that at least 80 per cent of the average turnover of the affiliated undertaking with respect to services for the preceding three years derives from the provision of such services to undertakings with which it is affiliated;

For the purposes of this subparagraph, "undertakings with which it is affiliated" means any undertaking the annual accounts of which are consolidated with those of the contracting entity or any undertaking over which the contracting entity may exercise, directly or indirectly, a dominant influence or which may exercise a dominant influence over the contracting entity or which, in common with the contracting entity, is subject to the dominant influence of another undertaking by virtue of ownership, financial participation, or the rules which govern it.

- (b) supplies contracts provided that at least 80 per cent of the average turnover of the affiliated undertaking with respect to supplies for the preceding three years derives from the provision of such supplies to undertakings with which it is affiliated; and
- (c) works contracts provided that at least 80 per cent of the average turnover of the affiliated undertaking with respect to works for the preceding three years derives from the provision of such works to undertakings with which it is affiliated. When, because of the date on which an affiliated undertaking was created or commenced activities, the turnover is not available for the preceding three years, it will be sufficient for that undertaking to show that the turnover referred to in points (a), (b) or (c) is credible, particularly by means of business projections. Where more than one undertaking affiliated with the contracting entity provides the same or similar services, supplies or works, the above percentages shall be calculated taking into account the total turnover deriving respectively

from the provision of services, supplies or works by those affiliated undertakings.

- 3. Chapter 7 (Government Procurement) of this Agreement shall not apply to contracts awarded by:
 - (a) a joint venture, formed exclusively by a number of contracting entities for the purpose of carrying out activities within the meaning of this Annex, to one of these contracting entities; or
 - (b) a contracting entity to such a joint venture of which it forms part, provided that the joint venture has been set up in order to carry out the activity concerned over a period of at least three years and that the instrument setting up the joint venture stipulates that the contracting entities, which form it, will be part thereof for at least the same period.
- 4. The supply of drinking water to networks which provide a service to the public by a contracting entity other than a public authority shall not be considered as a relevant activity within the meaning of sectors 1 and 4 of Appendix 3.B.c to this Annex where:
 - (a) the production of drinking water by the entity concerned takes place because its consumption is necessary for carrying out an activity other than that referred to in sectors 1 and 4 of Appendix 3.B.c to this Annex; and
 - (b) supply to the public network depends only on the entity's own consumption and has not exceeded 30 per cent of the entity's total production of drinking water, having regard to the average for the preceding three years, including the current year.
- 5. With regard to Appendix 3.B.c to this Annex, Chapter 7 (Government Procurement) of this Agreement shall not apply to the following contracts:
 - (a) contracts which the contracting entities under sector 4 award for the purchase of water;
 - (b) contracts which the contracting entities award for purposes other than the pursuit of their activities as described in Appendix 3.B.c to this Annex or for the pursuit of such activities in a non-EEA Member State;
 - (c) contracts awarded for purposes of re-sale or hire to third parties provided that the contracting entity enjoys no special or exclusive right to sell or hire the subject of such contracts and that other entities are free to sell or hire it under the same conditions as the contracting entity; or

- (d) contracting entities exercising activities in the bus transportation sector where other entities are free to offer the same services in the same geographical area and under substantially the same conditions.
- 6. Chapter 7 (Government Procurement) of this Agreement does not apply to procurement subject to secrecy or other particular restrictions with regard to the safety of the realm.
- 7. When a specific procurement may impair important national policy objectives, the Norwegian Government may consider it necessary in singular procurement cases to deviate from the principle of national treatment in Chapter 7 (Government Procurement) of this Agreement. A decision to this effect will be taken at the Norwegian Cabinet level.
- 8. Norway reserves its position with regard to the application of Chapter 7 (Government Procurement) of this Agreement to Svalbard, Jan Mayen Island and Norway Antarctic possessions.

d. Switzerland

List of Entities

The contracting entities which are public authorities or public undertakings and which have as at least one of their activities any of those referred to below:

- 1. the provision or operation of fixed networks intended to provide a service to the public in connection with the production, transport or distribution of drinking water or the supply of drinking water to such networks (as specified under List of Sectors 1);
- 2. the operation of fixed networks providing a service to the public in the field of transport by tramway, trolleybus, bus or cable (as specified under List of Sectors 2);
- 3. the exploitation of a geographical area for the purpose of the provision of airport or other terminal facilities to carriers by air (as specified under List of Sectors 3);
- 4. the exploitation of a geographical area for the purpose of the provision of inland port or other terminal facilities to carriers by inland waterway (as specified under List of Sectors 4);
- 5. the electricity sector.

For the purposes of letter d) to Part B of Appendix 3 to this Annex:

- (a) "public authorities" means the State, regional or local authorities, bodies governed by public law, or associations formed by one or more of such authorities or bodies governed by public law. A body is considered to be governed by public law where it:
 - (i) is established for the specific purpose of meeting needs in the general interest, not being of an industrial or commercial nature;
 - (ii) has legal personality; and
 - (iii) is financed for the most part by the State, or regional or local authorities, or other bodies governed by public law, or is subject to management supervision by those bodies, or has an administrative, managerial or supervisory board more than half of whose members are appointed by the State, regional or local authorities, or other bodies governed by public law.

- (b) "public undertakings" means any undertaking over which the public authorities may exercise directly or indirectly a dominant influence by virtue of their ownership of it, their financial participation therein, or the rules which govern it. A dominant influence on the part of the public authorities shall be presumed when these authorities, directly or indirectly, in relation to an undertaking:
 - (i) hold the majority of the undertaking's subscribed capital;
 - (ii) control the majority of the votes attaching to shares issued by the undertaking; or
 - (iii) can appoint more than half of the members of the undertaking's administrative, managerial or supervisory body.

List of Sectors

1. Production, transport or distribution of drinking water

Public authorities and public undertakings producing, transporting and distributing drinking water. Such public authorities and public undertakings are operating under local or cantonal legislation or under individual agreements based thereupon.

Examples:

- Wasserverbund Regio Bern AG (association of local authorities in the region of Berne)
- Hardwasser AG (association of local authorities in the region of Basle)
- There are similar entities in other Swiss cities

2. Transport by tramway, trolley bus, bus or cable services

Public authorities and public undertakings providing tramways services pursuant to Article 2, paragraph 1 of the "loi fédérale du 20 décembre 1957 sur les chemins de fer".

Public authorities and public undertakings for the public transport services pursuant to Article 4, paragraph 1, of the "loi fédérale du 29 mars 1950 sur les entreprises de trolleybus".

Swiss undertakings providing post, telephone and telegraph services pursuant to Article 2 of the "loi fédérale du 18 juin 1993 sur le transport de voyageurs et les entreprises de transport par route".

Public authorities and public undertakings which carry out on a professional basis regular transports of persons according to a timetable, pursuant to Article 4 of the "loi fédérale du 18 juin 1993 sur le transport de voyageurs et les entreprises de transport par route".

Example:

- Transports publics Genevois: Provides transport services in the city of Geneva.

3. Airports

Airports operated by virtue of a licence pursuant to Article 37 of the "loi fédérale du 21 décembre 1948 sur la navigation aérienne" as far as they are public authorities and public undertakings.

Examples:

- Flughafen Zürich: Main airport of Switzerland
- Aéroport de Genève-Cointrin.
- Aérodrome civil de Sion.

4. Inland ports

Rheinhäfen beider Basel: for the Canton of Basel set up by the "loi du 13 novembre 1919 concernant l'administration des installations portuaires rhénanes de la ville de Bâle"; for the Canton of Basel-Landschaft set up by the "loi du 26 octobre 1936 sur la mise en place d'installations portuaires, de voies ferroviares et de routes sur le "Sternenfeld" à Birsfelden, et dans l' "Au" à Muttenz".

Example:

- Ports in the region of Basle ("Rheinhäfen beider Basel").

Notes and Derogations

Chapter 7 (Government Procurement) of this Agreement shall not apply to:

(a) contracts which the contracting entity awards for the purpose other than the pursuit of their activities as described in Appendix 3.B.d to this Annex or for the pursuit of such activities beyond Switzerland;

- (b) contracts awarded for purposes of re-sale or hire to third parties, provided that the contracting entity enjoys no special or exclusive right to sell or hire the subject of such contracts and other entities are free to sell or hire it under the same conditions as the contracting entity;
- (c) contracts for the purchase of water;
- (d) contracts of contracting entities other than a public authority exercising the supply of drinking water to networks which provide a service to the public, if they produce these services by themselves and consume them for the purpose of carrying out other activities than those described under Appendix 3.B.d to this Annex. Furthermore, the supply to the public network depends only on the entity's own consumption and has not exceeded 30 per cent of entity's total production of drinking water, having regard to the average for the preceding three years; or
- (e) contracts of contracting entities exercising activities in the bus transportation sector where other entities are free to offer the same services in the same geographical area and under substantially the same conditions.

APPENDIX 4 TO ANNEX XIII

REGARDING GOODS

Chapter 7 (Government Procurement) of this Agreement applies to all goods procured by the entities listed in Appendices 1 to 3, subject to the Notes to the respective Appendices and the General Notes.

APPENDIX 5 TO ANNEX XIII

REGARDING SERVICES

PART A: PERU

Chapter 7 (Government Procurement) of this Agreement applies to all services procured by the entities listed in Appendices 1 to 3, subject to the Notes to the respective Appendices, the General Notes and the Notes to this Appendix, except for the services excluded in the Schedules of each Party.

Schedule of Peru

Chapter 7 (Government Procurement) of this Agreement applies to all services except the following, as elaborated in the Central Product Classification Version 1.1. (For complete listing of Central Product Classification Version 1.1, see http://unstats.un.org/unsd/cr/registry/regcst.asp?Cl=3):

- (a) CPC 8221 Accounting and auditing services;
- (b) CPC 8321 Architectural services;
- (c) CPC 8334 Engineering and design services;
- (d) CPC 8335 Engineering services during construction and installation phase;
- (e) CPC 82191 Arbitration and Conciliating Services.

PART B: EFTA STATES

a. Iceland

Chapter 7 (Government Procurement) of this Agreement applies to all services set out below that are procured by the entities listed in Appendices 1.B.a, 2.B.a and 3.B.a to this Annex:

Subject	CPC ² Reference No.
Maintenance and repair services	6112, 6122, 633, 886
Land transport services, including armoured car services, and courier services, except transport of mail	712 (except 71235), 7512, 87304
Air transport services of passengers and freight, except transport of mail	73 (except 7321)
Transport of mail by land, except rail, and by air	71235, 7321
Telecommunications services	752 ³ (except 7524, 7525, 7526)
Computer and related services	84
Accounting, auditing and bookkeeping services	862
Market research and public opinion polling services	864
Management consulting services and related services	865, 8664
Architectural services; engineering services and integrated engineering services, urban planning and landscape architectural services; related scientific and technical consulting services;	867

Except for services which entities have to procure from another entity pursuant to an exclusive right established by a published law, regulation or administrative provision.

² Central Product Classification.

Except voice telephony, telex, radiotelephony, paging and satellite services.

Except arbitrations and conciliation services.

technical consulting services; technical testing and analysis services

Advertising services 871

Building-cleaning services and property 874, 82201-82206

management services

Publishing and printing services 88442

on a fee or contract basis

Sewage and refuse disposal; 94

sanitation and similar services

Notes and Derogations

With regard to Appendix 4.B.a to this Annex, Chapter 7 (Government Procurement) of this Agreement shall not apply to the following:

- (a) contracts for the acquisition or rental, by whatever financial means, of land, existing buildings, or other immovable property or concerning rights thereon;
- (b) contracts for the acquisition, development, production or co-production of programme material by broadcasters and contracts for broadcasting time;
- (c) contracts awarded to an entity which is itself a contracting authority within the meaning of the Public Procurement Act: "Lög um opinber innkaup" (94/2001) on the basis of an exclusive right which it enjoys pursuant to a published law, regulation or administrative provision; and
- (d) contracts of employment.

b. Liechtenstein

Chapter 7 (Government Procurement) of this Agreement applies to all services set out below that are procured by the entities listed in Appendices 1.B.b, 2.B.b and 3.B.b of this Annex:

Subject	CPC Reference No.
Maintenance and repair services	6112, 6122, 633, 886
Land transport services, including armoured car services, and courier services, except transport of mail	712 (except 71235), 7512, 87304
Air transport services of passengers and freight, except transport of mail	73 (except 7321)
Transport of mail by land, except rail, and by air	71235, 7321
Telecommunications services	7521
Computer and related services	84
Accounting, auditing and bookkeeping services	862
Market research and public opinion polling services	864
Management consulting services and related services	$865, 866^2$
Architectural services; engineering services and integrated engineering services, urban planning and landscape architectural services; related scientific and technical consulting services; technical testing and analysis services	867

Except voice telephony, telex, radiotelephony, paging and satellite services. Except arbitrations and conciliation services.

Advertising services 871

Building-cleaning services and property management 874, 82201-82206 services

Publishing and printing services on a fee or contract 88442

Sewage and refuse disposal; sanitation and similar services

Notes and Derogations

Chapter 7 (Government Procurement) of this Agreement shall not apply to:

(a) service contracts awarded to an entity which is itself a procuring entity listed in Appendices 1.B.b, 2.B.b and 3.B.b of this Annex on the basis of an exclusive right which it enjoys pursuant to a published law, regulation or administrative provision;

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- (b) service contracts which a contracting entity awards to an affiliated undertaking or which are awarded by a joint venture formed by a number of contracting entities for the purpose of carrying out an activity within the meaning of Appendix 3.B.b to this Annex or to an undertaking which is affiliated with one of these contracting entities. At least 80 per cent of the average turnover of that undertaking for the preceding three years has to derive from the provision of such services to undertakings with which it is affiliated. Where more than one undertaking affiliated with the contracting entity provides the same service, the total turnover deriving from the provision of services by those undertakings shall be taken into account;
- (c) contracts for the acquisition, development, production or co-production of programme material by broadcasters and contracts for broadcasting time; and
- (d) contracts for research and development services other than those where the benefits accure exclusively to the entity for its use in the conduct of its own affaires, on condition that the service is wholly remunerated by the entity.

c. Norway

Chapter 7 (Government Procurement) of this Agreement applies to all services set out below that are procured by the entities listed in Appendices 1.B.c, 2.B.c and 3.B.c of this Annex ¹:

Subject	CPC Reference No.	
Maintenance and repair services	6112, 6122, 633, 886	
Land transport services, including armoured car services, and courier services, except transport of mail	712 (except 712235), 7512, 87304	
Air transport services of passengers and freight, except transport of mail	73 (except 7321)	
Transport of mail by land, except rail, and by air	71235, 7321	
Telecommunications services	752 ² (except 7524, 7525, 7526)	
Computer and related services	84	
Accounting, auditing and bookkeeping services	862	
Market research and public opinion polling services	864	
Management consulting services and related services	865, 866 ³	
Architectural services; engineering services and integrated engineering services, urban planning and landscape architectural services; related	867	

Except for services which entities have to procure from another entity pursuant to an exclusive right established by a published law, regulation or administrative provision.

Except voice telephony, telex, radiotelephony, paging and satellite services.

Except arbitration and conciliation services.

scientific and technical consulting services; technical consulting services; technical testing and analysis services

Advertising services 871

Building-cleaning services and property 874, 82201-82206

management services

Publishing and printing services 88442

On a fee or contract basis

Sewage and refuse disposal; 94

Sanitation and similar services

Notes and Derogations

1. With regard to Appendix 4.B.c to this Annex, Chapter 7 (Government Procurement) of this Agreement shall not apply to the following:

- (a) contracts for the acquisition or rental, by whatever financial means, of land, existing buildings, or other immovable property or concerning rights thereon;
- (b) contracts for the acquisition, development, production or co-production of programme material by broadcasters and contracts for broadcasting time;
- (c) contracts awarded to an entity which is itself a contracting authority within the meaning of the Public Procurement Act: "Lov om offentlige anskaffelser m.v." (LOV 1999-07-16 69) on the basis of an exclusive right which it enjoys pursuant to a published law, regulation or administrative provision; and
- (d) contracts of employment.
- 2. Chapter 7 (Government Procurement) of this Agreement does not apply to procurement subject to secrecy or other particular restrictions with regard to the safety of the realm.
- 3. When a specific procurement may impair important national policy objectives, the Norwegian Government may consider it necessary in singular procurement cases to deviate from the principle of national treatment in Chapter 7 (Government Procurement) of this Agreement. A decision to this effect will be taken at the Norwegian Cabinet level.

4. Norway reserves its position with regard to the application of Chapter 7 (Government Procurement) of this Agreement to Svalbard, Jan Mayen Island and Norway Antarctic possessions.

d. **Switzerland**

Chapter 7 (Government Procurement) of this Agreement applies to all services set out below that are procured by the entities listed in Appendices 1.B.d, 2.B.d and 3.B.d to this Annex:

Subject	CPC Reference No.
Maintenance and repair services	6112, 6122, 633, 886
Land transport services, including armoured car services, and courier services, except transport of mail	712 (except 71235), 7512, 87304
Air transport services of passengers and freight, except transport of mail	73 (except 7321)
Transport of mail by land, except rail, and by air	71235, 7321
Telecommunications services	752¹ (except 7524, 7525, 7526)
Computer and related services	84
Accounting, auditing and bookkeeping services	862
Market research and public opinion polling services	864
Management consulting services and related services	865, 866 ²
Architectural services; engineering services and integrated engineering services, urban planning and landscape architectural services;	867

Except voice telephony, telex, radiotelephony, paging and satellite services. Except arbitration and conciliation services.

related scientific and technical consulting services; technical testing and analysis services

Advertising services 871

Building-cleaning services and property management 874, 82201 - 82206

services

Publishing and printing services on a fee or contract basis 88442

Sewage and refuse disposal; sanitation and similar 94

services

Notes and Derogations

Chapter 7 (Government Procurement) of this Agreement shall not apply to:

- (a) service contracts awarded to an entity which is itself a procuring entity listed in Appendices 1.B.d, 2.B.d and 3.B.d of this Annex on the basis of an exclusive right which it enjoys pursuant to a published law, regulation or administrative provision;
- (b) service contracts which a contracting entity awards to an affiliated undertaking or which are awarded by a joint venture formed by a number of contracting entities for the purpose of carrying out an activity within the meaning of Appendix 3.B.d to this Annex or to an undertaking which is affiliated with one of these contracting entities. At least 80 per cent of the average turnover of that undertaking for the preceding three years has to derive from the provision of such services to undertakings with which it is affiliated. Where more than one undertaking affiliated with the contracting entity provides the same service, the total turnover deriving from the provision of services by those undertakings shall be taken into account;
- (c) contracts for the acquisition, development, production or co-production of programme material by broadcasters and contracts for broadcasting time; and
- (d) contracts for research and development services other than those where the benefits accure exclusively to the entity for its use in the conduct of its own affaires, on condition that the service is wholly remunerated by the entity.

APPENDIX 6 TO ANNEX XIII

REGARDING CONSTRUCTION SERVICES

PART A: PERU

Schedule of Peru

Chapter 7 (Government Procurement) of this Agreement applies to all construction services procured by the entities listed in Appendices 1 through 3, subject to the Notes to the respective Appendices, the General Notes and the Notes to this Appendix, except for the services excluded in the Schedules of each Party.

PART B: EFTA STATES

a. Iceland

Definition:

A construction service contract is a contract which has as its objective the realisation by whatever means of civil or building works, in the sense of Division 51 of the Central Product Classification (CPC).

List of Division 51, CPC

All public works/construction services of Division 51.

b. Liechtenstein

Definition:

A construction services contract is a contract which has as its objective the realisation by whatever means of civil or building works, in the sense of Division 51 of the Central Product Classification (CPC).

List of Division 51, CPC

General construction work for buildings	512
General construction work for civil engineering	513
Installation and assembly work	514, 516
Building completion and finishing work	517
Other	511, 515, 518

c. Norway

Definition:

A construction service contract is a contract which has as its objective the realisation by whatever means of civil or building works, in the sense of Division 51 of the Central Product Classification (CPC).

List of Division 51, CPC

All public works/construction services of Division 51.

Notes and Derogations

- 1. Chapter 7 (Government Procurement) of this Agreement does not apply to procurement subject to secrecy or other particular restrictions with regard to the safety of the realm.
- 2. When a specific procurement may impair important national policy objectives, the Norwegian Government may consider it necessary in singular procurement cases to deviate from the principle of national treatment in Chapter 7 (Government Procurement) of this Agreement. A decision to this effect will be taken at the Norwegian Cabinet level.
- 3. Norway reserves its position with regard to the application of Chapter 7 (Government Procurement) of this Agreement to Svalbard, Jan Mayen Island and Norway Antarctic possessions.

d. Switzerland

Definition:

A construction services contract is a contract which has as its objective the realisation by whatever means of civil or building works, in the sense of Division 51 of the Central Product Classification (CPC).

List of relevant services of Division 51 of the CPC

Pre-erection work at construction sites	511
Construction work for buildings	512
Construction work for civil engineering	513
Assembly and erection of prefabricated constructions	514
Special trade construction work	515
Installation work	516
Building completion and finishing work	517
Other services	518

APPENDIX 7 TO ANNEX XIII

REGARDING GENERAL NOTES

PART A: PERU

Schedule of Peru

Chapter 7 (Government Procurement) of this Agreement does not apply to:

- (a) procurement programs on behalf of small and micro-sized companies;
- (b) procurement of goods for food assistance programs;
- (c) procurement by one Peruvian entity of goods or services from another Peruvian entity;
- (d) the acquisition of weavings and clothing made with alpaca and llama fibers; and
- (e) procurement by the Embassies, Consulates and other missions of the foreign service of Peru, exclusively for their operation and management.

PART B: EFTA STATES

Schedule of EFTA countries

Chapter 7 (Government Procurement) of this Agreement does not apply to:

- (a) the particular procedure of an international organisation; and
- (b) procurements of goods and services carried out inside a procuring entity or to procurements of goods or services obtained or acquired by a procuring entity from another procuring entity with legal personality.